

# Best Available Copy

**CORRECTED  
VERSION\***

**PCT**

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : A61K 39/21, 38/00, 38/04, C12Q 1/70, G01N 33/53, 33/567		A1	(11) International Publication Number: <b>WO 97/14436</b> (43) International Publication Date: 24 April 1997 (24.04.97)
(21) International Application Number: PCT/US96/16911 (22) International Filing Date: 18 October 1996 (18.10.96)		(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).	
(30) Priority Data: 08/546,515 20 October 1995 (20.10.95) US 08/599,266 9 February 1996 (09.02.96) US		Published With international search report.	
(71) Applicant: DUKE UNIVERSITY [US/US]; 230 North Building, Research Drive, Box 90083, Durham, NC 27708-0083 (US).			
(72) Inventors: HAYNES, Barton, F.; 4923 Wentworth Drive, Durham, NC 27707 (US). PALKER, Thomas, J.; 116 Stedwick Place, Durham, NC 27712 (US).			
(74) Agent: WILSON, Mary, J.; Nixon & Vanderhye P.C., 8th floor, 1100 North Glebe Road, Arlington, VA 22201-4714 (US).			
(54) Title: SYNTHETIC VACCINE FOR PROTECTION AGAINST HUMAN IMMUNODEFICIENCY VIRUS INFECTION			
(57) Abstract  The present invention relates to immunogenic preparations of peptides comprising amino acid sequences corresponding to antigenic determinants of the envelope glycoprotein of HIV, covalently coupled, directly or through a spacer molecule, to carrier molecules suitable for vaccination of mammals.			

\* (Referred to in PCT Gazette No. 25/1997, Section II)

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

SYNTHETIC VACCINE FOR PROTECTION AGAINST  
HUMAN IMMUNODEFICIENCY VIRUS INFECTION

This is a continuation-in-part of Application No. 08/546,515, filed October 20, 1995, which is a continuation-in-part of Application No. 08/235,305, filed April 29, 1994, which is a continuation-in-part of Application Serial No. 07/858,361, filed March 27, 1992, which is a continuation-in-part of Application Serial No. 07/832,849, filed February 10, 1992, which is a continuation-in-part of Application Serial No. 07/591,109, filed October 1, 1990, which is a continuation-in-part of Application Serial No. 07/093,854, filed September 8, 1987, the entire contents of which are hereby incorporated by reference.

BACKGROUND OF THE INVENTION

TECHNICAL FIELD

The present invention relates, in general, to immunogenic preparations and, in particular, to peptides comprising amino acid sequences corresponding to a region of the human immunodeficiency virus (HIV) envelope protein, against which neutralizing antibodies are produced. The invention further relates to a vaccine comprising the peptide coupled, either directly or through a spacer molecule, to a carrier molecule, suitable for vaccination of humans.

BACKGROUND INFORMATION

The human retrovirus HIV has been demonstrated to be the causative agent of acquired immunodeficiency syndrome (AIDS), a disease for which there is currently no cure. The epidemiologic pattern among AIDS-related cases indicates that it is a transmissible disease. The virus is frequently found in saliva, semen, whole blood and plasma from individuals in high risk categories, including male homosexuals, intravenous drug users, patients receiving blood products, and individuals from Haiti and Central Africa. The rapid rise in seropositivity among individuals in high risk categories, the virulence of the disease, and its growing world-wide distribution, underscore an overwhelming and immediate need for a vaccine capable of inducing complete protective immunity in non-infected individuals. The need for diagnostic reagents to be used in testing for the presence of antibodies against HIV in biological samples is also clear.

Previous work has demonstrated that HIV infects T lymphocytes of the immune system by attaching its external envelope glycoprotein (gp120) to the CD4 (T4) molecule on the surface of T lymphocytes, thus using the CD4 (T4) molecule as a receptor to enter and infect T cells. After infecting the cell, the



virus subverts the ability of the T cell to fend off the virus.

Retroviral envelope glycoproteins have been shown to be important in evoking a virus-neutralizing antibody response, as determined by the ability of sera containing anti-envelope antibodies to inhibit HIV infection in vitro. Specifically, the HIV external envelope glycoprotein gp120 has been shown to be capable of inducing neutralizing antibodies in goats and in man (Robey et al, Proc. Nat'l. Acad. Sci. (USA) 83: 7023, 1986). Little is known of the precise location of epitopes on gp120 that are either immunogenic in HIV-infected patients or that give rise to neutralizing antibodies. However, the recombinant protein PB1 (Putney et al., Science, 234:1392, 1986), which encodes approximately one-third of the entire gp120 molecule, has been shown to include the part of the envelope protein that induces the formation of neutralizing antibodies.

The data accumulated to date suggest that neither PB1 nor intact gp120 are appropriate for use in a vaccine against HIV infection. Studies involving the use of goats and chimpanzees demonstrate that neither molecule has the ability to induce the production of high titers of neutralizing antibodies. In addition, it has been shown that the intact gp120 molecule binds to the T4 molecule of

normal T cells and is capable of disrupting normal immune function. Specifically, whole gp120 envelope molecules interfere with normal CD4 (T4) function and suppress T cell activation in vitro (Mann et al., J. Immunol. 138:2640, 1987). Thus, the administration of vaccines comprising large pieces of the external envelope glycoprotein may actually be detrimental to the normal immune system.

It has become clear that HIV sequence diversity in the principle neutralizing domain of gp120 (the V3 gp120 envelope loop region) and rapid V3 loop sequence mutation rate is a major obstacle to overcome for vaccine development (Myers et al., Human Retroviruses and AIDS 1991; La Rosa et al., Science, 249:932-935, 1990; and Holley et al., PNAS (USA), 88:6800-6804, 1991). Nonetheless, studies continue to show the critical role that the gp120 V3 region plays in generating anti-HIV neutralizing antibodies (Jiang et al., J. Exp. Med. 174:1557-1593, 1990). Moreover, it has recently been shown that approximately 50% of current HIV isolates share a consensus of V3 sequences that is similar to the HIV MN isolate, and that approximately 80% of HIV isolates in the US share one of the 4 most common HIV sequences (Myers et al., Human Retroviruses and AIDS 1991; La Rosa et al., Science, 249:932-935, 1990; and

Holley et al., PNAS (USA), 88:6800-6804, 1991).

Further, two of these sequences, GPGRAPH and IHIGPGRA, have induced widely cross-reactive HIV neutralizing antibodies in animals (Jahaverian et al., Science, 250:1590-1593, 1990 and Haynes et al., AIDS Res. Humans. Retroviral, 6:38-39, 1990).

Thus, critical to the development of a vaccine against HIV, is the generation of an antibody response against gp120 that will interfere with gp120 interaction with the CD4 (T4) molecule, but will not interfere with normal CD4 (T4) interaction with class II major histocompatibility molecules, a major normal function of the CD4 (T4) molecule in the mediation of a myriad of stages of normal T cell response. In addition, an effective vaccine against HIV will induce protective immune responses in primates and in man, that is, will prevent subsequent HIV infection from occurring.

An immunogen that induced salutary (protective) anti-HIV immune responses for about 80% of HIV strains would be of great clinical use in at least three settings. First, the successful immunization of HIV negative IV drug users, prison inmates and homosexual populations thought to be at high risk for contracting HIV infection would significantly blunt the progression of the AIDS epidemic. Second, if immunization of HIV-infected mothers during the first

trimester of pregnancy could boost salutary anti-HIV virus responses and decrease transmission of HIV by 80%, then maternal-fetal HIV transmission would decrease from 30% to 6% of children born to HIV-infected mothers. Third, an immunogen against HIV that induced salutary and not pathogenic anti-HIV responses, would be useful for immunization of HIV-infected asymptomatic individuals to boost anti-HIV immune responses, and promote the maintenance of the asymptomatic HIV-infected state.

#### OBJECTS OF THE INVENTION

It is an object of the present invention to provide a peptide that, when linked to a carrier molecule and/or polymerized to form molecular aggregates, is capable of inducing the production in mammals of high titers of neutralizing antibodies against HIV, which peptides does not disturb normal immune function.

It is another object of the invention to provide a synthetic vaccine comprising a peptide having an amino acid sequence corresponding to an antigenic determinant of the HIV envelope protein that is capable of inducing protective immunity in mammals against HIV.

It is a further object of the invention to provide a vaccine capable of inducing protective immunity in mammals against various forms of HIV.

It is an additional object of the invention to provide a method of detecting the presence of anti-gp120 antibodies in biological test samples.

#### SUMMARY OF THE INVENTION

The invention relates to immunogenic preparations and vaccines made therefrom. Peptides having amino acid sequences corresponding to antigenic determinants of the envelope protein of HIV are covalently coupled, either directly or through spacer molecules, to suitable carrier molecules. Synthetic vaccines comprising one or more such peptides are disclosed.

In one embodiment, the present invention comprises an essentially pure form of a peptide having an amino acid sequence corresponding to an antigenic determinant of the envelope glycoprotein of HIV, which peptide is capable, when covalently linked to a carrier molecule, of inducing in a mammal high titers of protective antibodies against HIV. The peptide can have, for example, the sequence CTRPNNNTRKSIRIQRGPG, corresponding to amino acids

303-321 of the envelope glycoprotein of the HTLV-III<sub>8</sub> isolate (Ratner et al., Nature 313:277, 1985), or any portion thereof.

In another embodiment, the present invention comprises an immunogenic conjugate capable of inducing in a mammal high titers of protective antibodies against HIV, said conjugate comprising: (i) a carrier molecule covalently attached to (ii) a peptide comprising an amino acid sequence corresponding to an antigenic determinant of the envelope glycoprotein of HIV.

In yet another embodiment, the present invention comprises a method of producing immunity to HIV comprising administering the above-described conjugate to a mammal.

In another embodiment, the present invention comprises a method of detecting the presence of anti-gp120 antibodies in biological test samples.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1. Recombinant proteins and relation to synthetic peptides.

Figure 2. Reactivity of AIDS patient antibodies to synthetic peptides.

Figure 3. Reactivity of gp120 of antibodies from HIV+ patient purified over synthetic peptide affinity columns.

Figure 4. Neutralization of HTLV-III<sub>8</sub> by goat anti-SP-10 antisera.

Figure 5. Isolate specific neutralization of HIV.

Figure 6. Binding of goat anti-SP-10 serum to HTLV-III<sub>8</sub>- but not to HTLV-III<sub>RF</sub>-infected H9 T cells.

Figure 7. Comparison of the ability of various T1-SP10 peptides from the envelope of HIV MN to induce anti-T1-SP10MN peptide antibodies in Balb/c mice. Each point represents the mean level of anti-T1-SP10 serum antibody in 4-5 mice as determined by ELISA assay in 96 well plates using the peptide T1-SP10 MN as antigen on the plate. Data are expressed as ratio (E/C) of postbleed immunization (E) optical density (OD) to prebleed (control) OD. Data show that T1-SP10MN(A), F-T1-SP10MN and F-T1-SP10MN(A) peptides after 2 immunizations induce higher levels of anti T1-SP10MN antibodies than did T1-SP10MN itself.

Figure 8. Comparison of the ability of various T1-SP10 peptides from the envelope of HIV MN to induce antibodies in Balb/c mice that neutralize HIV MN in syncytium inhibition assays *in vitro*. Each bar indicates the results of serum from bleed 3 from

one mouse immunized with the indicated form of T1-SP10. Height of bar indicates the percent of syncytium formation inhibited by a 1:10 dilution of serum compared to prebleed serum at the same dilution.

Figure 9 shows antibody titers in ELISA assay against immunizing peptide over time in chimpanzees immunized with HIV env synthetic peptides.

Figure 10 shows peripheral blood mononuclear cell proliferative responses to the T1-SP10IIB(A) peptide in 7 day tritiated thymidine incorporation assays.

Figure 11 shows PBMC proliferative responses of chimpanzees immunized with T1-SP10 peptides and F-T1-SP10 peptides to PHA.

Figure 12 shows goats immunized with the same batch of peptides used to immunize chimpanzees 884, 1028, 1045 and 1070. The peptides were immunogenic in goats and induced high titers of anti-HIVIIIB neutralizing antibodies.

Figure 13 shows anti-HIVMN neutralizing antibodies in Rhesus monkeys immunized with T1-SP10MN peptides. Data represent 90% neutralization titers in syncytium inhibition assay.

Figure 14 shows antibody to immunizing peptide in Rhesus monkeys immunized with T1-SP10MN(A) peptide.



Figure 15 shows neutralizing antibody levels in syncytium inhibition assay in serum of Rhesus monkeys immunized with F-T1-SP10MN(A) peptide.

Figure 16 shows serum antibody titers to immunizing peptide in Rhesus monkeys immunized with F-T1-SP10MN(A) peptide.

Figure 17 shows the absorption of cross neutralizing antibodies induced by T1-SP10MN(A) peptide in rhesus monkey 18987 by peptides containing GPGRAPH sequence. As shown, a peptide containing T1 did not absorb out neutralizing antibodies nor did a peptide with a sequence not in T1-SP10MN(A). Only peptides with GPGRAPH absorbed the neutralizing activity proving that this animal selectively recognized the GPGRAPH region of the V3 HIV gp120 loop as immunogenic and made cross-reactive antibodies to this region.

Figure 18. Neutralizing antibody titers against HIV IIIB/LAI (solid lines) and HIV MN (dotted lines) in serum of the four chimpanzees immunized with T1-SP10IIIB or F-T1-SP10IIIB(A) peptides then immunized with T1-SP10MN(A) peptide. Neutralizing antibody titers determined in syncytium inhibition assay.

Figure 19. For details, see legend to Figure 9. Solid lines indicate antibody titer against T1-SP10IIIB peptide; dotted line indicates antibody response against T1-SP10MN(A) peptide.

Figure 20. Absorption of chimpanzee 1070 serum neutralizing antibodies against the HIV MN isolate by SP10MN(A) peptides and partial absorption by DP2 peptide.

Figure 21. Induction of high levels of neutralizing antibodies against HIV MN with T1-SP10MN(A) peptide in Rhesus monkeys.

Figure 22. Induction of anti-T1-SP10MN(A) peptide antibodies with T1-SP10MN(A) peptide in Rhesus monkeys.

Figure 23. Induction of high levels of anti-HIV MN neutralizing antibodies with T1-SP10MN(A) peptide.

Figure 24. Induction of antibodies against F-T1-SP10MN(A) peptide using F-T1-SP10MN(A) peptide as immunogen in Rhesus monkeys. Assay used in Figs. 22, 24 was end-point ELISA against immunizing peptide (E/C greater than 2.9).

Figure 25. Absorption of serum neutralizing antibodies against the HIV IIIB isolate by SP10MN(A) and DP2 peptides.

Figure 26. Panel A is a general prototype design of the C4-V3 peptide called T1-SP10(A) from the HIV isolate MN with 2 T helper determinants in the hybrid peptide, one MHC Class I CTL epitope restricted by B7, and a second CTL epitope restricted by HLA-A2. Panel B shows the Th-CTL peptide designed from simian immunodeficiency virus envelope and

simian immunodeficiency virus gag protein. This peptide was used to show the ability of the peptide to generate Class I restricted anti-SIV CTL in primates as described in Yasutomi et al (J. Immunol. 151:5096 (1993)).

Figure 27. Sequence of T1-SP10(A) Th-B-Tc peptides for human immunization.

Figure 28. Mab 48d binds to the C4-V3 peptide T1-SP10CANO(A) whereas monoclonal antibody 17b does not. Increasing amounts of monoclonal antibodies were added to ELISA plates on which the T1-SP10CANO(A) C4-V3 peptide was coated (2  $\mu$ g/well) as described in detail in Haynes et al (J. Immunol. 151:1646 (1993), J. Exp. Med. 177:717 (1993)). Figure 28 shows that mab 48d bound to the T1-SP10CANO(A) peptide, and the 17b antibody did not. This plate was stripped with 8 molar urea (a treatment previously shown not to affect antibody binding to linear V3 determinants of peptides on the plate) and demonstrated that 8 molar urea treatment of the peptide denatured the peptide and preventive subsequent 48d binding to the peptide. These data strongly suggested that 48d antibody bound to a conformational determinant on the C4-V3 peptide T1-SP10CANO(A).

Figure 29. The whole T1-SP10CANO(A) peptide is required for maximal peptide binding to mab 48d.

Either the T1 peptide (C4 region alone) the V3 peptide [SP10CANO(A)], C4-V3 peptide [T1-SP10CANO(A)] or a mixture of equal amounts of C4 (T1) + V3 [SP10CANO(A)] peptide were incubated on an ELISA plate with the total concentration of 2  $\mu$ g/well. It can be seen in Figure 29 that control monoclonal antibodies DU.HP20 did not bind to any of these peptides, whereas the 48d mabs bound to SP10CANO(A) peptide and significantly better to the C4-V3 version of the T1-SP10CANO(A) peptide. Mixing the T1 + the SP10CANO(A) peptide together did not increase 48d binding.

Figure 30. General scheme for an HLA-based vaccine for AIDS.

Figure 31. Schematic representation of the possible interaction of functional native HIV-1 envelope regions. Figure shows that HIV-1 envelope protein gp41 on the viral surface has been proposed to interact with the V3 loop and C5 regions of HIV gp120 envelope protein. Amino acids are shown in single letter code, and numbers represent the positions of amino acids in the HIVBAL envelope protein gp160.

Figure 32. Western blot analysis of guinea pig antisera against HIV gp120 and proteins. Recombinant gp120, gp41-MBP fusion proteins (0.1 $\mu$ g/lane), cell lysate of HIVLAI/IIIB infected- or mock-infected CEM

cells ( $0.5 \times 10^6$  cells/lane) were fractionated on 4-20% SDS-PAGE gel, and transferred to nitrocellulose filters. The filters were blocked overnight with 10% dry milk at 4°C, and incubated with guinea pig pre- or post-immune sera at 1:400 dilution for 1h at room temperature, followed by incubation with goat anti-guinea pig IgG labeled with horseradish peroxidase for 1h at room temperature. Results were visualized by using chemiluminescence technique. Panel A. Western blot with pig serum from guinea pig immunized with HIV-1 peptide GTH1SP10MN(A). The pre-immune serum from the same guinea pig was used as control. Panel B. Western blot with sera from guinea pig immunized with HIV-1 peptide HIV-1 gp41 peptide SP400-BAL. The pre-immune serum from the same guinea pigs were used as control. Panel C. Western blot with sera from guinea pig immunized with HIV-1 peptide HIV-1 gp120 peptide SP410-BAL. The pre-immune serum from the same guinea pigs were used as control.

Figure 33. Indirect immunofluorescence and flow cytometric analysis of guinea pig antisera against HIV peptide on the HIVLAI/IIIB-infected CEM cells. Sera from guinea pig before and after immunization with HIV envelope peptides were incubated with HIVLAI/IIIB-infected or mock-infected CEM T cells ( $10^6$  cells) for 45 min at 4°C, followed by incubation with

goat anti-guinea pig IgG labeled with FITC for additional 45 min at 4°C. Then, cells were washed, fixed with 1% paraformaldehyde, and analyzed by a flow cytometry profiler. Results were expressed as mean fluorescence channel number to reflect the fluorescence intensity. Data represent average value of two experiments.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to peptides corresponding to immunogenic epitopes of HIV and synthetic vaccines made therefrom. These novel immunogenic agents are prepared by chemically synthesizing peptides sharing antigenic determinants with the envelope protein of HIV. The peptides are linked to carrier molecules (and/or are polymerized) rendering them suitable as vaccines. These vaccines are useful for immunization against AIDS when administered to mammals, for example, by the parenteral route.

It was determined that peptides that should be studied for immunogenic potential included those corresponding to hydrophilic, charged regions of the HIV envelope glycoprotein. It was further determined that, of such peptides, those with predicted beta

turns would likely be of particular importance. It was recognized that the formation of intrapeptide disulfide bonds would be useful in establishing native configurational determinants. Also, it was recognized that formation of interchain disulfide bonds would be useful in polymerizing peptide molecules so as to form larger, more immunogenic peptide aggregates.

Computer analysis of the predicted amino acid sequence of the envelope protein of the HTLV-III<sub>8</sub> and ARV-2 isolates of HIV established the secondary structure and location of hydrophilic regions. Secondary structure was determined from the computer analysis using the method of Chou and Fasman (Biochemistry 13:211 and 13:222, 1974; Advances in Enzymology 47:45, 1978). Potential areas of beta turns were localized using the method of Rose (Nature 272:586, 1978). Hydrophilic regions of the envelope protein were identified by the technique of Rose and Roy (Proc. Nat'l. Acad. Sci. USA 77:4643, 1980).

The peptides of the instant invention correspond to, or are homologous with, B-cell epitopes present within the central region of the HIV isolate HTLV-III<sub>8</sub> envelope protein, or envelope protein of related HIV isolates. The peptides of the present invention are about 35 amino acids (units) or less in length, are hydrophilic, and when conjugated to appropriate

carrier molecules, evoke the production in mammals of high titers (that is, advantageously, a reduction in infectivity of 100 infectious units of approximately 80% in vitro at 1:600 dilution of serum) of type (or isolate) specific neutralizing antibodies against HIV. Unlike the intact gp120 molecule, the peptides themselves are not capable of inhibiting interaction between the CD4 (T4) molecule on the surface of T lymphocytes and macrophage HLA class II molecules, and thus do not interfere with normal immune function. That is, peptides of the instant invention capable of inducing anti-HIV neutralizing antibodies, do not inhibit antigen-specific normal T cell proliferative responses in vitro.

Peptides of the instant invention can have, for example, the sequence CTRPNNNTRKSIRIQRGPG (designated SP-10), corresponding to amino acids 303-321 of the HTLV-III<sub>8</sub> envelope glycoprotein gp120 (Ratner et al., Nature 313:277, 1985), or some portion of that sequence. Peptides of the invention can also have sequences corresponding to the analogous SP-10 regions of HIV isolates other than HTLV-III<sub>8</sub>, or portions thereof, these sequences being designated "SP-10-like" (see, for example, sequences in Table I).



TABLE I  
SP-10 and SP10-Like Sequences

SP-10 III <sub>b</sub>	CTRPNNNTRKSIRIQRGPG
SP-10 MN	CTRPNYNKRKRIHIGPGRAF
SP-10 RF	CTRPNNNTRKSITKGPGRVIY
SP-10 SC	CTRPNNNTTTSIHIGPGRAFY
SP-10 WMJ-1	CTRPNNNVRRRHHIGPGRAFY
SP-10 WMJ-2	CTRPYNNVRRSLSIGPGRAFR
SP-10 WMJ-3	CTRPNDIARRRIHIGPGRAFY
SP-10 ARV-2	CTRPNNNTRKSIYIGPGRAFH
SP-10 LAV-I	CTRPNNNTRKSIRIQRGPG
SP-10 HIV-2 (LAV-2)	CKRPGNKTVKQIMLSGHVHFHSHY

The expression "SP-10-like" includes within its meaning the SP-10 sequence itself.

Carrier molecules to which peptides of the invention are covalently linked (conjugated) are advantageously, non-toxic, pharmaceutically acceptable and of a size sufficient to produce an immune response in mammals. Examples of suitable carrier molecules include tetanus toxoid, keyhole limpet hemocyanin (KLH), and peptides corresponding to T cell epitopes (that is, T1 and T2) of the gp120 envelope glycoprotein that can substitute for non-

AIDS virus-derived carrier molecules (Cease, Proc. Nat'l. Acad. Sci. (USA) 84:4249, 1987; Kennedy et al., J. Biol. Chem. 262:5769, 1987). Peptides can also be administered with a pharmaceutically acceptable adjuvant, for example, alum, or conjugated to other carrier molecules more immunogenic than tetanus toxoid.

Linkage of a carrier molecule to a peptide of the invention can be direct or through a spacer molecule. Spacer molecules are, advantageously, non-toxic and reactive. Two glycine residues added to the amino terminal end of the peptide can provide a suitable spacer molecule for linking SP-10-like sequences, or portions thereof, to a carrier molecule; alternatively, SP-10-like sequences, or portions thereof, can for example be synthesized directly adjacent to, for example, another immunogenic HIV envelope sequence, for example, T1 or T2. Cysteines can be added either at the N or C terminus of the SP-10-like peptide for conjugation to the carrier molecule or to both ends to facilitate interchain polymerization via di-sulfide bond formation to form larger molecular aggregates.

Conjugation of the carrier molecule to the peptide is accomplished using a coupling agent. Advantageously, the heterofunctional coupling agent M-maleimidobenzoyl-N-hydroxysuccinimide ester (MES)

or the water soluble compound m-maleimido-benzoylsulfosuccinimide ester (sulfo-MES) is used, as described by Green et al (Cell, 28:477; 1982) and by Palker et al. (Proc. Nat'l Acad. Sci. (U.S.A.) 84:2479, 1987).

Vaccines of the instant invention comprise one or more SP-10-like peptides, or portion thereof, each SP-10-like peptide being derived from a different HIV strain, which peptides are conjugated to carrier molecules. A polyvalent vaccine comprising a mixture of synthetic peptides, advantageously about 2 to about 10, corresponding in sequence to, for example, the isolates indicated in Tables I, can be used to provide immunity in man against various forms of HIV.

Advantageously, the SP-10 sequence of HTLV-III<sub>B</sub> (see Table I) can be conjugated to or synthesized with either the HTLV-III<sub>B</sub> gp120 envelope T cell epitope T1 (amino acids 428-443 of gp120), KQIINMWQEVGKAMYA, or to the T2 epitope (amino acids 112-124 of HTLV-III<sub>B</sub> gp120), HEDIISLWNQSLK (Cease et al., Proc. Nat'l. Acad. Sci. (USA) 84:4249, 1987) to form a single polypeptide (in the case of T1-SP-10 from the HTLV-III<sub>B</sub> isolate of HIV, KQIINMWQEVGKAMYACTRPNNNTRKSIRIQRGPG). Similarly, T1 or T2 sequences from other HIV isolates can be linked to synthetic peptides derived from the SP-10 region of the corresponding isolates (see Table I),

advantageously, at the N terminus of the SP-10-like peptide, to make a T1(or T2)-SP-10-like peptide capable of inducing neutralizing antibody titers against a specific strain of HIV. Linkage at the C terminus of the SP-10-like peptide is also possible.

Smaller portions of SP-10-like peptides, for example, SP-10 RF(A) and SP-10 C (Table II) can also be covalently linked to carrier molecules, including gp120 T cell epitopes, and used in a vaccine.

The present invention also relates to an effective protective vaccine against strains of HIV comprising, in addition to SP-10-like sequences and appropriate carrier molecule(s) additional sequences from the gp120 envelope molecule. Since there is a major hypervariable region that is carboxy terminal to peptides designated as SP-10-like in Table I (envelope amino acids 322-333, Ratner et al, Nature 313:277, 1985), and since the hypervariable region may play a role in enhancing the ability of SP-10-like peptides to raise type-specific neutralizing antibodies, amino acid sequences corresponding to a hypervariable region (approximately amino acids 322-333) of HIV isolates can be included as vaccine components, in part or in whole, as described for other SP-10-like peptides (see, for example, sequences in Table II). Hypervariable sequences are linked advantageously C-terminal to the SP-10-like

peptide. Linkage N-terminal to the SP-10-like peptide is also possible.

Table II

SP-10 and SP-10-like sequences containing an additional carboxyterminal hypervariable domain and shortened SP-10-like sequences.

SP-10 IIIB	CTRPNNNTRKSIRIQRGPGRAFVTIGKIGN
SP-10 MN	CTRPNYNKRKRIHIGPGRAFYTTKNIIGT
SP-10 RF	CTRPNNNTRKSITKGPGRVIIYATGQIIIGD
SP-10 SC	CTRPNNNTTRSIHIGPGRAFYTGDIIIGD
SP-10 WMJ-1	CTRPNNNVRRRIHIGPGRAFYTGEIRGN
SP-10 WMJ-2	CTRPYNNVRRSLSIGPGRAFRTREIIGI
SP-10 WMJ-3	CTRPNDIARRRIHIGPGRAFYTGKIIGN
SP-10 ARV-2	CTRPNNNTRKSIYIGPGRAFHTTGRIIGD
SP-10 LAV-I	CTRPNNNTRKSIRIQRGPGRAFVTIGKIGN
SP-10 HIV-2	CKRPGNKTVKQIMLSGHVVFHSHYQPINKRPRQ
(LAV-2)	
SP-10 C	CTRKSIRIQRGPGR(Y)
SP-10 RF(A)	CRKSITKGPGRVIIY

The present invention also relates to an effective protective vaccine against strains of HIV comprising, in addition to a SP-10-like sequence and a carrier molecule, a peptide corresponding to the HIV gp41 transmembrane region that is involved in

viral-induced cell fusion, FLGFLG, (Gallagher, Cell 50:327, 1987). The FLGFLG sequence is added, advantageously, at the C terminus of the SP-10-like peptide. Addition at the N terminus of the SP-10-like peptide is also possible.

The present invention also relates to an effective vaccine against HIV formed from cysteine-T1-(or T2-)SP-10-like, cysteine-T1-(or T2-)SP-10-like-hypervariable region, or cysteine-T1-(or T2-)SP-10-like-FLGFLG polypeptides; and/or SP-10-like cysteine or SP-10-like-hypervariable region-cysteine polypeptides. The polypeptides can be treated with oxidizing agents to induce disulfide bonds between polypeptide chain cysteines, to effect polymerized and therefore, highly immunogenic antigens. The molecular aggregates thus formed advantageously comprise SP-10-like peptides derived from (corresponding to) at least 2 HIV isolates.

A polyvalent HIV vaccine of the instant invention comprises, advantageously, two or more conjugates comprising an SP-10-like sequence, or portion thereof (see, for example, sequences in Table 1) derived from 2 or more HIV isolates, and a carrier molecule such as tetanus toxoid, or two or more T1- or T2-SP-10-like peptide conjugates, wherein both the T1 (or T2) and the SP-10-like sequences correspond to sequences present in a specific HIV isolate.

The advantage of using, as a carrier molecule, a synthetic peptide reflecting a portion of the gp120 molecule recognized by helper T cells, is that no other carrier molecule, such as tetanus toxoid, would be required, and the B and T cell response to HIV would be specific. Combining in a polyvalent vaccine several peptides reflecting sequences from the SP-10 region of different isolates, and possibly the T cell recognition region of the gp120 envelope, overcomes the problem of isolate-specific neutralization.

The present invention also relates to a polyvalent vaccine comprising SP-10-like peptides linked to hypervariable sequences described above (see, for example, Table II). A mixture of such polypeptides, coupled to appropriate carrier molecules and/or polymerized via disulfide bond formation (Harington, C.R., et al., Biochem. J., 30:1598, 1930; Harington, C.R., et al., Biochem. J., 38:417, 1944; Weygand et al., Z. Naturforsch., 176:807, 1962), can be used as a vaccine to evoke a protective antibody response to multiple isolates of HIV.

SP-10-like peptides can be used in a solid phase radioimmunoassay (Palker et al. J. Immunol 136:2393, 1986; ibid., Proc. Nat'l. Acad. Sci. (USA) 84:2479, 1987) to (i) detect the presence and titers of neutralizing antibodies of HIV; and (ii) to determine

with which strain of HIV the patient is infected. Thus, in addition to SP-10-like peptides being used as a vaccine or a component of a vaccine, the peptide can be used, as described above, for diagnostic purposes. Peptides of the instant invention can also be used in standard enzyme linked immunosorbent assays to detect the presence of HIV antibodies.

Summarizing and supplementing specific aspects of the foregoing, the present invention relates, at least in part, to a synthetic peptide comprising at least two regions of HIV proteins, the T1 gp120 env region, reported to be recognized by both B cells (Palker et al J. Immunol. 142:3612, 1989) and helper T cells (Caasa et al Proc. Natl. Acad. Sci. (USA) 84:4249, 1987), and the SP10-like gp120 env region, a region that is also recognized by helper T cells and as well as by B cells and induces antibodies that are capable of neutralizing the human immunodeficiency virus (HIV) (see reference of Palker et al cited immediately above; Palker et al Proc. Natl. Acad. Sci. (USA) 85:1932, 1988; and also Rusche et al Proc. Natl. Acad. Sci. (USA) 85:3198-3202, 1988 and Goudsmit et al Proc. Natl. Acad. Sci. (USA) 85:4478, 1988) (see Tables III and IV).



Table III

VARIANTS OF THE T1-SP10 PEPTIDE DERIVED  
FROM HIV MN ENVELOPE SEQUENCES

	F	T1	SP10	A
T1-SP10		KQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRA		
T1-SP10(A)		KQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRAFYTTR		
F-T1-SP10	AVGIGALFLGFLKQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRA			
F-T1-SP10(A)	AVGIGALFLGFLKQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRAFYTTR			

Sequences from Meyers et al. Human Retroviruses and  
AIDS, 1988, Los Alamos National Laboratory, Los  
Alamos, New Mexico, p. 11 68-92.

TABLE IV

VARIANTS OF THE T1-SP10 PEPTIDE DERIVED  
FROM HIV IIIB ENVELOPE SEQUENCES

	F	T1	SP10	A
T1-SP10		KQIINMWQEVGKAMYACTRPNNTKRSIRIQRGPG		
T1-SP10(A)		KQIINMWQEVGKAMYACTRPNNTKRSIRIQRGPGRAFVTI		
F-T1-SP10	AVGIGALFLGFLKQIINMWQEVGKAMYACTRPNNTKRSIRIQRGPG			
F-T1-SP10(A)	AVGIGALFLGFLKQIINMWQEVGKAMYACTRPNNTKRSIRIQRGPGRAFVTI			

Sequences from Ratner et al. Nature, 313:277, 1985.

Neutralizing antibodies produced by T1-SP10-like  
peptides are type-specific, in that antibodies raised  
against the HIV HTLVIIIB (IIIB) isolate do not  
neutralize the HIV HTLVIIIMN (MN) or HTLVIIIRF (RF)

HIV isolates (Palker et al. J. Immunol. 142:3612, 1989). Similarly, neutralizing antibodies raised against the T1-SP10-like peptides containing sequences from the MN or RF HIV isolate neutralize the homologous isolate but do not neutralize any of the other two HIV isolates. However, when goat anti-T1-SP10-like antisera were tested against 9 HIV field isolates in North Carolina, anti-T1-SP10IIIB serum was observed to neutralize 1 of 9 HIV isolates, anti-T1-SP10RF serum neutralized 3 of 9 HIV isolates, and anti-T1-SP10MN serum neutralized 6 of 9 HIV isolates (Haynes et al AIDS Res. Retrol. 6:38, 1990) (see Table V).

TABLE V  
ABILITY OF ANTI-T1-SP10 SERUM TO NEUTRALIZE  
NORTH CAROLINA FIELD ISOLATES OF HIV

---

ANTI-T1-SP10IIIB	1/9 (11%)
ANTI-T1-SP10RF	3/9 (33%)
ANTI-T1-SP10MN	6/9 (67%)

---

La Rosa et al (Science 249:932,1990) have shown that the HIV MN motif described by Haynes et al in AIDS Res. Retrol. (above) is one of the predominant

motifs of HIV isolates cultured from AIDS patients around the United States.

Palker et al (J. Immunol. 142:3612, 1989) were the first to report that the strategy of mixing peptides from various isolates could be a successful approach to the problem of raising antibodies against numerous strains of HIV with divergent amino acid sequences in the 303-337 region of the HIV envelope. Moreover, Palker et al reported that the T1-SP10-like peptide was advantageous over synthetic peptides coupled to carrier molecules such as KLH or tetanus toxoid. Whereas carrier coupled peptides only induced large amounts of antibody against the carrier in polyvalent mixtures of peptides, when the T1 sequence of HIVIIIB env (amino acids 429-443) was covalently linked N-terminal to the SP10 sequence (amino acids 303-321), this carrier-free immunogen induced high titers of neutralizing antibodies to all three HIV isolates whose sequences were present in the T1-SP10 peptides. Moreover, Hart et al (J. Immunol. 1990) have recently shown that the T1-SP10 peptide is non-toxic to immune cells in rhesus monkeys and is capable of inducing high-titered neutralizing antibodies and T helper cells in vivo in these primates. Thus, the T1-SP10-like synthetic peptide construct is a simple, non-toxic and highly efficacious molecule for inducing high titered anti-

HIV neutralizing antibody responses and T-helper cell responses in goats and primates.

One of the major problems in developing a vaccine for AIDS has been the question of whether antibody responses alone can protect an individual against both cell-free HIV and HIV-infected cells, or whether cell mediated immune responses (antigen-specific cytotoxic T cells) are needed as well. Certainly, many other viral infections require both antibody and cellular anti-viral immune responses for the generation of protective immunity (Long et al Immunol. Today 10:45, 1989). In addition, local immunity at mucosal surfaces consisting of IgG and IgA antibody responses and mucosal surface-associated cytotoxic T cell activity may be required to protect against transmission of HIV via sexual contact or via exposure of mucosal surfaces with infected blood. Thus, a synthetic peptide immunogen would be desirable that induced cytotoxic T cell (CTL) responses to HIV in addition to inducing neutralizing antibody and T helper cell responses. In addition to the embodiments disclosed and summarized above, the present invention relates to such an immunogen.

The F region (for example, amino acids 519-530 of the BH10/IIIB HIV isolate and homologous regions of other HIV-1, HIV-2 and simian immunodeficiency virus (SIV) isolates) has sequence homology to the F1

(fusion) peptides of paramyxoviruses (Gallagher Cell 50:327, 1987). The F region has been postulated to form a hydrophobic helical structure capable of inserting into lipid bilayers of cell membranes and inducing cell fusion. Bosch et al (Science 244:694, 1989) have demonstrated that the F region in SIV (that is, the region homologous to the 519-530 env gp41 region of the BH10/IIIB HIV isolate) does indeed mediate cell fusion of SIV-infected cells.

It has been determined that F-derivatized peptides are internalized in immune cells in such a way as to induce the same type of cytotoxic T cell response that is necessary for control of many viral infections, namely, the generation of HLA-restricted CD8+ cytotoxic T cells. F-derivatized peptides interact with cells of the immune system such that when injected into a mammal, they induce anti-HIV memory T helper cell activity, anti-HIV neutralizing antibodies, and memory anti-HIV CD8+, HLA-Class I restricted cytotoxic T cell responses.

Accordingly, the present invention relates, in a preferred embodiment, to peptides of the general formulae:

F-Th-SP10(X)

Th-SP10(X)

Th-SP10

and

F(X)

wherein:

F sequences are from the putative fusogenic domain of HIV env gp41 (for example, amino acids 519-530 in HIV isolate BH10/IIIB or homologous regions in other HIV-1, HIV-2 or SIV isolates, or sequences functionally equivalent thereto);

Th sequences are either the T1 or T2 T helper epitopes or alternatively are any of the T helper cell epitopes listed in Table X (below) or amino acid sequences from other regions of HIV proteins not listed but that function as T helper epitopes;

SP10-like sequences are from Tables I or II (see also Table VIII below) or from any SP10-like sequence from HIV field isolates (see, for example, LaRosa et al Science 249:932, 1990); and

(X) sequences are HIV protein sequences recognized by MHC Class I or Class II restricted cytotoxic T cells. Examples of (X) region sequences are given in Tables VIII and IX below.

Alternatively, F sequences can be, for example, C-terminal to Th-SP10(X) sequences. Moreover, Th, SP10 and (X) sequences can be arranged in any order in the peptide construct.

The synthetic peptide immunogen of this embodiment of the invention is capable of inducing anti-HIV neutralizing antibodies, anti-HIV helper T

cells, and anti-HIV cytotoxic (killer) T cells. One skilled in the art will appreciate that this immunogen (which is a fusion protein) can either be synthesized chemically or by recombinant means known in the art.

The immunogen can have, for example, the structure: F-T1-SP10-(A). While examples of such immunogens are given in Tables III and IV, one skilled in the art will appreciate that any SP10-like sequence from field or laboratory HIV isolates (for example, LaRosa et al Science 249:932, 1990) can be substituted for the SP10 sequences shown in Tables III and IV (see also Tables I and II).

The T1-like sequences can be selected from T1-homologous sequences from any sequenced HIV isolate including those shown in Table VI.

TABLE VI  
HIV Envelope gp120 T1 Sequences  
From Multiple HIV Isolates

Isolate	Sequence
<hr/>	
HIV-1	
BH10/IIIB	K Q I I N M W Q E V G K A M Y A
BRU	- - F - - - - - - - - - -
MN	- - - - - - - - - -
SC	- E I - - - - - - - - - -
SF2	- - I - - - - - - - - - -
CDC4	- - I - - R - - V - - - - -
WMJ2	- - I - - - - - G - - - - -
RF	- - I V - - - - - - - - - -
ELI	- - I - - - V A G : R - - I - -
MAL	- - I - - - - - K T - - - - -
Z6	- - I - - - - - G - - - - -
Z3	- - V V R T - - G - - Q - - - -
Z321	- - I V - - - - R - - Q - - - -
JY1	- - I - - - - - G - - - - -
 HIV-2	
RCD	K Q I I N T W H K V G R N V Y L
NIHZ	R - - - - - R - - K - L - -
<hr/>	

Sequences for BH10 are amino acids 428-443 from Ratner, L. et al, Nature 313:277-284, 1985.  
Sequences for the remainder of the HIV-1 and HIV-2 isolates from Myers, et al, Human Retroviruses and AIDS, 1988, Los Alamos National Laboratory, Los Alamos, New Mexico, p. II-89.  
: = no amino acid.



The F-like sequences can be selected from F-homologous sequences from any sequenced HIV isolate, including those shown in Table VII.

TABLE VII

HIV Envelope gp41 Fusion Protein (F)  
Sequences From Multiple HIV Isolates

Isolate	Sequence
HIV-1	
BH10	A V G : I G A L F L G F L
MN	A A : : - - - - -
SC	- - - T - - - M - - - - -
SF2	- - - I V - - - M - - - - -
CDC4	- - - M L - - - M - - - - -
WMJ2	- - - T - - - M - - - - -
RF	- - - T - - - M - - - - -
ELI	- I - : L - - M - - - - -
MAL	- I - : L - - M - - - - -
Z6	- I - : L - - M - - - - -
Z321	- I - M : - - F - - - - -
JY1	- I - : L - - V - - - - -
WMJ1	- - - A - - - M - - - - -
HIV-2	
ROD	R G V F V L G F L G F L
NIHZ	- - - - - - - - - - -

Sequences for BH10 are amino acids 519-530 from Ratner, L. et al Nature 313:277-284, 1985. Sequences for the remainder of the HIV-1 and HIV-2 isolates from Myers, et al, Human Retroviruses and AIDS, 1988,

Los Alamos National Laboratory, Los Alamos, New Mexico, p. II-90. WMJ1 sequence is from Brasseur et al. AIDS Res. Hum. Retrovirol. 4:83-90, 1988.

: = no amino acid

The (A) region-like sequences can be selected from (A)-homologous sequences from any HIV isolate, including those shown in Tables II and VIII.

TABLE VIII

SP 10 AND SP10-LIKE and (A) REGION gp120  
SEQUENCES FROM MULTIPLE HIV ISOLATES

Isolate	SP10 Region	(A) Region
HIV-1		
BH10 (IIIB)	CTRPNNNTTRKSIIRIQRGPG	RAFVTIGKIG
MN	CTRPNNYNKRRRIHIGPGRA	FYTTKNIIGT
RF	CTRPNNNTTRKSIITKGPGRVIY	ATGQIIIGD
SC	CTRPNNNTTTRSIHIGPGRAFY	ATGDIIGD
WMJ-1	CTRPNNNVRRRRIHIGPGRAFY	TGEIRGN
WMJ-2	CTRPYNNVRRSLSIGPGRAFPR	TREIIGI
WMJ-3	CTRPNDIARRRIHIGPGRAFY	TGKIIGN
ARV-2	CTRPNNNTTRKSIYIGPGRAFPH	TTCRIIGD
LAV-1	CTRPNNNTTRKSIIRIQRGPG	RAFVTIGKIG
HIV-2		
LAV-2	CKRPGNKTVKQIMLMSGHVFHSHYQPINKRPRQ	

Sequences from BH10 (IIIB) are from Ratner et al Nature 313:270-284, 1985.

The invention further relates to a peptide comprising the F region sequence (that is, for example, amino acids 519-530 of the BH10/IIIB isolate or other homologous region in other HIV-1, HIV-2 or SIV isolates) from HIV gp41 placed (covalently linked) N terminal to SP10 or SP10-like regions from any HIV sequence (see, for example, Table II) from

field isolates such that the resulting construct can induce neutralizing antibodies and cytotoxic T cells against HIV.

One skilled in the art will appreciate from a reading of the present disclosure that MHC Class I restricted cytotoxic T cells can be induced by administering *in vivo*, as an example, the 519-530 amino acid region of HIV gp41, consisting of the 12 amino acids: AVGIGALFLGFL (F) or F-region sequences of other HIV-1, HIV-2 or SIV isolates (see, for example, Table VII) that are homologous to the 519-530 amino acid sequence of isolate BH10/IIIB (Table VII), covalently linked to any other peptide ranging in length from, for example, 3 to 50 amino acids, such that the F-linked peptide will associate with antigen-presenting cells in such a way as to effect the processing and presentation of the synthetic peptide that is covalently linked to F so that the peptide is presented to T cells in the context of MHC Class I molecules and generates the development of CD8+ cytotoxic T cells *in vivo*. In the context of an efficacious AIDS vaccine, several F-derivatized hybrid HIV peptides can be constructed comprising the F amino acid sequence (see, for example, Table VII) coupled N or C terminal to an amino acid sequence of HIV proteins that are capable of inducing cytotoxic T cells *in vivo*. Examples of described HIV peptides

that are capable of being recognized by HLA Class I  
cytotoxic T cells are shown in Table IX.

TABLE IX  
CYTOTOXIC T CELL EPITOPES OF HIV PROTEINS

AA	Peptide Name	HIV Protein	Sequence(Retricting HLA)	Ref.
315-329	p10	gp120	RIQGPGRFVITGK	a
350-360	p21	gp120	KQIDSKLREQFGNNK	a
410-429	---	gp120	GSDTITLPCRKIQFINMWQE (DR4)	b
644-658	p41	gp41	NYTSLTHSLIEESQN	a
664-678	p42	gp41	EQELLELDKWSLWN	a
787-801	p47	gp41	RIVELLGRRGWEALK	a
172-196	40	pol (rt)	IETVPVKLPGMDGKVKQWPLTER (B8)	c
325-349	49	pol (rt)	AIFQSSMTKILEPPRKQNPDIVIYQ (A11)	c
342-366	50	pol (rt)	NPDIVIYQMDLDLYVGSDETQHR (A11)	c
359-383	51	pol (rt)	DLEICQHRTKIEELRQHLLRWGLTT (Bw60)	c
461-485	57	pol (rt)	PLTEEALELEAENRBILKEPVHGVY (A2)	c
495-519	59	pol (rt)	EIQKQGQGWTYQIYQEPFKNLKTG (A11)	c
265-279	---	gag p24	KRWIIILGLNKIVRMV(C) (B27)	d

39

Sequence numbers for gp120 and gp41 are from Ratner et al Nature 313:277-284, 1985.  
Sequence numbers for pol and gag proteins from Sciliciano et al (Cell 54:561, 1988) and Walker et al (Proc. Natl. Acad. Sci. (USA) 86:9514, 1989), respectively.

- a Takahashi et al Proc. Natl. Acad. Sci. (USA) 85:3105, 1988
- b Sciliciano et al Cell 54:651, 1988
- c Walker et al Proc. Natl. Acad. Sci. (USA) 86:9514, 1989
- d Nixon et al Nature 336:484, 1988.

This latter strategy is important in that cytotoxic T cell epitopes are recognized by specific polymorphic HLA Class I or Class II molecules. If only 1 such epitope [represented by one linear sequence of a peptide, such as the (A) peptide] is present in the vaccine, then only those individuals with the specific HLA antigen that the (A) peptide uses to be presented to cytotoxic T cells would develop cytotoxic T cells against HIV. However, if numerous F-derivatized peptides, each containing a peptide that is capable of being recognized in the context of a distinct HLA Class I or Class II molecule by cytotoxic T cells, are contained in an immunogen, then individuals with a wide spectrum of HLA-types will make cytotoxic T cells against HIV.

Thus, an immunogen capable of inducing anti-HIV cytotoxic T cells in the majority of people in a population, advantageously contains a mixture of peptides, each recognized by a distinct HLA Class I type (for instance) such that together, the mixture includes peptides that are immunogenic and recognized by Class I types of molecules that, taken together, are expressed by the majority of individuals in a given population. Table IX shows examples of described cytotoxic T cell epitopes and their HLA restricting elements, if known, that are the types of peptides that can be derivatized by F-sequences and

used as a mixture with F-T1-SP10(A) peptides. Alternatively, sequences in Table IX can be covalently linked C-terminal to SP10 sequences in F-T1-SP10 peptides instead of (A) sequences and a mixture of F-T1-SP10(X) peptides used as an AIDS vaccine (in the formulation F-T1-SP10(X), X is either an (A) sequence (see Tables II and VIII) or other cytotoxic T cell-inducing sequence such as are listed in Tables IX).

The same considerations of MHC restriction that apply to T cytotoxic epitopes also apply to T helper epitopes. That is, recognition of antigens by T helper cells is HLA restricted and for the majority of members of a population cohort to respond to an immunogen and generate a T helper cell response to the immunogen, sufficient T helper cell epitopes will need to be present in order to have available sufficient varieties of T helper epitopes within which each patients' T cells may be able to see processed antigen in the context of their own HLA Class II molecules. Table X shows T helper cell epitopes of HIV proteins that can be substituted for the T1 or T2 sequences in the F-Th-SP10(X) construct to provide alternative T helper cell epitopes in the construct.



TABLE X

## T Cell Helper Epitopes in HIV Proteins

Peptide Name	Amino Acid	Protein	% Responding
gp41-1	603-614	gp41	41
gp41-2	609-620	gp41	42
gp41-3	655-667	gp41	38
gp41-5	737-749	gp41	21
gp41-7	584-609	gp41	24
gp120-1	108-119	gp120	31
gp120-2	115-126	gp120	38
gp120-4	296-312	gp120	14
gp120-5	368-377	gp120	21
gp120-6	74-85	gp120	7
gp120-7	233-244	gp120	7
p24-1	466-473	p24	14
p24-2	439-446	p24	14
p24-3	228-235	p24	14
p24-4	22-29	p24	14
p24-11	282-301	p24	24
pol-1	899-913	pol	28
pol-2	923-937	pol	38
pol-3	942-954	pol	48
pol-4	720-730	pol	35
T1	428-443	gp120	77
T2	112-124	gp120	54
TH4	834-848	gp41	75
p18	315-329	gp120	50
gp41-1 + gp41-2 + gp41-3			80
pol-1 + pol-2 + pol-3			80
gp41-1 + gp41-3 + pol-1 + pol-2			93
T1+T2			85

Sequences from the first 20 peptides above are from Schrier et al (J. Immunol. 142:1166-1176, 1989) and sequences T1, T2, Th4 and p18 are from Clerici et al (Nature 339:383-385, 1989).

Studies indicate that the same T helper cell epitope may be recognized by T cells in the context of multiple HLA Class II specificities and, therefore, only a few T helper epitopes are needed to formulate an effective synthetic peptide based AIDS vaccine. Clerici et al (Nature 339:383-385, 1989) have provided data that T cells of 85% of the population studied could recognize either T2 or T1 T helper cell epitopes (see Table X). Schrier et al (J. Immunol. 142:1166-1176, 1989) have identified a number of helper T cell epitopes in HIV proteins and demonstrated that T cells of 93% of the population studied responded to at least 1 of 4 T helper cell epitopes (see Table X).

Thus, in a preferred embodiment, the AIDS vaccine of the present invention has the general structure and composition of mixtures of peptides of the formulation:

F-Th-SP10(X)

Th-SP10(X)

Th-SP10

and

## F(X)

where, as indicated above, F sequences are from the putative fusogenic domain of HIV env gp41 (for example, amino acids 519-530 in HIV isolate BH10/IIIB or homologous regions in other HIV-1, HIV-2 or SIV isolates, or sequences functionally equivalent thereto) (see, for example, Table VII); Th sequences are either the T1 or T2 T helper epitopes or alternatively are any of the T helper cell epitopes listed in Table X or amino acid sequences from other regions of HIV proteins not listed but that function as T helper epitopes, SP10-like sequences are from Tables I, II or VIII or from any SP10-like sequence from HIV field isolates (see, for example, LaRosa, G. et al. Science 249:932-935, 1990); and (X) sequences are HIV protein sequences recognized by MHC Class I or Class II restricted cytotoxic T cells. Examples of (X) region sequences are given in Tables VIII and IX.

The exact sequences to be included in the F-Th-SP10(X), Th-SP10(X), Th-SP10 and F(X) peptides and the number of different peptides comprising the AIDS vaccine of the invention is determined by the number of cytotoxic T cell (X) and Th epitopes needed to induce cytotoxic T cells and T helper cell responses in the majority of subjects in a given population cohort. One skilled in the art will appreciate that

the order of F, Th, SP10 and (X) can vary as long as the above-indicated function of each is retained. For the induction of protective anti-HIV neutralizing antibodies, the specific SP10-like sequences necessary to be present in F-Th-SP10(X) peptides will depend upon the number of variations of HIV isolates in a given population at a given time. One skilled in the art will appreciate that this information will need to be actively and continuously monitored in the population and the formulation of the AIDS vaccine changed from time to time depending on changes in the above variables.

The induction of protective anti-HIV neutralizing antibodies in populations that include a number of different HIV isolates can be effected using the vaccine strategy described above and/or by employing at least one peptide construct that mimics a conserved conformational determinant of gp120 and thus is capable of inducing broadly cross-reactive anti-HIV antibodies. One such construct takes the form of a mimeotope of a conformational determinant of the native HIV gp120 C4-V3 region and is exemplified by T1-SP10CANO(A) (see Table XXIII). While the primary V3 sequence of the CANO envelope is widely disparate from other HIV envelop V3 sequences (see again Table XXIII), the T1-SP10CANO(A) peptide induces cross-reactive anti-V3 antibodies against a

variety of HIV V3 motifs (see Example 11). This induction of cross-reactivity is due to secondary and higher order structures of the V3 loop of the HIV CANO isolate that result in the T1-SP10CANO(A) C4-V3 hybrid mirroring a broadly neutralizing determinant of HIV gp120. This is demonstrated by the fact that the human anti-gp120 monoclonal antibody 48d (which blocks mouse monoclonal antibodies that prevent CD4 binding to gp120 but does not itself block gp120-CD4 binding (Thali et al, J. Virol. 67:3978-3988 (1993)) binds T1-SP10CANO(A).

As an alternative embodiment (strategy), an effective vaccine can be formulated by determining the HLA Class I and Class II types for a particular individual by, for example, either polymerase chain reaction analysis or by conventional HLA tissue typing analysis. Based on that information, the specific immunogens that need to be included in the F-Th-SP10(X), Th-SP10(X), Th-SP10 and F(X) formulation can be determined. Thus, in this latter embodiment, the peptides given to the subject are those necessary for eliciting the desired anti-HIV B and T cell responses.

From a reading of the foregoing, one skilled in the art will appreciate that this is a general strategy for development of a vaccine for any infectious disease. Moreover, the ability to

conjugate the F-region from the HIV gp41 envelope protein to any sequence capable of being recognized by cytotoxic T cells (thereby creating a linear peptide suitable for injection and capable of being recognized by cytotoxic T cells in the context of MHC Class I molecules) provides a simple and effective method of inducing MHC Class I restricted cytotoxic T cells to any peptide bearing cytotoxic T cell epitopes. This is the case regardless of whether the sequence of the cytotoxic T cell epitope is derived from proteins in an invading organism or whether the cytotoxic T cell epitope sequences are derived from host proteins.

As an example of the use of F-derivatized peptides that include sequences from host proteins, it is contemplated that F-derivatized peptides can be used comprising HIV gp41 F sequences (for example, amino acids 519-530 from the BH10/IIIB HIV-1 isolate or from homologous regions of other HIV-1, HIV-2 or SIV isolates, or sequences functionally equivalent thereto) conjugated either N- or C-terminal to peptides capable of being recognized by cytotoxic T cells in the context of MHC Class I or Class II, the sequences for such peptides being derived from the variable region of T cell receptor for antigen (TCR) molecules expressed on the surface of autoreactive T cells that mediate host tissue destruction in various

autoimmune diseases, infectious diseases and in the setting of organ transplantation.

Sun et al (Nature 332:843, 1988; Eur. J. Immunol. 18:1993, 1988) have reported the isolation of cytotoxic T cell clones that are specific for idiotypic determinants on encephalitogenic T cells and which adoptively transfer resistance to experimental autoimmune encephalomyelitis. The concept of immunization of subjects with autoimmune disease with immunogens that would induce an immune response against the autoimmune clone of T cells has recently been recognized as an important experimental approach (Reviewed on Cohen et al Immunol. Today 332, 1988; Howell et al Science 246:668, 1989; Wraith et al Cell 57:709, 1989). Thus, the present invention provides a simple and effective method for inducing MHC-restricted Class I or Class II cytotoxic T cells to peptides of host antigens and thus represents a major advance in the development of vaccines for autoimmune disease.

Using standard recombinant DNA techniques and existing probes and sequences for TCR molecule antigen binding regions, sequences can be obtained from unique regions of the TCR molecules (Barns et al J. Exp. Med. 169:27, 1989). F-derivatized peptides can be used to induce a cytotoxic T cell immune response targeted to the specific clones of T cells

bearing TCRs responsible for antigen-specific T cell-mediated host tissue damage in the above disease categories. Once induced, such an F-peptide-induced anti-TCR-targeted cytotoxic T cell response can eliminate the autoreactive clone or T cells, thereby providing a novel, highly specific strategy for the control of T cell-mediated tissue destruction.

A second example of the use of F-derivatized host peptides is to similarly control antibody-mediated tissue damage that occurs in the context of autoimmune diseases, infectious diseases, and in the setting of organ transplantation. B cell surface receptors for antigen (surface immunoglobulin) also contain regions that are specific for clones of B cells making antibodies. By identifying clones of B cells producing antibodies responsible for tissue-specific damage in the setting of the above disease categories, the sequence of peptides from the region of the B cell immunoglobulin molecule that binds antigen can be identified using, for example, recombinant DNA techniques. Further, sequences capable of inducing MHC Class I or Class II cytotoxic T cell responses can be identified. By derivatizing such an immunoglobulin antigen-binding region peptide with F sequences and injecting the F-derivatized peptide into the subject making the autoantibody, a cytotoxic T cell response against an autoantibody-



producing B cell can be induced, thereby eliminating a tissue damaging autoantibody response that occurs in the context of the above disease categories.

A third example of the use of F-derivatized non-HIV proteins is the use of the principles described above for specific elimination of autoreactive T and B cell types for the treatment of clonal B and T cell malignancies that express on their surface clonal immunoglobulin or TCR molecules. Anti-tumor therapeutic strategies have been described that employ antibodies against variable regions of either B cell surface immunoglobulin molecules (Hamblin et al Brit. J. Cancer 42:495, 1980; Miller et al N. Eng. J. Med. 306:517, 1982) or antibodies against variable TCR regions in the case of treatment of T cell tumors (Kanagawa, O. J. Exp. Med. 170:1513-1519, 1989).

Thus, F-derivatized synthetic peptides containing the sequences of variable regions of the TCR or immunoglobulin molecules expressed on the surface of T or B cell malignant cells respectively, can be injected into the tumor-bearing host to induce anti-TCR or anti-immunoglobulin-specific cytotoxic T cell responses that kill the tumor cells.

A fourth example of the use of F-derivatized non-HIV proteins is the creation of an immunogen that kills pathogen-infected cells and thus facilitates the elimination of pathogen-infected cells from the

host. For example, Hepatitis C (non-A, non-B hepatitis) is a disease that is caused by the transfer of viral particles in cells or in serum from one individual to another. By F-derivatizing cytotoxic T cell epitope sequences of the Hepatitis C virus protein and injecting such sequences into individuals, memory anti-Hepatitis C specific cytotoxic T cell responses can be induced that protect the individual from infection with live Hepatitis C virus, thus providing a novel Hepatitis C vaccine. Such a strategy can also be used to create a vaccine for other infectious pathogens.

Much of the foregoing disclosure focusses on the design of T helper cell epitope (Th)-B cell epitope (B) peptides derived from non-continuous regions of HIV gp120 (Palker et al, J. Immunol. 142:3612-3619 (1989); Haynes et al, J. Immunol. 151:1646-1653 (1993)). The T1 epitope from the gp120 C4 region has served as a potent Th epitope in Th-B synthetic peptide design (Palker et al, J. Immunol. 142:3612-3619 (1989); Cease et al, Proc. Natl. Acad. Sci. USA 84:4249-4253 (1987)) (see Table XXVIII). A region from aa 262-281 from HIV p24 core protein, YKRWIILGLNKIVRMYS (designated GTH1 in Table XXVIII), has been reported to be a potent cytotoxic T cell determinant (Johnson et al, J. Immunol. 147:1512-1521 (1991); Nixon et al, Nature 336:484-486 (1988);

Meyerhans et al, Eur. J. Immunol. 21:2637-2640 (1991) and a Th determinant (Mills et al, Vaccines 90:213 (1990)). The presently described embodiment relates to a polyvalent mixture of synthetic peptides that are highly immunogenic and that induce antibodies against native HIV env gp120 or gp41.

The present embodiment results, at least in part, from the realization that immunogenic peptides reflective of points of contact between HIV gp120 and gp41 (termed "gp120/gp41 touchpoints") together provide antibodies against multiple sites on native gp120 and gp41, and thereby facilitate the dissociation of gp41 and gp120. Dissociation of gp41 and gp120 promotes the neutralization of HIV primary isolates by these antibodies.

A number of sites have been identified on gp120 or gp41 that are involved in, or regulate, the interactions of gp120 with gp41 (see Figure 31, Table XXVIII). These sites include: the gp120 V3 loop region (Willey, R.L. and Martin, M.A., J. Virol. 67:3639-3643 (1993)), the gp120 C2 region centered around asparagine at aa 267 (Willey, R.L. and Martin, M.A., J. Virol. 67:3639-3643 1993)), the C-terminus of gp120 (Neurath et al, Virology 188:1-13 (1992); Schulz et al, Aids Res. Human Retrovirol. 8:1571-1580 (1992); Lopalco et al, Aids Res. Human Retrovirol. 9:33-39 (1993); Lopalco et al, Eur. J. Immunol.

23:2016-2021 (1993)), and a region of gp41 centered around the aa sequence AVERY (Reitz et al, Cell 54:57-63 (1988); Neurath et al, Virology 188:1-13 (1992); Schulz et al, Aids Res. Human Retrovirol. 8:1571-1580 (1992); Lopalco et al, Aids Res. Human Retrovirol. 9:33-39 (1993)). The "AVERY" region of gp41 has been demonstrated to interact with the C5 C-terminal region of gp120 (Neurath et al, Virology 188:1-13 (1992); Schulz et al, Aids Res. Human Retrovirol. 8:1571-1580 (1992); Lopalco et al, Aids Res. Human Retrovirol. 9:33-39 (1993)) and A. Beretta has reported that antibodies against the C5 gp120 region can "loosen" the gp41/gp120 interaction and thereby inactivate HIV (Fust et al, Immunol. Today 16:167-169 (1995)). Whether the C-terminus of gp120 by itself induces anti-HIV neutralizing antibodies is controversial. Some investigators have found anti-gp120 C-terminus antibodies neutralize HIV (Broliden et al, Proc. Natl. Acad. Sci. USA 89:461-465 (1992); Kennedy et al, J. Biol. Chem. 262:5769-5774 (1987); Chanh et al, EMBO J. 5:3065-3071 (1986) while others have not (Palker et al, Proc. Natl. Acad. Sci. USA 84:2479-2483 (1987); Laal et al, J. Virol. 68:4001-4008 (1994); Karwowska et al, AIDS Res. Human Retrovirol. 8:1099-1106 (1992); Vahlne et al, Proc. Natl. Acad. Sci. USA 88:10744-10748 (1991)).

An important new region for neutralizing both laboratory-adapted and primary HIV isolates is located in gp41 near the membrane spanning region, containing the sequence, ELDKWS (Muster et al, J. Virol. 67:6642-6647 (1993); Conley et al, Proc. Natl. Acad. Sci. USA 91:3348-3352 (1994)). Although human monoclonal antibodies against this region of gp41 reportedly neutralize primary HIV isolates, this region has been poorly immunogenic in humans and animals (Conley et al, Proc. Natl. Acad. Sci. USA 91:3348-3352 (1994)). Muster et al (J. Virol. 69:6678-6686 (1995)) have succeeded in raising anti-ELDKWS antibodies but only after expressing the ELDKWS sequence in a chimeric influenza virus and using the resulting virus for immunization.

The peptides listed in Table XXVIII are against certain HIV strains (HIV MN or BAL). For effective neutralization of HIV primary isolates, it is advantageous, for each peptide specificity, to also construct peptides reflective of known mutations in the indicated epitope. Such a listing can be found in the current Los Alamos Database (G. Myers and B. Korber, Eds. 1993, Los Alamos National Laboratory, Los Alamos, NM), portions of that information also being accessible through Genbank). Table XXIX described a peptide combination that induces anti-HIV

antibody responses that synergize in neutralizing HIV  
laboratory and clinical isolates.

TABLE XXVIII

Peptide Sequences Used to Produce Immunogenic Peptides That Induce Antibodies Against Touchpoints Between HIV gp120 and gp41

Sequence	HIV Protein	Peptide Name
YKRWILGLNKIVRMYS	p24, aa262-281	GTH1
KQIINMWQEVGRAMYA	gp120, C4 region, aa421-436	T1
TRPNYNKRKRHHIGPGRAFYTTK	gp120, V3 loop region, aa301-324	SP10MN(A)
TRPNNTRKSIHHIGPGRAFYTTG	gp120, V3 loop region, aa301-324	SP10BAL
QKEKNEQELLELDKWAS	gp41, ELDKWAS region, aa652-668	SP61
RVLAVERYLRDQQLGIWGCSGKLCICTTAVPWNASWSNKS LNKI	gp41, AVERY region, aa579-622	SP400-BAL
PGGGDMRDNRSELYKYVKIEPLGVAPTAKRRVVQR	gp120, aa472-513	SP410-BAL
PVYSTQLLLNGSLAE EEEVIRS	gp120, C2 region, aa257-279	SP420-BAL
Hybrid Peptides Used To Induce Antibodies Against Native HIV Envelope Proteins		
GTH1-SP10MN(A)		
T1-SP10BAL		
GTH1-SP61		
T1-SP420-BAL		

HIV sequences taken from the Los Alamos Data Base, 1993, B. Korber, G. Myers, Eds., Los Alamos National Laboratory, Los Alamos, NM.

TABLE XXIX

Peptide Combination that Induces Anti-HIV Antibody Responses that Synergize  
in Neutralizing HIV Laboratory and Clinical Isolates.

GTH1-SP10(A) OR T1-SP10(A) (TO INDUCE ANTI-gp120 V3 REGION ANTIBODIES)

GTH1-SP61 (TO INDUCE ANTI-ELDKWAS gp41 REGION ANTIBODIES)

SP400 (TO INDUCE ANTI-AVERY REGION gp41 ANTIBODIES)

SP-410 (TO INDUCE ANTI-C5 REGION gp120 ANTIBODIES)

T1-SP420 or GTH1-SP420 (To Induce Anti-C2 gp120 Region Antibodies)



The following nonlimiting examples illustrate the invention in more detail.

EXAMPLE 1

Synthesis of Peptides and  
Preparation of Conjugates

Synthetic essentially pure peptides containing hydrophilic amino acid sequences from the HTLV-III<sub>B</sub> envelope glycoprotein gp120 (Ratner, et al. Nature, 313:277, 1985) were synthesized on an Applied Biosystems 430A peptide synthesizer using chemical and program cycles supplied by the manufacturer. Sequences of synthetic peptides are given in Table XI.

TABLE XI

SYNTHETIC PEPTIDES WITH HYDROPHILIC AMINO ACID  
SEQUENCES OF HTLV-III<sub>1</sub> ENVELOPE PROTEIN

Synthetic Peptide	Amino Acid Number <sup>a</sup>	Sequence <sup>b</sup>
SP-1	80-91	ACVPTDPNPQEV(Y)
10	303-321	CTRPNNNTRKSIRIQGPG(Y)
10A	328-344	(Y)GKIGNMRQAHCNISRAK
11	341-363	(Y)SRAKWNNTLKQIDSKLREQFGNN(C)
14	393-421	(Y)NSTQLFNSTWFNSTWSTKGSNNTEGSDTI(C)
15	461-475	(Y)LTRDGGNSNNESEIF(C)
22	504-518	APTKAKRRVVQREKR(C)

<sup>a</sup>According to Ratner et. al., Nature, 313:277, 1985.

<sup>b</sup>Amino acids in parentheses were added for iodination of peptide (Y) and coupling to carrier protein (c).

The relationship of the peptides synthesized to known recombinant proteins PE3, PBI and PENV9 is shown in Figure 1 (Putney et al., Science, 234:1392, 1986; Petteway et al., Viruses and Human Cancer: UCLA Symposia on Molecular and Cellular Biology 1987).

Peptides were conjugated to carrier molecules such as bovine serum albumin (BSA) or tetanus toxoid (TT) with MBS, as described by Green et al. (Cell, 28:477, 1982; Palker et al, Proc. Natl. Acad. Sci. (USA) 84:2479,

1987). For the coupling procedure, 24 mg of tetanus toxoid (for example) in 0.5 ml of phosphate buffered saline, pH 7.2, was incubated with 1 mg of MBS dissolved in 100 $\mu$ l of dimethylformamide for 1 hr. at 23°C. Tetanus toxoid treated with MBS (TT-MBS) was then subjected to sieving chromatography on a PD-10 (Pharmacia) column to remove unreacted MBS from TT-MBS, and fractions containing TT-MBS were recovered in the void volume of the column as determined by spectrophotometric assay at an optical density of 280 nm. TT-MBS was then incubated with rocking at 23°C for 3 hr. with 6-9 mg of synthetic peptide (molar ratio 30:1, peptide carrier protein) in PBS containing reduced cysteine at either the carboxyl or amino terminus. TT-peptide conjugates were dialyzed overnight at 4°C against PBS or again desalted on a PD-10 column and were used as an immunogen.

Conjugation of peptides to BSA or tetanus toxoid was monitored by subjecting conjugates to sodium dodecylsulfate-polyacrylamide gel electrophoresis (SDS-PAGE) under non-reducing conditions and by measuring the increase in apparent molecular weights over that of BSA and TT treated with MBS. Coupling efficiencies also

monitored by trace iodination of peptides varied from 10-30% depending on the peptide.

#### EXAMPLE 2

##### Reactivity of AIDS Patient Antibodies To Synthetic Peptides

Synthetic peptides derived from hydrophilic regions of gp120 coupled to BSA were used as antigens in a radioimmunoassay (RIA) with HIV+ patient sera (N=12) and normal serum control (N=4), to evaluate the AIDS patient antibody response to epitopes on gp120 (Figure 2) (Palker et al., J. Immunol. 136:2393, 1986; ibid, Proc. Nat'l. Acad. Sci (USA), 84:2479, 1987). The majority of HIV+ patient sera reacted with two synthetic peptides, SP-10 (9/12, 75%) and SP-22 (8/12, 67%).

Results are expressed as a ratio (E/C) of duplicate cpm values obtained with experimental (E) AIDS sera and control (C) serum samples.  $E/C > 3.0$  = positive.

## EXAMPLE 3

Reactivity of gp120 to Antibodies from HIV+ Patient  
Purified Over Synthetic Peptide Affinity Columns

For preparation of affinity columns, synthetic peptides containing amino acid sequences from HTLV-III<sub>B</sub> gp120 (SP-10, 10A, 11, 14, 15, 22, see Figure 1) were coupled to BSA and then covalently linked to CNBr-activated Sepharose. Serum aliquots (2ml) from an HIV seropositive patient were then passed over each column and the antibodies that bound to the affinity columns were then tested for reactivity to purified <sup>125</sup>I-labelled HTLV-III<sub>B</sub> gp120 in RIP assay (Figure 3A) and for reactivity to the surface of H-9 cells infected with HTLV-III<sub>B</sub> in indirect immunofluorescence assays (Figure 3B).

A) In RIP assay (Palker et al., Proc. Nat'l Acad. Sci (USA) 84:2479, 1987; ibid, J. Immunol. 136:2393, 1986), bound antibodies from the SP-10 (lane 1), SP-10A (lane 2), SP-11 (lane 3), and SP-22 (lane 6) affinity columns reacted with gp120-III<sub>B</sub> in RIP assay, with antibodies from the SP-10 column showing the greatest reactivity to gp120-III<sub>B</sub>.

B) When tested in FACS analysis (Shapiro, Practiced Flow Cytometry, Alan R. Liss Pub., NY, NY, 1985), antibodies reactive with synthetic peptide SP-10 bound to the surface of HIV-infected cells while binding of affinity purified antibodies to SP-14 or to SP-10A, 11, 15, or 22 (not shown) was not detected. These data suggest that the antigenic site(s) defined by SP-10 are accessible to antibody binding when gp120 is present on the surface of HIV+ cells.

#### EXAMPLE 4

##### Neutralization of HIV by Goat Anti-SP-10 Antisera

Goats were immunized subcutaneously with 28mg of tetanus toxoid SP-10 conjugates (SP-10-TT) in Freund's complete adjuvant (days 0) followed by biweekly inoculations in incomplete Freund's adjuvant (days 14 and 28). Serum samples were collected after the second immunization and tested for the ability to inhibit (i.e. neutralize) HIV infection of H-9 T cells in vitro as measured by the presence of reverse transcriptase (RT) activity in cell culture supernatants (Figure 4). Decreased cpm values obtained in RT assays reflect

decreased levels of HIV after cocultivation of virus and cells for 10 days.

When pre-incubated with 100 infectious units of HTLV-III<sub>B</sub>, goat anti-SP-10 antiserum neutralized the ability of HIV isolate HTLV-III<sub>B</sub> to infect H-9 T cells (●-●, 50% neutralization titer = 1/145). In contrast, serum collected from the same goat prior to immunization did not appreciably neutralize HTLV-III<sub>B</sub> (O-O, 50% neutralization titer = 1/16).

The original animal injected with SP-10-TT (whose serum neutralized HTLV-III<sub>B</sub> in reverse transcriptase assay) was subsequently injected with additional doses of SP-10-TT (0.5 mg/kg body weight). The 50% neutralization titer rose to 1:1600 after two injections. Neutralization data from these and other experiments with SP-10-like peptides are shown in Table XII as the serum dilutions that result in 80% rather than 50% neutralizations of HIV.

In addition, a second goat was injected twice with 0.5 mg/kg doses of SP-10-TT. Serum from the second goat neutralized HTLV-III<sub>B</sub> at a titer of 1:100. Importantly, both sera against SP-10-TT raised in goats also inhibited HTLV-III<sub>B</sub> infectivity of T cells in the syncytium-inhibition assay (Table XII).

The syncytium-inhibition assay (Lifson et al., Nature 323:725, 1986) measures the ability of antibodies to inhibit the fusion of HIV-infected T cells, those expressing HIV gp120 envelope protein on the cell surface, with CD4 (T4)+ uninfected T cells. The CD4 (T4) molecule serves as the receptor for the AIDS virus (Maddon et al., Cell 47:333, 1986). The result of fusion of these two cell types is the formation of giant cells that are infected with HIV. In many instances, the result of HIV infection of cells and giant cell formation is death of the infected cell (Zagary et al., Science 231:850, 1986).



TABLE XII  
EFFECT OF ANTI-SP-10 ANTISERA ON INFECTIVITY OF HIV ISOLATES  
HTLV-III<sub>ss</sub>, HTLV-III<sub>sr</sub>, AND HTLV-III<sub>sm</sub>

Goat #	Inoculum <sup>1</sup>	Number of Immunizations	Days Post Immunization	Syncytium Inhibition <sup>1</sup> Using HIV Isolates III <sub>ss</sub> III <sub>sr</sub>	Neutralization of <sup>1</sup> HIV Isolates		
					III <sub>ss</sub>	III <sub>sr</sub>	III <sub>sm</sub>
70	SP-10 III <sub>ss</sub> -TT	0	0	--	--	<10	<10
		2	29	--	--	50	<10
		3	72	+(40)	--	600	<10
		4	96	+(>80)	--	250	<10
		5	112	+(>80)	--	ND	ND
86	SP-10 III <sub>ss</sub> -TT	0	0	--	--	<10	<10
		1	19	--	--	<10	<10
		2	23	+(10)	--	100	<10
		3	48	+(10)	--	ND	ND
69	SP-10 III <sub>ss</sub> (C)	0	0	--	--	ND	ND
		3	43	+(20)	--	ND	ND
76	SP-10 RF(A)-TT	0	0	--	--	ND	ND
		1	15	--	--	ND	ND
		2	29	+(40)	--	ND	ND
		3	43	+(>80)	--	ND	ND
84	SP-22 III <sub>ss</sub> -TT (control)	0	0	--	--	<10	<10
		2	23	--	--	<10	<10
		3	48	--	--	ND	ND
80	TT-HDS (control)	0	0	--	--	ND	ND
		2	21	--	--	ND	ND

1 Synthetic peptides were coupled to tetanus toxoid (TT) with m-maleimidobenzoyl-N-hydroxysuccinimide ester (HDS).  
SP-10 RF(A)-CRKSITKQGRVIV; SP-10 III<sub>ss</sub>(C):CRKSITKQGRVIV

- 2 Values in parentheses are the inverse of serum dilutions that inhibited the number of syncytia (50-80) per well by >80%.
- 3 Neutralization was determined by evaluation of reverse transcriptase activity in supernatants of H-9 cells cultured for 10 days in the presence of 100 infectious units of HIV isolates. Values are inverse of anti-serum dilutions that inhibited reverse transcriptase activity by >80%.

Therefore, the above-described ability of goat anti-SP-10 sera to inhibit HTLV-III<sub>B</sub> infectivity in the syncytium inhibition assay and in the reverse transcriptase assay, indicated that anti-SP-10-antibodies are capable of blocking the binding of HIV gp120 protein to T cell CD4 (T4) molecules. In addition, goat antiserum raised to a peptide [SP-10 RF(A)] containing SP-10-like sequence from HIV isolate HTLV-III<sub>RF</sub> inhibited syncytium formation by HTLV-III<sub>RF</sub> but not by HTLV-III<sub>B</sub>, indicating that type-specific antigens contained in SP-10 RF(A) are suitable as vaccine components to raise antibodies that inhibit the interaction of HTLV-III<sub>RF</sub> gp120 and T cell CD4 (T4) molecules.

#### EXAMPLE 5

##### Induction of Antibodies Capable of Inhibiting HIV gp120-CD4 (T4) Interactions

A series of studies were undertaken to determine 1) whether the SP-10 peptide conjugated either to bovine albumin or to tetanus toxoid, exerted any inhibitory effect on antigen-specific, CD4 (T4) dependent, T cell responses in vitro; and 2) whether the anti-SP-10 antiserum (described in Example 4)

bound to human white blood cell populations not infected with HIV.

When the SP-10 peptide was added directly in vitro human uninfected peripheral blood lymphocyte cultures stimulated with tetanus toxoid, no inhibition of normal T cell response to tetanus toxoid was observed (Table XIII).

Table XIII

SP-10-TT and SP-10-BSA DO NOT INHIBIT  
ANTIGEN SPECIFIC PROLIFERATIVE RESPONSES  
OF NORMAL HUMAN PERIPHERAL BLOOD LYMPHOCYTES

Additive To Normal Human Peripheral Blood Lymphocytes in Culture	Exp. No. 1	Exp. No. 2
	CPM X 10 <sup>6</sup> Lymphocytes	
Media Alone	3,900	3,100
TT Alone (1:32)	175,000	61,000
SP-10-TT Alone (1 mg/ml)	285,500	100,400
SP-10-TT (1 mg/ml) + TT (1:32)	269,500	94,400
SP-10-BSA Alone (1 mg/ml)	8,500	35,800
SP-10-BSA (1 mg/ml) + TT (1:32)	262,900	144,200

TT = Tetanus toxoid (Wyeth Laboratories, Philadelphia, Pa.),  
BSA = bovine serum albumin.  
CPM = counts per minute of tritiated thymidine incorporation as  
described (Denning et al J. Immunol. 138:680, 1987).

As seen in Table XIII, SP-10-TT alone was as good an antigen-specific T cell activator as TT alone. Moreover SP-10-TT and SP-10-BSA when added to TT alone did not inhibit TT induced proliferation by normal T cells. In addition, anti-SP-10 goat serum did not bind to peripheral blood lymphocytes or monocytes in indirect immunofluorescence assay using flow cytometry.

These data indicate that the SP-10 peptide does not perturb normal human T cell function that is dependent on a functional CD4 (T4) molecule but does induce antibodies that will inhibit HIV gp120-CD4 (T4) interactions and neutralize HIV in reverse transcriptase inhibition assays.

Thus, vaccines comprising the small synthetic SP-10-like peptides (less than or equal to about 35 amino acids in length) have distinct advantages over HIV vaccines comprising recombinant gp120, or large subunits thereof, as the latter may interfere with normal immune function.

#### EXAMPLE 6

##### Isolate specific neutralization of HIV

Synthetic peptide SP-10 has an amino acid sequence derived from and unique to the gp120 envelope protein of HIV isolates HTLV-III<sub>B</sub> and LAV, while other HIV isolates have varying degrees of differing amino acid sequences in their SP-10-like gp120 envelope proteins. Synthetic peptide SP-10 (that is, SP-10-III<sub>B</sub>) from the HTLV-III<sub>B</sub> isolate of HIV was coupled to tetanus toxoid and used to raise antibodies in goats (0.5 mg of conjugate per kg goat body weight) as described by Palker et al. (Proc. Nat'l. Acad. Sci. (USA) 84:2479, 1987). Goat antibodies raised to synthetic peptide SP-10 were tested for the ability to neutralize four different HIV isolates (Fig. 5A: HTLV-III<sub>B</sub>, Fig. 5B: HTLV-III<sub>RF</sub>, Fig. 5C: HTLV-III<sub>MDR</sub>, Fig. 5D: HTLV-III<sub>SC</sub>). Goat anti-SP-10 antiserum (●), pre-immune goat serum (○) and AIDS patient serum (■) all at a 1/10 dilution were first incubated with dilutions ( $10^{-1}$ ,  $10^{-2}$ ,  $10^{-3}$ ) of each virus isolate. Next, these virus isolates were tested for the ability to infect H-9 T cells by cocultivation of virus and cells for 10 days in vitro. Levels of HIV present in cell culture supernatants after 10 days in culture were estimated by measuring RT activity in supernatants, and results are expressed as cpm values obtained in RT assay.

Increased cpm values in RT assay reflect increased levels of HIV in culture.

As shown in Fig. 5A, goat anti-SP-10 antiserum inhibited (i.e. neutralized) HTLV-III<sub>g</sub> infection of H-9 cells at a virus dilution of  $10^{-2}$ . Pre-immune goat serum did not inhibit HTLV-III<sub>g</sub> infection at the same dilution of virus. In contrast, goat anti-SP-10 antiserum did not neutralize other isolates of HIV (Figs. 5 B-D). AIDS patient antibodies neutralized all four isolates of HIV (Figs. 5 A-D). The data indicate that goat antiserum to synthetic peptide SP-10 neutralizes the HTLV-III<sub>g</sub> isolate that contains in its gp120 envelope protein the amino acid sequence present in SP-10. These data, along with data in Table XII, indicate that a vaccine comprising SP-10-like amino acid sequences from a variety of HIV isolates will be effective against a wide spectrum of HIV isolates.

#### EXAMPLE 7



Binding of Goat Anti-SP-10 Serum To  
HTLV-III<sub>B</sub> - But Not To HTLV-III<sub>RF</sub>-  
Infected H9 T Cells

The reactivity of goat anti-SP-10 serum and autologous prebleed control serum were compared on either uninfected H9 T cells, H9 T cells infected with HIV isolate HTLV-III<sub>B</sub>, or H9 T cells infected with HIV isolate HTLV-III<sub>RF</sub> using flow cytometry and a Coulter EPICS V cytofluorograph (Haynes, Immunol. Rev. 57: 127, 1981; Haynes et al., New Eng. J. Med. 304:319, 1981).

Goat anti-SP-10 serum (1:200) reacted with 40% of HTLV-III<sub>B</sub>-infected H9 T cells compared to HTLV-III<sub>B</sub>-infected H9 cells incubated with control (prebleed) goat serum (1:200) (Figure 6A). Neither goat anti-SP-10 nor control (prebleed) serum (1:50) reacted with noninfected H9 T cells (Figure 6B). Neither control (prebleed) nor anti-SP-10 serum (1:50) bound to H9 T cells infected with the HTLV-III<sub>RF</sub> isolate of HIV (Figure 6C).

## EXAMPLE 8

Development of a Synthetic Immunogen Comprising  
Multiple Regions of the Human Immunodeficiency  
Virus Envelope that Induces T Helper Cell,  
CD8+ Cytotoxic T Cell and B Cell  
Neutralizing Responses In Vivo

In order to develop a synthetic peptide immunogen that induces cytotoxic T cell responses to HIV in addition to inducing neutralizing antibody and T helper cell responses, a series of peptides were prepared reflective of regions of the HIV MN isolate that have included therein a defined cytotoxic T cell epitope (see Table III). These studies were performed with the MN HIV isolate since it appears that this is the most common prototypic virus in the US at present (La Rosa et al Science 249:932, 1990).

Takahashi et al. (Science 246:118, 1989) have defined a cytotoxic T cell (CTL) epitope that includes amino acids 322-326 (FYTTK) from the MN HIV isolate and includes amino acids 323-329 of the HIVIIIB isolates (see Table IV) (Takahashi et al J. Exp. Med. 170:2023, 1989). Thus, one T1-SP10 variant peptide made was the T1-SP10MN(A) peptide with the (A) signifying that amino acids 322-326 were added to the existing MN SP10 region of amino acids 303-321 (see Table III). Secondly, to make a synthetic

peptide that might insert into the cell membrane of antigen-presenting cells and therefore potentially be processed and expressed via MHC Class I molecules and therefore be recognized by CD8+ CTL, the first 12 amino acids of the gp41 HIV envelope protein (amino acids 519-530 AVGIGALFLGFL in HIV isolate BH10/IIIB) were covalently linked N-terminal to T1-SP10 peptides. These amino acids (519-530) of HIV gp41 are highly hydrophobic. They have been postulated to be the primary amino acids that are capable of inserting into lipid membranes and to play a role in the ability of HIV to induce cell fusion (Brasseur et al AIDS Res. Hum. Retrovirol. 4:83, 1988). Peptides with this 12 amino acid gp41 sequence have the prefix F- before the name of the peptide (F for *fusogenic* region) (see Tables III and IV). Bosch et al (Science 244:694, 1989) have demonstrated that the homologous region in SIV (GVFVLGFLGFLATAG) to the F region in HIV (AVGIGALFLGFL) is indeed the SIV fusion envelope peptide. Thus, it was postulated that F-derivatized peptides might also insert into antigen presenting cell membranes, the F-derivatized peptides would be internalized and CD8+ MHC Class I restricted CTL would be generated in vivo following immunization with F-derivatized peptides. (Deres et al (Nature 342:561, 1989) have shown the conjugation

of a fatty acid tripalmitoyl-S-glycerylcysteinyl-serine moiety to synthetic peptides can promote synthetic peptide processing and presentation in the context of MHC Class I molecules and lead to generation of CD8+ CTL in vivo.)

A series of studies were performed in Balb/c mice with the MN series of T1-SP10 peptides (Table III) to compare their ability to induce anti-peptide antibodies (see Figure 7), to compare their ability to induce anti-HIV neutralizing antibodies (Figure 8), and to determine if any of these peptides could induce MHC Class I restricted CD8 CTL when injected in mice in vivo (Tables XIV and XV).

TABLE XIV  
ABILITY OF F-T1-SP10MN(A) PEPTIDE TO  
INDUCE MHC CLASS I-RESTRICTED CD8+ CYTOTOXIC  
T CELLS IN VIVO IN Balb/c MICE

Target Cell (Class I Type)	% Specific <sup>51</sup> Cr Release at 50:1 E/T Ratio		
	Exp. 1	Exp. 2	Exp. 3
L51-78Y (H2 <sup>d</sup> )	0	2	2
T1-SP10MN(A)+L51-78Y (H2 <sup>d</sup> )	33	47	23
ELA (H2 <sup>b</sup> )	3	3	4
T1-SP10MN(A)+ELA (H2 <sup>b</sup> )	2	4	3
T1-SP10MN(A)+L51-78Y+C*	32	ND	ND
T1-SP10MN(A)+L51-78Y+Thyl.2+C*	4	ND	ND
T1-SP10MN(A)+L51-78Y+Ly2.2(CD8)+C*	0	ND	ND
T1-SP10MN(A)+L51-78Y+172.4(CD4)+C*	24	ND	ND

Soluble F-T1-SP10MN(A) peptide (10µg) in PBS was injected subcutaneously in Balb/c mice in complete Freund's adjuvant (1st injection) and then incomplete Freund's adjuvant (2nd through 5th injections).

Effector cells were splenocytes of immunized animals grown for 7 days in vitro with 25µg/ml F-T1-SP10MN(A) peptide in complete T cell media (Takahashi et al. J. Exp. Med. 170:2023, 1989). On day 3 of culture 10% v/v Con A supernatant was added. Con A supernatant was derived from Balb/c spleen cell stimulated 4 days with Concanavalin A 10µg/ml in complete T cell medium (Takahashi et al. J. Exp. Med. 170:2023, 1989), and the supernatants removed and used in activation of spleen cytotoxic T cells.

\*Experiments with complement (C) represent the results from pooled splenocytes from 3 mice immunized with F-T1-SP10MN(A).

TABLE XV

ABILITY OF T1-SP10MN(A) PEPTIDE IN LIPOSOMES TO  
INDUCE MHC CLASS I RESTRICTED CD8+ CYTOTOXIC  
T CELLS IN VIVO IN Balb/c MICE

Target Cell (Class I Type)	% Specific <sup>51</sup> Cr Release at 50:1 E/T Ratio	
	Exp. 1	Exp. 2
L51-78Y (H2 <sup>d</sup> )	16	0
T1-SP10MN(A)-coated L51-78Y (H2 <sup>d</sup> )	39	35
ELA or RL-12 (H2 <sup>b</sup> )*	10	2
T1-SP10MN(A)-coated ELA or RL-12 (H2 <sup>b</sup> )*	14	4
T1-SP10MN(A)+L51-78Y+C	ND	27
T1-SP10MN(A)+L51-78Y+Ly+2.2 (CD8)+C	ND	2
T1-SP10MN(A)+L51-78Y+172.4 (CD4)+C	ND	31

Liposomes were prepared using Octylglucoside 7% (0.7g/10 ml PBS) L-alpha dioleoyl lecithin, 20 mg/ml, T1-SP10MN(A) peptide and cholesterol 3.1 mg/ml using standard techniques (Mimms et al. Biochemistry 20:833, 1981; Liposome Technology Vol. III Ed. G. Gregoriadis Chapter 14 pp. 205-224, 1984).

Liposomes containing T1-SP10MN(A) peptide were injected into Balb/c mice in a total dose of 10µg of T1-SP10MN(A) peptide in liposomes in 2 sites subcutaneously in complete Freund's adjuvant (1st dose) followed by incomplete Freund's adjuvant (2nd through 5th doses). Effector cells were splenocytes from immunized animals prepared and shown and described in Table XIV.

\*Exp. 1 used RL-12 targets cells and Exp. 2 used EL4 target cells.

Comparison of the ability of various T1-SP10 peptides  
to induce anti-peptide antibodies in Balb/c mice:

Figure 7 shows a comparison of the levels of  
anti-peptide antibody generated in the serum of

Balb/c mice after 1, 2 and 3 immunizations with 10  $\mu$ /ml of the various peptides. Figure 7 shows that after the second immunization, addition of either the (A) region or the F region increased the level of anti-peptide antibodies to the T1-SP10MN peptide in ELISA assay.

Comparison of the ability of various T1-SP10 peptides to induce anti-HIV neutralizing antibodies in Balb/c mice:

Figure 8 shows the percent inhibition of HIV syncytium formation in vitro when antisera from bleed 3 were added to a HIV syncytium inhibition assay. Whereas only 1 animal in the T1-SP10-injected group and none of the animals in the F-T1-SP10 and the T1-SP10(A) groups had serum antibodies that inhibited syncytium formation by greater than 50%, 3 of 5 animals in the group of animals injected with F-T1-SP10MN(A) had antibody levels that neutralized syncytium formation by greater than 50% (Figure 8).

Ability of various T1-SP10 peptides to induce CD8+ CTL in vivo:

When injected into Balb/c mice, neither T1-SP10MN peptide nor F-T1-SP10MN peptide induced measurable CTL in Balb/c mice. However, soluble F-

T1-SP10MN(A) peptide (Table XIV) and T1-SP10MN(A) peptide in liposomes (Table XV) when injected in vivo were capable of inducing anti-HIV CTL in Balb/c mice that killed T1-SP10MN(A) coated D' target cells in vivo. Table XIV shows that the cytotoxic T cells induced in Balb/c mice by the soluble F-T1-SP10MN(A) peptide in vivo were Thy1+, Ly2 (CD8)+. CD8+ anti-T1-SP10(A) cytotoxic T cells killed only H2' targets and did not kill H2' targets. Table XV shows that the anti-T1-SP10(A) cytotoxic T cells induced by T1-SP10(A) peptide in liposomes were CD8+ and MHC Class I restricted.

Thus, by addition of the F sequence and the (A) sequence (Table III) to the T1-SP10MN sequence, it was possible to construct a 52 amino acid peptide [F-T1-SP10MN(A)] capable of inducing not only neutralizing antibodies and helper T cell responses, but also capable of inducing CD8+ anti-HIV MHC Class I restricted cytotoxic T cells as well. In addition, MHC Class I restricted anti-HIV cytotoxic T cells have been induced in vivo by a 40 amino acid peptide, T1-SP10MN(A) incorporated into liposomes.

#### EXAMPLE 9

As has been shown, the construction of a synthetic peptide T1-SP10(A) containing aa303-327 of



HIV gp120 V3 loop [SP10(A)] and aa 428-43 of HIV gp120 (T1) serves as a potent T cell immunogen for induction of activation of anti-HIV memory T helper cells and B cell immunogen for anti-HIV neutralizing antibodies in vivo (Palker et al., PNAS (USA), 85:1932-1936, 1988; Palker et al., J. Immunol., 142:3612-3619, 1989; Hart et al., J. Immunol., 145:2677-2685, 1990 and Hart et al., PNAS (USA), 88:9448-9452, 1991). The T1SP10(A) peptide induces anti-HIV neutralizing antibodies in mice, goats and rhesus monkeys (Palker et al., PNAS (USA), 85:1932-1936, 1988; Palker et al., J. Immunol., 142:3612-3619, 1989; Hart et al., J. Immunol., 145:2677-2685, 1990 and Hart et al., PNAS (USA), 88:9448-9452, 1991), induces anti-HIV MHC Class I-restricted CTL in mice (Hart et al., PNAS (USA), 88:9448-9452, 1991), and induces anti-HIV T helper cell responses in mice, goats, rhesus monkeys, and chimpanzees (Palker et al., PNAS (USA), 85:1932-1936, 1988; Palker et al., J. Immunol., 142:3612-3619, 1989; Hart et al., J. Immunol., 145:2677-2685, 1990 and Hart et al., PNAS (USA), 88:9448-9452, 1991). In a recently completed study in mice, it was found that while C57BL/6 and Balb/c mice make high titers of anti-peptide antibodies to T1SP10IIIB peptides, these mouse strains make no neutralizing antibodies to the

HIVIIIB V3 loop neutralizing determinants. In contrast, C57BL6 and Balb/c mice make good anti-HIV neutralizing antibodies when immunized with T1SP10 peptides containing sequences from the HIVMN V3 loop.

Immunization trials in chimpanzees were conducted to test the synthetic peptides. The results discussed below show that the chimpanzees at Holloman AFT, New Mexico, made good antibody and good helper T cell responses to T1SP10IIIB(A) peptides, but like Balb/c mice, did not make antibodies against the neutralizing antibody determinants on the HIVIIIB V3 loop.

In Figure 9 the chimpanzees were immunized with HIV env synthetic peptides and their antibody titers tested using an ELISA assay. Animals 884 and 1028 were immunized with peptide T1-SP10IIIB which was also used in the ELISA assay. Peptide F-T1-SP10IIIB(A) was used in the immunization and ELISA assays for animals 1045 and 1070. All immunizations were in IFA + PBS (1:1) except for animal 1028 that developed IM abscesses after the third immunization and had one immunization held. Subsequent immunizations were given in PBS only.

As can be seen, T1-SP10 peptides were excellent immunogens in animals 884 and 1028, while T1-SP10 peptides with the HIV gp41 fusion (F) domain

synthesized N-terminal to the T1-SP10 peptide did not induce antibody titers as high or as of long duration as did peptides without the F domain.

It should be noted that animals 1045 and 1070 were challenged at month 16 with the immunogen T1-SP10IIIB(A) that induced good antibody titers in animals 884 and 1028. Animals 1045 and 1028 did not respond to T1-SP10IIIB(A) in IFA, thus demonstrating that they were tolerant to the T1-SP10(A) peptide from their prior immunizations with F-T1-SP10IIIB(A) peptide. It is also important to note that while boost of animal 884 at week 14 gave a rise in titer to T1-SP10IIIB(A) peptide, boost of animal 1028 at the same time did not. Animal 884 was boosted with IFA, while boost of 1028 was with no adjuvant, but rather only PBS.

The peripheral blood mononuclear cell (PBMC) proliferative response to the immunizing peptides was also studied (see Figure 10). Peptides T1-SP10IIIB and T1-SP10IIIB(A) induced high levels of proliferation of circulating PBMC in animals 884 and 1028. These levels fell to non-detectable levels after a 6 month rest (month 14) but rose again in animals 884 and 1028. Proliferative responses in animal 1028 rose with each boost after the 6 month

rest even though the immunizations were in PBS alone with no adjuvant.

As with B cell responses, animals 1045 and 1070, that were immunized with F-T1-SP10IIIB(A) peptide, did not proliferate to T1-SP10IIIB(A) peptide. When these latter two animals were immunized with the T1-SP10IIIB(A) peptide that was a good immunogen in 884 and 1028, neither of the animals 1045, 1070 developed a proliferative response to T1-SP10IIIB(A) which proves that the addition of the F-domain N-terminal to the T1-SP10 peptide created a toleragen that tolerized animals 1045 and 1070 to the T1 and SP10 regions of gp120. As shown in Table XVI, while animals 884 and 1028 both responded in proliferative assays to native gp120, animals 1045 and 1070 were tolerant to native gp120 as well as to immunizing peptides.

The PBMC proliferative responses of chimpanzees immunized with the synthetic peptides to PHA was also studied (see Figure 11). The data show that while animals 1045 and 1070 were tolerant to T1 and SP10 regions of HIV gp120, PBMC PHA responses in these animals throughout the immunization period were normal.

The same T1-SP10IIIB peptide batches used in the chimpanzee study, were also used as immunogens in

goats, and good anti-HIV neutralization titers in goats were obtained (See Figure 12). Thus, T1-SP10 peptides were superb immunogens with IFA in chimpanzees, with remarkable anti-peptide serum antibody titers of >1:102,400 (See Figure 9), and induction of T cell response to T1-SP10 and to native HIV gp120 (See Figure 10 and Table XVI below).

TABLE XVI

Tritiated Thymidine Incorporation of Peripheral Blood Mononuclear Cells Following In Vitro Stimulation With HIV Env gp120\*

Chimpanzee No.	Immunogen	Pre- Immunization	Post- Immunization
		6cpm/10 <sup>6</sup> cells	(Post/Pre)
884	T1-SP10IIIB, then T1-SP10IIIB(A)	169	39,189 (232)
1028	T1-SP10IIIB, then T1-SP10IIIB(A)	17,955	129,121 (7)
1045	F-T1-SP10IIIB(A)	6,348	12,256 (2)
1070	F-T1-SP10IIIB(A)	11,285	22,719 (2)

\*Data represent the peak gp120 responses observed during the immunization period. Data for animals 884, 1028, and 1045 represent peak responses using from 2 µg/ml to 0.5 µg/ml of HIVIIIB(LAI) recombinant gp120. Data for animal 1070 represent peak responses using from 1 µg/ml to 0.5 µg/ml of native HIVIIIB(LAI) gp120.

TABLE XVII  
Anti-HIVIIIB(LAI) Neutralizing Antibody Titers In Chimpanzees  
Immunized With Synthetic Peptides Containing T and B Cell  
Determinants of HIVIIIB(LAI) gp160 Envelope\*

Chimpanzee No.	Immunogen	Month																
		1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17
884	Tl-SP10IIIB, then Tl-SP10IIIB(A)	0	0	0	0	0	0	0	0	45	0	21	23	0	24	0	0	22
1028	Tl-SP10IIIB, then Tl-SP10IIIB(A)	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0
1045	F-Tl-SP10IIIB(A)	0	0	0	0	0	0	0	0	12	0	0	0	0	0	0	0	0
1070	F-Tl-SP10IIIB(A)	0	0	0	0	0	0	25	0	18	0	0	0	0	23	0	0	22

\*Data represent 90% neutralization titers of HIVIIIB(LAI) (8 to 64 Infection Units per well) in reverse transcriptase inhibition assays in vitro.

TABLE XVIII  
Syncytium Inhibition Serum Neutralizing Antibody Titers of  
Rhesus Monkey 18978 Immunized with 500 ug Tl-SP10MN(A) Peptide

Immunization No.	Date	NA Titer (HIVMN)	NA Titer (HIVIIIB)
1	09/04/91	0	0
2	09/19/91	0	ND
	10/02/91	20	20
3	10/16/91	40	40
	10/30/91	80	40
	11/13/91	40	40
	12/04/91	40	10
	12/18/91	80	40
	01/08/92	20	20
	01/23/92	40	10
	02/06/92	10	0*

ND = Neutralizing Antibody

Pd = Pending

ND = Not Done

\* = 68% inhibition of syncytia at 1:10 dilution.

The high neutralizing antibody responses of goats to the same T1-SP10 peptide batches used in chimpanzees demonstrated that chimpanzees selectively did not recognize the neutralizing V3 sequences as immunogenic, while other non-neutralization T1-SP10IIIB peptide sequences were immunogenic in chimpanzees. Thus, it is possible that selective proteolysis of the HIVIIIB V3 loop occurs by chimpanzee and mouse mononuclear cells *in vivo*, or more likely, that genetic restriction of antibody responses to neutralizing determinants of the V3 loop exist in chimpanzees and mice.

In rhesus monkeys, the injection of 500 ug of purified T1SP10MN(A) peptides was shown to yield very high levels of anti-HIVMN neutralizing antibodies in 4/4 animals (see Figure 13-15). In addition, in 1 out of 4 monkeys, immunization yielded cross-reactive anti-HIV neutralizing antibodies that neutralized the HIVIIIB and HIVMN viruses (see Table XVIII below). Thus, if 25% chimpanzees and humans respond to the T1-SP10MN(A) peptide and make cross-neutralizing anti-HIV env antibodies, then an additional 5% of subjects challenged with an otherwise non-MN-like HIV isolate could be protected from HIV challenge. See figures 16 and 17 for additional monkey data.

Because T1-SP10IIIB(A) peptides did not induce anti-HIVIIIB neutralizing antibodies in animals 884 and 1028, and because F-T1-SP10III(B)A peptides induced tolerance in animals 1045 and 1070, all of the chimpanzees were immunized at either month 16 (animals 884, 1028) or month 17 (animals 1045, 1070) with T1-SP10MN(A) peptide. The rationale here was to determine A) if the T1-SP10MN(A) peptide could break tolerance in animals 1045 and 1070, and B) if any of the animals could genetically see the V3 neutralizing determinants of HIV MN V3 loop, since it appeared that none of the animals could see the V3 determinants of HIV IIIB as presented by T1-SP10IIIB peptides. Figure 18 shows that after immunization of all 4 chimpanzees with 0.1mg/kg of T1-SP10MN(A) peptide, three of the 4 animals (884, 1028 and 1045) showed the appearance of weak serum anti-HIV MN neutralizing antibodies (dotted lines), while animal 1070 developed high levels of anti-HIV MN neutralizing antibodies that titered to >80% neutralization at 1:20, and also cross-neutralized HIV IIIB (Table XIX, solid lines, Figure 18). This break in tolerance can also be seen in the rise in titer to T1-SP10MN(A) peptide in the serum of animals 884, 1045 and 1070 (Figure 19). Animal 1028 had an early abscess associated with the immunizations and did not receive IFA after month 4 of the study, and



never had an antibody rise to peptide of HIV after  
the initial immunization of peptide with IFA.

TABLE XIX  
Neutralization of HIV LAI/IIIB And HIV MN in syncytium  
Inhibition Assay In Chimpanzees Immunized with  
T1-SP10 Peptides

Animal No.	1-7-92		2-4-92		3-3-92	
	LAI/IIIB	MN	LAI/IIIB	MN	LAI/IIIB	MN
Presence of Neutralization in Syncytium Inhibition Assay (Reciprocal Titer in RT Inhibition Assay)						
884	--	--	--	--	--	--
1028	--	--	--	--	--	--
1045	--	--	+/- (23)	--	--	--
1070	+/- (92)	- (22)	+ (100)	+ (96)	+/- (86)	++ (350)

- = < 48% inhibition of syncytia  
+/- = ≥ 49% and <80% inhibition of syncytia  
+ = ≥ 50% inhibition of syncytia, titer 1:10  
++ = ≥ 80% inhibition of syncytia, titer 1:20

The observation that neutralizing antibodies in chimpanzee 1070 neutralized both HIV MN and IIIB isolates could be due to the presence of either type-specific neutralizing antibodies induced by both HIV MN and HIV IIIB peptides (Rusche et al Proc. Natl. Acad. Sci. USA 95:3198 (1988)), or be due to the induction of cross-neutralizing anti-GPGR antibodies by the T1-SP10MN(A) peptides. Antibody titers against a truncated SP10IIIB peptide, SP10D, IRIQRGPGR, was used in ELISA assay with serum from chimpanzee 1070. End-point ELISA titers against this peptide were 1:800 or less from 10-23-90 through 12-3-91 (months 3 through 17 of study). Following the first immunization of animal 1070 with T1-SP10MN(A) on 12-3-92, the titers of antibody to SP10D peptide rose from 1:800 to 1:3200 on 1-7-92 and 1:12,800 on 2-4-92. During the same time period, antibody titers of 1070 to T1-SP10MN(A) peptide rose from 1:12,800 to 1:102,400, while titers to the T1-SP10IIIB peptide rose from 1:3200 to 1:25,600. Absorption studies to absorb out the neutralizing antibodies in animal 1070 serum demonstrated that all of the anti-HIV MN neutralizing activity could be absorbed out with the SP10MN(A) peptide, and part of the HIV MN neutralizing activity could be absorbed out with a peptide with the sequence IGPGRAIGPGRAIGPGRAC (DP2) (Jahaverian et al Science, 250:1590 (1990)) that only

contains sequences from the tip of the V3 loop that are common to both HIV MN and HIV IIIB (Figure 20). Thus, a portion of the chimpanzee antibody response induced by T1-SP10MN(A) peptides cross-neutralize HIV MN and HIV IIIB and are directed against the conserved sequences at the tip of the HIV gp120 V3 loop.

Importantly, in rhesus monkeys it was demonstrated that injection of 500 $\mu$ g of purified T1SP10MN(A) peptides yielded very high levels of anti-HIVMN neutralizing antibodies in 4/4 animals (Figures 20-24) and in 1 of 4 rhesus monkeys, yielded cross-reactive anti-HIV neutralizing antibodies that neutralized the HIVIIIB and HIVMN viruses (Tables XVIII and XX). Figure 25 shows that the DP2 (IGPGRAIGPGRAIGPFRAC) peptide absorbs the anti-HIVIIIB neutralizing activity in serum from rhesus monkey 18987. Table XXI shows the sequences of peptides used in the chimpanzee and rhesus monkey studies described.

TABLE XX

Cross Neutralization of HIV LAI/IIIB In RT Inhibition  
Assay By Immune Rhesus Monkey Sera From Animals  
Immunized with T1-SP10 Peptides

Date	Animal No.			
	18987		17336	
	LAI/IIIB	MN	LAI/IIIB	MN
(Titer in RT Inhibition)				
09-18-91	0	0	ND	0
10-30-91	1,430	330	ND	40
11-13-91	1,400	1,400	ND	400
12-18-91	350	1,450	0	360

TABLE XXI

Variants of T1-SP10 Peptides Derived From HIV MN and IIIB

## Envelope Sequences

Peptide Name	Region			
	F	T1	SP10	A
HIV MN				
T1-SP10MN(A)	KQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRAFYTITK			
F-T1-SP10MN(A)	AVGIGALFLGFLKQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRAFYTITK			
HIVIIIB				
T1-SP10IIIB	KQIINMWQIVGKAMYACTRPNNNTRKSIRIQRGPG			
T1-SP10IIIB(A)	KQIINMWQEVGKAMYACTRPNNNTRKSIRIQRGPGRAFVTI			
F-T1-SP10IIIB(A)	AVGIGALFLGFLKQIINMWQEVGKAMYACTRPNNNTRKSIRIQRGPGRAFVTI			

## EXAMPLE 10

The following is a protocol for human patient immunization. HIV seronegative subjects will be immunized with a polyvalent mixture of T1SP10(A) peptides (see Tables XXII and XXIII) designed to generate neutralizing antibodies to about 80% of the current HIV isolates in the United States.

TABLE XXII

Sequence of Truncated T1-SP10(A) Peptides For Human Immunization

KQIINMWQEVGKAMYARKRIHIGPGRAFYTTK	T1-SP10MN(A) -1T
KQIINMWQEVGKAMYARKSITKGPRVIYATG	T1-SP10RF(A) -1T
KQIINMWQEVGKAMYARKSIPIGPGRAFIATS	T1-SP10EV91(A) -1T
KQIINMWQEVGKAMYARKSIHMGPGKAFYTTG	T1-SP10CanOA(A) -1T

TABLE XXIII

Sequence of Full Length T1-SP10(A) Peptides For Human Immunization

KQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRAFYTTK	T1-SP10MN(A)
KQIINMWQEVGKAMYACTRPNNNTRKSITKGPRVIYATG	T1-SP10RF(A)
KQIINMWQEVGKAMYACTRPGNNTRKSIPIGPGRAFIATS	T1-SP10EV91(A)
KQIINMWQEVGKAMYACTRPHNNTRKSIHMGPGKAFYTTG	T1-SP10CanOA(A)

Experimental Protocol

Human patients, both HLA 2A+ and HLA 2A-, will be studied for up to two years. During treatment, the generation of neutralizing antibodies against HIVMN and other HIV isolates as well as the generation of T helper and/or class I-restricted anti-HIV CTL will be measured.

The immunogens to be used will be T1SP10(A) peptides which are expected to give rise to antibodies against 80% of the HIV isolates in the Los Alamos Data Set (Myers et al., Human Retroviruses and AIDS 1991). Some patients will receive the immunogens in Table XX and some will receive the immunogens in Table XIX.

Each patient will receive as immunogen dose about 0.05mg/kg/peptide or 1mg of each peptide. If no responses to the original dose schedule result, the dose will be doubled and the regimen repeated after a three month rest.

Incomplete Freund's adjuvant (IFA) will be mixed with the immunogen in a 1:1 v/v mixture (Hart et al., J. Immunol., 145:2677-2685, 1990). Total volume for each immunization should be 2cc.

The immunogens will be administered by IM. The immunogens will be mixed in a total volume of 2c and given IM, 1cc in each of two sites (right or left upper arm, right or left thigh).

Immunizations will be given at 0 month, 1 month and 3 months. The patients will be monitored 4 weeks after each immunization. After the third immunization, the titer of responses to HIV will be tested and a decision made regarding immunization with a larger dose of peptide to begin after a three month rest.

Routine blood and urine tests will be conducted on the patients. The following blood samples will be required.

Serum (10 ml) (approximately 20cc blood) will be used to study T1SP10 and SP10 peptide binding in RIA and HIV gp120 binding in RIP/Western blot assays. Serum will also be used to determine neutralization titers of HTLV-IIIB, HTLV-IIIMN and field HIV isolates in reverse transcriptase and/or syncytium inhibition assays. Routine serum chemistries for toxicity (liver function tests, renal functions and chem 18 panel) and a complete blood count (10cc heparinized blood) will be performed.

Peripheral blood cells (60 ml blood) will be used to study T cell proliferative responses to PHA, TT candidate T1SP10 and SP10 peptides, gp120 and OKT3 (about 30 ml heparinized blood). T cell, B cell, NK cell, CD4 and CD8 cell numbers will also be measured (about 5 ml heparinized blood). Finally, CTL assays will be performed on autologous or HLA-identical EBV-



transformed B cell lines or autologous EBV-transformed B cell lines using vaccinia gp160 infected targets and peptide coated targets.

#### EXAMPLE 11

A strategy has been developed for the design of experimental synthetic peptide immunogens for induction of T helper (Th) cells, neutralizing antibodies, and MHC Class I-restricted cytotoxic T lymphocytes (CTL) against HIV native proteins or HIV protein-expressing target cells (Palker et al, Proc. Natl. Acad. Sci. USA 85:1932 (1988), Palker et al, J. Immunol. 142:3612 (1989), Hart et al, J. Immunol. 145:2677 (1990), Hart et al, Proc. Natl. Acad. Sci. USA 88:9448 (1991), Haynes et al, AIDS Res. & Human Retroviruses 6:38 (1990), Haynes et al, J. Immunol. 151:1646 (1993), Haynes et al, J. Exp. Med. 177:717 (1993), Haynes et al, Trans. Amer. Assoc. Physician 106:31 (1993), Yasutomi et al, J. Immunol. 151:5096 (1993)). (A general scheme for an HLA-based vaccine for AIDS is set forth in Figure 30.  $Th_{1...n}$ - $B_{1...n}$  includes the construct Th-SP10 and, by example, C4-V3.  $Th_{1...n}$ - $Tc_{1...n}$  is equivalent to Th-CTL (CTL = X)).

A general immunogen design for induction of neutralizing antibodies requires the synthesis of one or more Th epitopes of HIV proteins N-terminal to the

gp120 envelope V3 loop neutralizing domain (Th-B, Figure 26). For MHC Class I-restricted anti-HIV or anti-SIV CTL induction, both Th-B-CTL and Th-CTL peptide designs have been successful (Figure 26) (Hart et al, Proc. Natl. Acad. Sci. USA 88:9448 (1991), Yasutomi et al, J. Immunol. 151:5096 (1993)). Prototype synthetic peptide immunogens comprised of Th-B-CTL epitopes of HIVIIIB, MN or RF env gp120 have: a) induced Th responses to native gp120 in mice, goats, rhesus monkeys and chimpanzees (Palmer et al, Proc. Natl. Acad. Sci. USA 85:1932 (1988), Palmer et al, J. Immunol. 142:3612 (1989), Hart et al, J. Immunol. 145:2677 (1990), Haynes et al, J. Exp. Med. 177:717 (1993)) and b) induced in goats, rhesus monkeys and chimpanzees B cell neutralizing antibody responses that have neutralized laboratory HIV isolates in a type-specific manner (Palmer et al, Proc. Natl. Acad. Sci. USA 85:1932 (1988), Palmer et al, J. Immunol. 142:3612 (1989), Hart et al, J. Immunol. 145:2677 (1990), Haynes et al, J. Immunol. 151:1646 (1993), Haynes et al, J. Exp. Med. 177:717 (1993), Haynes et al, Trans. Amer. Assoc. Physician 106:31 (1993)) and c) induced in mice and rhesus monkeys anti-HIV or SIV MHC Class I-restricted CTL that kill target cells expressing HIV or SIV proteins (Hart et al, Proc. Natl. Acad. Sci. USA 88:9448 (1991), Yasutomi et al, J. Immunol. 151:5096

(1993)). In rhesus monkeys, it has been demonstrated that the T1-SP10MN(A) peptide induced in select animals antibodies that reacted primarily with the IGPGRAPH sequence at the tip of the V3 loop, and cross-neutralized HIVIIIB, HIVMN, HIVRF as well as HIV primary isolates grown in CEM cells (Haynes et al, J. Immunol. 151:1646 (1993)).

#### Design of A Prototype Polyvalent HIV Immunogen

Because of the extreme variability that exists in HIV isolates both in geographic locations and among patients, a multivalent HIV immunogen design tailored to HIV isolates in specific geographic locations, will likely be required for successful preventive and therapeutic HIV immunogens (Palker et al, J. Immunol. 142:3612 (1989), Haynes et al, Trans. Amer. Assoc. Physician 106:31 (1993)). To this end, a prototype polyvalent HIV immunogen has been designed containing Th-B-CTL epitopes reflective of 4 common HIV isolate motifs in Clave E, HIVMN, HIVRF, HIVEV91, and HIVCANO (Figures 26 and 27). In each of these prototype peptides resides at least two Th determinants, two Class I-restricted CTL determinants, one restricted by HLA A2 and A3 (Clerici et al, Nature 339:383 (1989)) and another restricted by B7 (Safrit et al, Characterization of HLA-B7-Restricted cytotoxic T lymphocyte clones

specific for the third variable region HIV gp120, isolated from two patients during acute seroconversion. Presented at the 6th NCVDG meeting Oct. 30 - Nov. 4, 1993)), and three or more epitopes recognized by anti-HIV neutralizing antibodies (Palker et al, Proc. Natl. Acad. Sci. USA 85:1932 (1988), Rusche et al, Proc. Natl. Acad. Sci. USA 85:3198 (1988), Jahavarian et al, Science 250:1590 (1990)) (Figures 26 and 27). Preclinical studies of this prototype peptide mixture in mice have demonstrated that two of the components [T1-SP10RF(A) and T1-SP10EV91(A)] induced type-specific anti-V3 peptide [anti-SP10(A)] responses (Table XXIV), while two of the components [T1-SP10MN(A) and T1-SP10CANO(A)] induced broadly cross-reactive anti-V3 peptide antibody response (Table XXIV).

TABLE XXIV

Ability of HIV Envelope gp120 Synthetic  
Peptides To Induce Anti-Peptide  
Antibodies After Three Immunizations

Synthetic Peptides Used To Immunize Mice	Synthetic Peptides Used In ELISA Assays			
	T1- SP10MN(A)	T1- SP10RF(A) (Geometric Means Titers)	T1- SP10EV91(A)	T1- SP10CANO(A)
Multivalent Peptide Mixture	51,200	16,127	51,200	204,800
T1-SP10MN(A)	25,600	8,063	12,800	20,319
T1-SP10RF(A)	43	10,159	5	9
T1-SP10EV91(A)	12	5	2,016	0
T1-SP10CANO(A)	25,600	10,159	25,600	204,800

Balb/c mice were immunized three with 50 ug of monovalent peptides subcutaneously in IFA (Seppic ISA 51). Animals were bled 2 weeks after the immunization, and antibody titers determined using end-point ELISA assays (E/C 3.0). Data represent the geometric mean titers of serum antibodies of three mice for each point.

Eliza method are described in Haynes et al, J. Immunol., 151:1646 (1993), Haynes et al, J. Exp. Med., 177:717 (1993), Haynes et al, Trans. Amer. Assoc. Physician, 106:31 (1993).

Ability of HIV Env Peptides to Induce Cross-Reactive  
Peptide Responses to African (Clave A) and Thailand  
(Clave E) HIV Isolate V3 Loop Peptides

In addition, sera from goats and mice immunized with mixtures of all 4 peptides (Table XXV), contained antibodies that also cross-reacted with the T1-SP10(A)A. con. peptide (a Th-B-CTL peptide reflective of the consensus V3 loop sequence of Clave A in Africa), and to a lesser extent, with the T1-SP10(A)E.con. peptide (a Th-B-CTL peptide reflective of the consensus V3 loop sequence of Clave E in Thailand (Table XXV). When sera from mice immunized with only one of each of the 4 components of the polyvalent mixture were tested for their ability to bind to the African T1-SP10(A)A.con. peptide, it was found that the T1-SP10CANO(A) peptide was responsible for generating all of the cross-reactive antibodies to the African Clave A consensus sequence. Thus, although the primary V3 sequences of the CANO envelope is widely disparate from other HIV env V3 sequences (Figure 27), secondary and possibly higher order structures of the V3 loop of the HIVCANO isolate appear to have the ability to induce cross-reactive anti-V3 antibodies against many different HIV V3 motifs.

TABLE XXV

Ability of Th-B HIV Env Polyvalent Peptide Mixture  
To Prime and Boost Mouse and Goat Serum Antibodies That  
Cross-React With The Th-B Peptide With V3  
Clave A (VHIGPGQAFYAT) Consensus Sequences

Animals	Th-B Peptide V3 Sequence					
	MN	RF	EV91	CanO	A.Con.	E.Con.
Geometric Mean Titers						
Mouse	51,200	16,200	51,200	204,800	81,100	1,600
Goat	25,600	18,000	12,800	25,600	28,600	4,500

Data represent the geometric mean titers of either 3 mice or 2 goats injected with the polyvalent Th-B-HIVenv peptide mixture.

Method used are described in Haynes et al., J. Immunol. 151:1646 (1993), Haynes et al., J. Exp. Med., 177:717 (1993), Haynes et al., Trans. Amer. Assoc. Physician, 106:31 (1993).

T1-SP10(A) SEQUENCES FOR AFRICAN (A.CON) AND  
SOUTHEAST ASIAN (E.CON) HIV ISOLATES

T1	SP10	A
KQIINMWQRVGQAMYATRPNNNTRKSVHIGPGQAFYATGDI		T1-SP10(A)A.con
KQIINMWQAGQAMYATRPNNNTRTSITIGPGQGVGYRTGDI		T1-SP10(A)E.con

Human Retroviruses and AIDS 1993.  
Edited by G. Myers, J.A. Berzofsky, B. Korber, R.F. Smith, and G.N. Pavlakis  
Published by the Theoretical Biology and Biophysics Group T-10  
Mail Stop K710  
Los Alamos National Laboratory  
Los Alamos, NM 87545

Neutralizing Antibody Responses Generated By The  
Polyvalent HIV Env Immunogen

Regarding neutralizing antibody responses, sera from animals immunized with the polyvalent immunogen (Figure 27) bind to HIV gp120IIIB and gp120SF2 in either radioimmunoprecipitation assay or in ELISA assay. Sera from these animals have neutralized HIVMN and RF isolates in syncytium inhibition assays.

Demonstration of a Neutralizing CD4-V3 Conformational  
Determinant in HIV gp120

The 17b and 48d human anti-gp120 mabs were isolated from human PBMC B cells from patients infected with HIV (Thali et al, J. Virol. 67:3978-3988 (1993); Moore et al, AIDS Res. Human. Retroviral. 9:1185 (1993)). The 17b and 48d mabs cross-block mouse mabs that block CD4 binding to gp120, broadly neutralize disparate HIV isolates, but do not in and of themselves block gp120-CD4 binding (Moore et al, personal communication, 1994; Thali et al, J. Virol. 67:3978 (1993)). Rather, binding of the 48d mab is upregulated to native gp120 following ligation of gp120 by CD4. It has been found that one peptide, T1-SP10CANO(A), binds to the 48d mab (Figure 28), and the optimal binding of mab 48d to HIV env hybrid peptide T1-SP10CANO(A) depended on the presence of the CD4 peptide, T1 N-terminal to the



SP10CANO(A) peptide (Figure 29). Thus, the T1-SP10CANO(A) hybrid C4-V3 peptide mirrors a conformational determinant of HIV gp120 recognized by a potent broadly neutralizing human mab. It is of interest that Wyatt et al, J. Virol. 66:6997 (1992) and Moore et al, J. Virol. 67:4785 (1993) have suggested that the V3 loop [SP10(A)] and the C4, T1 region are in close physical proximity to each other in native gp120. Thus, the present data directly demonstrate that the T1-SP10CANO(A) synthetic peptide can mimic broadly neutralizing C4-V3 conformational determinants of native gp120.

General strategy for identification of multiple CD4-V3 [T1-SP10(A)] peptides that mirror other HIV isolate C4-V3 conformational determinants

Whereas the HIV V3 loop by itself induces primarily type-specific anti-HIV neutralizing antibodies, the C4-V3 determinant as defined by the T1-SP10CANO(A) peptide will induce more broadly, cross-reactive neutralizing antibodies. This is known from the fact that the 48d human monoclonal antibody derived from a HIV seropositive patient binds to a complex conformational determinant on the surface of gp120, binds to a wide spectrum of HIV isolates and neutralizes disparate HIV isolates such as HIVIIIB and HIVMN (Thali et al, J. Virol. 67:3978

(1993); Moore, J. personal communication (1994)). Thus, a general strategy for identifying multiple C4-V3 peptides would be to construct a large number of C4-V3 peptides derived from C4 sequences (for example, from amino acids 419 to 428 from the HIVMN isolate and from homologous regions in other HIV isolates) linked N-terminal to SP10 or SP10(A) regions (such as amino acids 301-327 of HIVMN and from homologous regions in other HIV isolates) from sequences listed in the Los Alamos database (Human Retrovirus and AIDS, 1991, 1992, 1993 edited by G. Myers, J.A. Berzofsky, B. Korber, B.F. Smith and G.N. Pavlakis, published by the Theoretical Biology and Biophysics Group T-10, Mail Stop K710, Los Alamos National Laboratory, Los Alamos, NM 87545) (see Table XXVI for other examples). One would then screen approximately 40 to 100 of these different C4-V3 peptides against a combinatorial library of heavy and light chain immunoglobulin variable genes expressed on the surface of phage (Borbas et al, Proc. Natl. Acad. Sci. USA 88:7978 (1991)). The work of Borbas et al provides a method for screening a large number ( $10^7$  to  $10^8$ ) of human monoclonal antibodies derived from a patient with HIV infection making a screen possible of a wide spectrum of antibody responses to search for antibodies species against complex conformational determinants on gp120.

Using this technology C4-V3 peptides can be identified that are in such a conformation to fit into the Fab notch of the variable region of the heavy and light chain heterodimer expressed in the combinatorial library on the surface of phage. These Fab monoclonals can be isolated and cloned (Borbas et al, Proc. Natl. Acad. Sci. USA, 88:7978 (1991)). Most importantly, C4-V3 peptide designs can be identified that reflect native gp120 C4-V3 conformational determinants of a wide variety of HIV strains. When this type of protein based selection is performed with combinatorial libraries derived from a large number of HIV-infected individuals from many different geographic sites of HIV infection around the world, a wide selection of C4-V3 peptides that mimic broadly reactive neutralizing determinants from the C4-V3 region of native gp120 can be identified and, for example, combined together with the T1-SP10CANO(A) prototype C4-V3 peptide into a multivalent C4-V3 peptide immunogen for induction of highly cross-reactive, broadly-neutralizing antibodies against C4-V3 conformational determinants of multiple HIV strains.

TABLE XXVI  
C4-V3 IMMUNOGEN CONSTRUCTS

	T1	SP10	A
C4-V3 LAI	KQFINMWQEVGKAMYATRPNNNTRKSIRIQRGPGRFVTTIG		
C4-V3 HXB2R	KQIINMWQKVGKAMYATRPNNNTRKSIRIQRGPGRFVTTIG		
C4-V3 NL43	KQFINMWQEVGKAMYATRPNNNTRKSIRIQRGPGRFVTTIG		
C4-V3 MFA	KQFINMWQEVGKAMYATRPNNNTRKSIRIQRGPGRFVTTIG		
C4-V3 MN	KQIINMWQEVGKAMYATRPNYNKRRIHIGPGRFYTTK		
C4-V3 BRVA	KQIINMWQEVGKAMYATRPNNNTRKRITMGPRVYTTG		
C4-V3 SC	KEIINMWQEVGKAMYATRPNNNTRSIHIGPGRFYATG		
C4-V3 JH3	KQIINMWQEVGKAMYATRPSTTRRRRIHIGPGRFYTTK		
C4-V3 ALA1	KQIVNMWQEVGKAMYATRPNIYRKGRIGHIGPGRFHTTR		
C4-V3 BAL1	KQIINMWQEVGRAMYATRPNNNTRKSIHIGPGRFYTTG		
C4-V3 JRCSF	KQIINMWQEVGKAMYATRPNNTRKSIHIGPGRFYTTG		
C4-V3 JRFL	KQIINMWQEVGKAMYATRPNNTRKSIHIGPGRFYTTG		
C4-V3 OYI	KQIVNMWQEVGKAMYATRPNNTRNRISIGPGRFHTTK		
C4-V3 SF2	KQIINMWQEVGKAMYATRPNNTRKSIYIGPGRFHTTG		
C4-V3 NY5CG	KQIINRWQEVGKAMYATRPNNTRKGIAIGPGRTYARE		
C4-V3 SF162	KQIINRWQEVGKAMYATRPNNTRKSITIGPGRFYATG		
C4-V3 JFL	KQIINRWQEVGKAMYATRPNNTRKSITLGPGRFYTTG		
C4-V3 CDC4	KQIINRWQVVGKAMYATRPNNHTRKRVTLGPGRVWYTTG		
C4-V3 SF33	KQIINMWQEVGKAMYATRPNNRRRRITSGPGKVLVYTTG		
C4-V3 HAN	KQIINMWQEVGKAMYATRPNNTRKGIHIGPGRVYTTG		
C4-V3 ADA	KQIINMWQEVGKAMYATRPNNTRKSIHIGPGRFYTTG		
C4-V3 WMJ2	KQIINMWQGVGKAMYATRPYNNVRRSLSIGPGRFRTRE		
C4-V3 RF	KQIVNMWQEVGKAMYATRPNNTRKSITKGPGRVIYATG		

Sequences taken from sequences taken from the Los Alamos Database, Human Retroviruses and AIDS 1991 Edited by G. Myers, J.A. Berzofsky, B. Korber, R.F. Smith, and G.N. Pavlakis  
Published by the Theoretical Biology and Biophysics Group T-10, Mail Stop K710, Los Alamos National Laboratory, Los Alamos, NM 87545

## EXAMPLE 12

In the design of an HLA-based HIV vaccine, the following variables are taken into account: a) the HLA molecules that are expressed in the population or cohort to be immunized, b) the CTL or T helper epitopes present in the immunogen and their respective HLA-restricting elements, and c) the HIV variants present in the geographic location of the cohort to be vaccinated. An HLA-based vaccine for induction of anti-HIV T cell immunity is a multivalent mixture of immunogens reflective of the most common HIV variants in a geographic location, and containing immunogenic CTL and T helper epitopes that bind to the HLA molecules expressed on antigen-presenting cells of subjects of the cohort to be vaccinated. The mixture of immunogens can range from a mixture of non-HIV vectors expressing HIV proteins, to mixtures of HIV recombinant proteins and/or synthetic peptides (Palker et al, J. Immunol. 142:3612 (1989); Hart et al, Proc. Natl. Acad. Sci. USA 88:9448 (1991); Berzofsky, FASEB J. 5:2412 (1991); Haynes et al, Trans. Assoc. Amer. Phys. 106:33 (1993); Haynes et al, AIDS Res. Hum. Retroviral 11:211 (1995); Cease et al, Ann. Rev. Immunol. 12:923 (1994); Walfield et al, Vaccines 92,

Cold Spring Harbor Laboratory Press pp. 211-215  
(1992)).

Data sets that can be used to develop HLA-based AIDS vaccines include: 1) a compilation of CD8+ CTL and CD4+ T helper epitopes in HIV proteins that can be derived from the available literature (see particularly Nixon et al, Immunology 76:515 (1992)), 2) a listing of the HLA restricting antigens that present HIV CTL and T helper epitopes which also can be derived from the available literature, 3) a compilation of the HIV variants present in specific geographic locations that can be derived from the available literature (see particularly Human Retroviruses and AIDS 1993, Myers et al (eds) published by Theoretical Biology and Biophysics Group, Los Alamos National Laboratory, Los Alamos, NM 87545), and 4) a listing of HLA types for ethnic groups in geographic locations (HLA 1991 Tsuji et al (eds), Proceedings of the 11th International Histocompatibility Workshop and Conference, Oxford Univ. Press, Oxford, England 1992).

Table XXVI shows an HLA-based HIV vaccine design for CTL induction for African-Americans, and shows analyses of the most common HLA types present in the cohort to be immunized, the HLA Class I CTL epitopes restricted by the common HLA types in the cohort, and the HIV variants in the geographic location under

consideration. The most useful HIV preventive immunogens will be those designed for all members of a cohort to be immunized in a geographic area regardless of ethnic background, and such immunogens can be designed by expanding the number of HLA types used in the analysis, and by choosing HIV CTL immunogenic epitopes presented by several disparate HLA molecules (see available literature including Haynes et al, AIDS Res. Human Retroviral. 11:211 (1995)). Since a number of HIV CTL epitopes are presented by more than one allotype of HLA Class I molecules, by using nine CTL epitopes (as well as variant peptides reflective of HIV mutations at these sites) 95% of African-Americans, 97.5% of Caucasian Americans, 97% of Native Americans and 99% of Thais can be expected to respond to such an HIV immunogen (see Table XXVII below) (Haynes et al, AIDS Res. Human Retroviral 11:211 (1995)).

TABLE XXVI

Steps in the Development of an HLA-Based Subunit  
HIV Vaccine For Induction of Anti-HIV CTL in  
African-Americans in the United States

---

1. Analysis of common MHC types in cohort to be immunized.

Example: For African-Americans in the United States, 80% will express HLA A2, A3, A28, A30, B7, or B8.

2. Analysis of the HLA Class I HIV CTL epitopes restricted by these common HLA types in African-Americans in the cohort to be immunized.

Example: For HLA-A2, aa77-85, from p17 gag (Johnson et al, J. Immunol. 147:1512 (1991)); for HLA-A3, aa73-82 from nef (Culmann et al, J. Immunol. 146:1560 (1991)); for HLA-A28, aa583-592, from gp41 (Lieberman et al, J. Immunol. 148:2738 (1992)); for HLA-A30, aa844-863, from gp41 (Lieberman et al, J. Immunol. 148:2738 (1992)) for HLA-B7, aa302-312, from gp120 McMichael et al, AIDS 85:S155 (1994)); for HLA-B8, aa586-593 from gp41 (Sutton et al, Eur. J. Immunol. 23:447 (1993)).

3. Analysis of the HIV clade variants in the geographic locations of the cohort to be immunized.

Example: The most common clade in the United States and Western Europe is Clade B. For Clade B, epitope aa77-85 from p17, of 26 isolates analyzed in the Los Alamos database, these are 8 variants, necessitating including 8 peptides for this sequence. A similar analysis for the other 5 epitopes would



require an additional 54 peptides, for a total of 62.

4. T cell help can be obtained for anti-HIV CTL induction by peptides by synthesizing immunodominant T helper determinants N terminal to the CTL epitopes or inclusion of T helper determinants in larger subunits of HIV immunogens\* (Palker et al, J. Immunol. 142:3612 (1989); Milich, Nature 329:547 (1987)).

Example:  $Th_1-X_1$ ,  $Th_2-X_2$ ,  $Th_3-X_3$ ,  $Th_N-X_N$ , etc: where Th = immunodominant T helper epitopes and X = MHC Class I CTL epitopes.

---

\*Specific CTL epitopes are included because of the restricted nature of CTL-epitope presentation by HLA molecules (Palker et al, J. Immunol. 142:3612 (1989); Berzofsky, FASEB J. 5:2412 (1991); Berzofsky, Sem. Immunol. 3:203 (1991)). Only the most common immunodominant T helper epitopes need to be included for T cell help for CTL generation without regard to immunized cohort restriction element expression, since many HIV T helper epitopes are presented by multiple HLA Class II types (rev. in (Berzofsky, FASEB J. 5:2412 (1991); Berzofsky, Sem. Immunol. 3:203 (1991))), and some retrovirus CTL epitopes also been suggested to have T helper cell stimulating activity (rev. in Berzofsky, FASEB J. 5:2412 (1991); Berzofsky, Sem. Immunol. 3:203 (1991)).

---

It will be appreciated that the actual degree of HIV variability may not be reflected by the degree of variability seen in existing databases. It will also be appreciated that it is not certain that every variant of every HLA type will equally present each peptide to T cells. Further, it is not certain that

every HIV CTL epitope variant will be a potent agonist and trigger T cells to an optimum anti-HIV immune response. However, vaccines designed in accordance with the present approach can be expected to be of significant clinical effectiveness. Further, for design of an HLA-based HIV preventive immunogen that induces both anti-HIV T cell responses and anti-HIV neutralizing antibodies, HIV B cell immunogens that induce broadly neutralizing antibodies for HIV primary isolates can be added to a multivalent HIV T cell immunogen.

By way of example, set forth below is an HLA-based HIV vaccine formulated based on the International Histocompatibility Workshop data presented in Histocompatibility Testing 1994, Albert et al eds Springer-Verlag, Berlin (1994) and HLA 1991, 2 volumes, Tsuji et al (eds) Oxford University Press, Oxford England (1992)) supplemented with other published data (Williams et al, Human Immunol. 33:39 (1992); Chandanayingyong et al, HLA antigens in Thais, In Proceedings of the Second Asia and Oceania Histocompatibility Workshop Conference, Simons and Tait (eds) Immunopublishing Toorak pp. 276-87 (1983)). Using these data and the Hardy-Weinberg theorem (Hardy, Science 28:49 (1908)), an estimate was made of the proportion of each of four populations that would be expected to present

peptides to the immune system if a limited number of HIV epitopes were included in a vaccine designed specifically for that population. Similar calculations were made for a vaccine designed to be immunogenic for all four populations. These results are presented in Table XXVII.

TABLE XXVII

<u>Population</u>	<u>HLA Restriction Elements Chosen</u>	<u>HIV Protein</u>	<u>Epitope Location</u>	<u>Epitope</u>
a) African Americans	A2, A3, A11, B35 A28, B14 A30, B8 B17, B37 Cw4	nef gp41 gp41 nef gp120	73-82 583-592 844-863 117-128 576-583	QVPLRPMTYK VERYLKDQQL RRIRQGLERALL TQGYFPQWQNYT (S)FNCGGEFF
(Proportion of African Americans expected to present these 5 epitopes is 92.3%)				
b) USA Caucasians	A2, A3, A11, B35 A30, B8 B7 or B12	nef gp41 GP120 nef P24	73-82 844-863 302-312 126-138 169-184	QVPLRPMTYK RRIRQGLERALL RPNNTTRKSI NYTPGPGVRYPLT IPMFSALSEGATPQDL
(Proportion of USA Caucasians expected to present these 4 epitopes is 90.2%)				
c) North American Indians	A2, A3, A11, B35 A24 or A31	nef gp41 nef gp41	73-82 584-591 120-144 770-780	QVPLRPMTYK YLKDQQL YFPDWQNYTPGPGIRYPLTFGWCYK RLRDLNIVTR
(Proportion of North American Indians expected to present these 3 epitopes is 96.4%)				

TABLE XXVII (cont'd)

d) Thais	A2, A3, A11, B35 A24	nef gp41 nef	73-82 584-591 120-144	QVLRPMTYK YLKDQQL YFPDWQNYTPGPGIRYPLTFGWCYK
(Proportion of Thais expected to present these 2 epitopes is 93.6%)				
e) African Americans	A2, A3, A11, B35	nef	73-82	QVLRPMTYK
USA Caucasians	A28, B14	gp41	583-592	VERYLKDQQL
North American Indians	A30, B8	gp41	844-863	RRIRQGLERALL
Thais	B17, B37	nef	117-128	TQGYFPQWQNYT
	Cw4	gp120	376-383	(S)FNCGGEFF
	B7	gp120	302-312	RPNNNTRKSI
		or	126-138	NYTPGPGVRYPLT
	B12	p24	169-184	IPMFSALSEGATPQDL
	A31	gp41	770-780	RLRDLLLVTR
	A24	gp41	584-591	YLKDQQL
		or	120-144	YFPDWQNYTPGPGIRYPLTFGWCYK

(Proportions of African Americans, USA Caucasians, North American Indians, and Thais expected to present these 9 epitopes are 95.4%, 97.5%, 99.4%, and 97.2%, respectively)

The most frequent restriction elements in the population under consideration for vaccination (or common to the 4 populations) are first identified, peptides that are presented by more than one HLA allele are next identified, and commonality between the two lists is then determined. Probability calculations utilize the frequencies of the commonality alleles supplemented by those of additional high frequency alleles in the population. Alleles are added until the proportion of the individuals in the population carrying one or more of the alleles in the list is at an acceptable level, eg greater than 90%. The sum of the HLA gene frequencies that recognize the fewest number of different HIV peptides to be included in the HIV immunogen is thus maximized. The next step is to choose the peptides associated with the restricting allele. In some instances only one peptide is associated with an allele while in others, multiple peptides are presented by the same allele.

For the four population cohorts considered in this analysis, as few as 2 and as many as 5 epitopes are required to achieve a theoretical protection level of at least 90% (Table XXVII). The different numbers of required epitopes reflect, in part, the relative amounts of HLA Class I polymorphism observed

in the different ethnic groups and presentation of a peptide by multiple HLA class I molecules.

A comparison between the individual and combined population (Table XXVII) demonstrates that relatively little is gained by including epitopes that are associated with low frequency alleles. The proportion of individuals protected approaches 100% asymptotically so that even adding on epitopes associated with high frequency alleles adds little to the proportion as this level is approached. This is illustrated by the North American Indians where including 6 more epitopes associated with 5 very low frequency alleles and one intermediate frequency allele in the combined theoretical vaccine added only 3.0% protection.

#### EXAMPLE 13

##### Induction of Antibodies That React With Native HIV Envelope Proteins

Table XXVIII shows peptide sequences that were designed to induce antibodies against the C-terminus of gp120 (SP410-BAL), the AVERY region of gp41 (SP400-BAL), the ELDKWAS region of gp41 (GTH1-SP61), and the C2 region of gp120 (T1-SP420-BAL). In

addition, T1-SP10(A) or GTH1-SP10(A) peptides were included in the mixture of HIV env peptide designs (the T1-SP10(A) and GTH1-SP10(A) peptides induce potent neutralizing antibodies against HIV laboratory adapted strains).

The following peptides were injected into guinea pigs with Freund's adjuvant to induce anti-peptide antibodies: SP400-BAL, SP410-BAL, and the Th-B design peptides, GTH1-SP61, GTH1-SP10MN(A), and T1-SP10(A)-BAL. The SP400-BAL, SP410-BAL and GTH1-SP10MN(A) peptides induced antibodies that bound to recombinant gp120IIIB or to recombinant gp41 in Western blot assays (Figure 32A, B and C), and bound to the surface of HIVIIIB/LAI-infected CEM T cells (Figure 33). Antibodies against peptide GTH1-SP61 also bound to the surface of HIVIIIB/LAI infected CEM T cells (Figure 33). All anti-peptide antisera bound to the immunizing peptide in ELISA assays (Table XXX). Thus, the peptide designs listed in Table XXVIII induce antibodies against native HIV env proteins and, upon incorporation into a polyvalent immunogen, potentiate each other and particularly anti-V3 antibodies in neutralizing HIV laboratory adapted and primary isolates.



Table XXX. ELISA analysis of serumend-point titers of guinea pig antisera against immunizing peptides

Peptide Immunogen	Peptide sequence	Guinea pig #	ELISA	
			Titer	E/C
GHT1-SP10MN (A) GTH-SP60	YKRWIILGLNKIVRMYSSTRPNYKRRRIHIGPGRAPYTT	2	51,200	3
	YKRWIILGLNKIVRMYSSELDKWS	3	25,600	4
		4	51,200	4
		5	51,200	4
GTH-SP61	YKRWIILGLNKIVRMYSQOEKN EQELLELDKWS	6	102,400	4
SP400-BAL	RVLAVERYLRDQQLLGWCGSKLICITTAPEPNASWSNKS LNKI	13	204,800	4
		14	1,638,400	3
SP410-BAL	PGGDMRDNNRSELYKYKVVKIEPLGVAPTAKRRVVQREKR	15	409,600	3
		16	204,800	3

\* \* \* \* \*

All documents cited above are hereby incorporated in their entirety by reference.

The foregoing invention has been described in some detail by way of examples for purposes of clarity and understanding. It will be obvious to those skilled in the art from a reading of the disclosure that the synthetic peptides of the instant invention may differ slightly in amino acid sequence from the sequences of SP-10 regions of specific HIV isolates, without departing from the scope of the invention.

WHAT IS CLAIMED IS:

1. An essentially pure form of a hydrophilic peptide consisting essentially of an amino acid sequence of up to about 35 units in length and corresponding to at least one antigenic determinant of the envelope glycoprotein of HIV recognized by B lymphocytes, said peptide being capable, when covalently linked to a carrier molecule, of inducing in a mammal the production of high titers of protective, type-specific, antibodies against HIV.

2. The peptide according to claim 1, wherein said amino acid sequence corresponds to the SP-10 region of the envelope glycoprotein of HIV, or some portion thereof.

3. The peptide according to claim 2, wherein said amino acid sequence consists essentially of CTRPNNNTRKSIRIQRGPG, or some portion thereof.

4. An immunogenic conjugate capable of inducing in a mammal the production of high titers of protective, type-specific, antibodies against HIV, said conjugate comprising:

(i) a carrier molecule covalently attached to (ii) a hydrophilic peptide consisting essentially of an amino acid sequence of up to about 35 units in length and corresponding to at least one antigenic determinant of the envelope glycoprotein of HIV recognized by B lymphocytes.

5. The conjugate according to claim 4, wherein said carrier molecule comprises an amino acid sequence corresponding to a region of the envelope glycoprotein of HIV, which region is distant from the SP-10 region and is recognized by T cells.

6. The conjugate according to claim 5, wherein said region distant from said SP-10 region is T cell epitope T1 or T cell epitope T2, or some portion thereof.

7. The conjugate according to claim 4, wherein said carrier molecule is tetanus toxoid.

8. The conjugate according to claim 4, wherein said carrier molecule is covalently attached to said peptide through at least one spacer molecule.

9. The conjugate according to claim 8, wherein said spacer molecule consists of the dipeptide glycine-glycine.

10. A conjugate according to claim 4 wherein said amino acid sequence corresponds to the SP-10 region of the envelope glycoprotein of HIV, or some portion thereof.

11. A conjugate according to claim 10 wherein said amino acid sequence consists essentially of CTRPNMNRKSIIRIQRGPG, or some portion thereof.

12. The conjugate according to claim 4, further comprising the amino acid sequence FLGFLG covalently linked to the SP-10-like peptide, or portion of the SP-10-like peptide.

13. The conjugate according to claim 4 further comprising an amino acid sequence corresponding to a hypervariable region of the envelope protein of HIV isolates located C terminal to the SP-10 region.

14. A conjugate according to claim 13 wherein said sequence corresponding to said hypervariable

region is RAFVTIGKIGN and is directly linked to the C terminus of SP-10.

15. A method of producing immunity to HIV in a mammal comprising administering at least one conjugate according to claim 4 to said mammal.

16. A method of producing immunity to HIV in a mammal comprising administering to said mammal at least one covalently linked aggregate of at least two conjugates, each of said conjugates having (i) a carrier molecule covalently attached to (ii) at least one SP-10-like peptide, or portion thereof.

17. The method according to claim 16 wherein said aggregate comprises at least two SP-10-like peptides, or portions thereof, each of which corresponds to a different isolate of HIV.

18. The method according to claim 16 wherein said conjugates in said aggregate are covalently linked to each other via at least one disulfide bond.

19. An immunogenic covalently bonded aggregate capable of producing a protective immune response to HIV in a mammal comprising at least two conjugates

each composed of (i) a carrier molecule covalently attached to (ii) a hydrophilic peptide consisting essentially of an amino acid sequence of up to about 35 units in length and corresponding to at least one antigenic determinant of the envelope glycoprotein of HIV recognized by B lymphocytes, said conjugates being linked to each other through at least one disulfide bond.

20. A method of determining the presence and titers in mammalian serum of neutralizing antibodies against a specific strain of HIV comprising the steps of: (i) contacting an SP-10-like peptide, or portion thereof, with antibodies from mammalian serum; and (ii) measuring the formation of SP-10-like peptide-antibody complexes by radioimmunoassay, or enzyme linked immunosorbent assay.

21. A peptide of the general formula:

F-Th-SP10(X)

Th-SP10(X)

Th-SP10

or

F(X)

wherein:

F represents an amino acid sequence derivable from the putative fusogenic domain of HIV env gp41, or sequence functionally equivalent thereto;

Th represents an amino acid sequence comprising a T helper epitope;

SP10 represents a peptide as defined in claim 1; and

(X) represents an amino acid sequence corresponding to a HIV protein sequence recognized by MHC Class I or Class II restricted cytotoxic T cells.

22. A method of inducing, in a primate, antibodies that neutralize human immunodeficiency virus (HIV) comprising administering to said primate a mimotope of conformational determinant of the native HIV gp120 C4-V3 region.

23. The method according to claim 22 wherein said mimotope is T1-SP10CANO(A).

24. A method of screening said peptides according to claim 21 for the ability to produce neutralizing antibodies against more than 1 HIV isolate comprising:



- i) contacting one of said peptides with a monoclonal antibody that recognizes a conformational determinant of the native HIV gp120 C4-V3 region under conditions such that binding can occur, and
- ii) determining whether said peptide binds to said monoclonal antibody.

25. A method of inducing, in a primate, the production of antibodies that neutralize human immunodeficiency virus (HIV) comprising administering to said primate a composition comprising HIV envelope peptides that disrupt gp120/gp41 interactions.

26. A composition comprising human immunodeficiency virus envelope peptides that disrupt gp120/gp41 interactions, together with a pharmaceutically acceptable carrier.

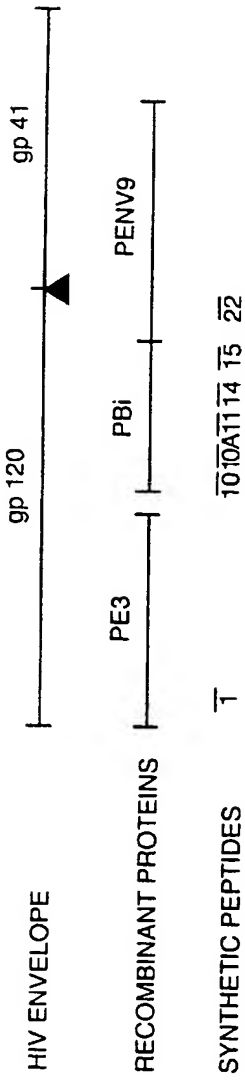


Fig. 1

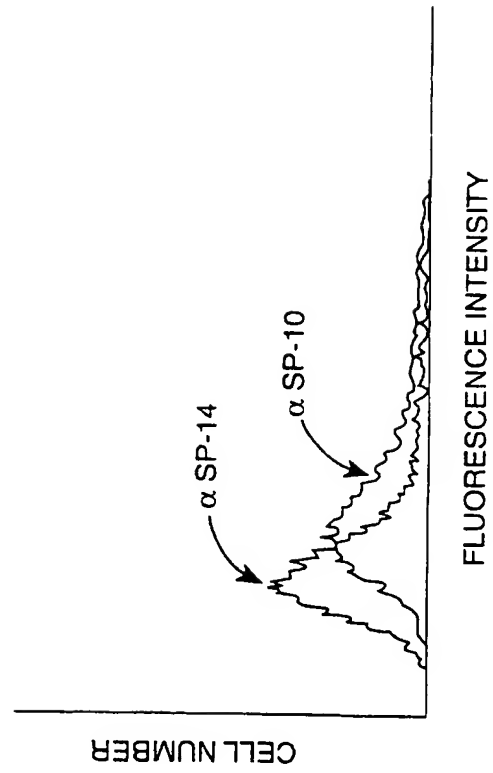


Fig. 3B

2/ 40

Fig. 2

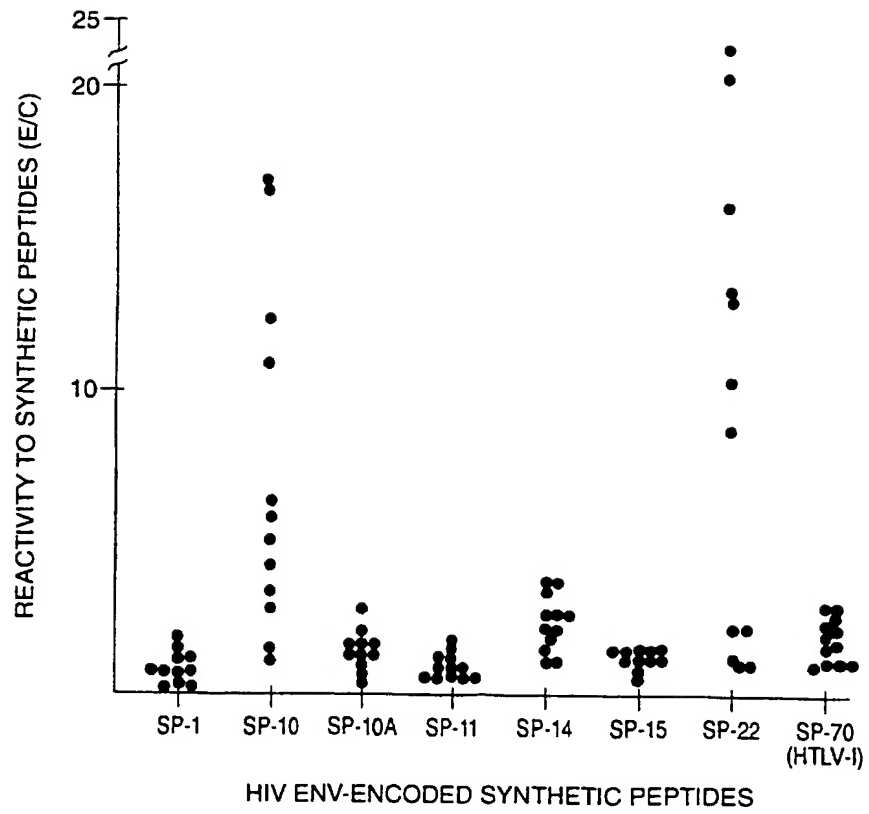


Fig. 3A

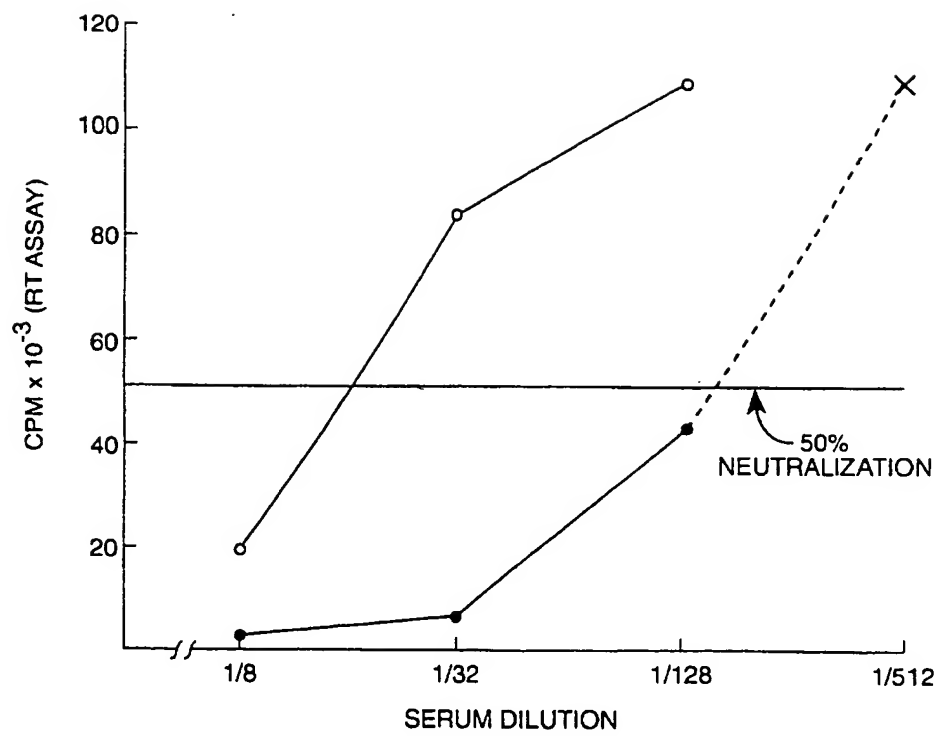
1 2 3 4 5 6



-120

4/40

Fig. 4



SUBSTITUTE SHEET (RULE 26)

5/40

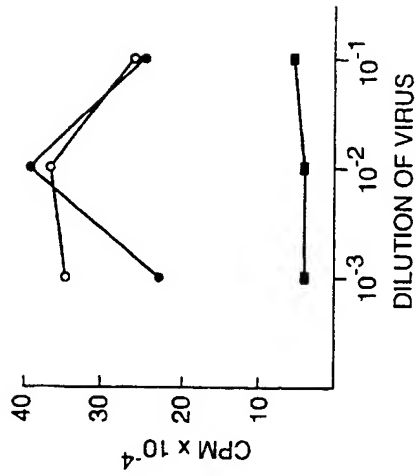


Fig. 5B

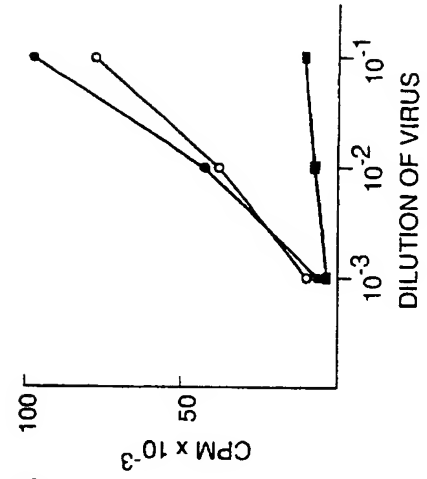


Fig. 5D

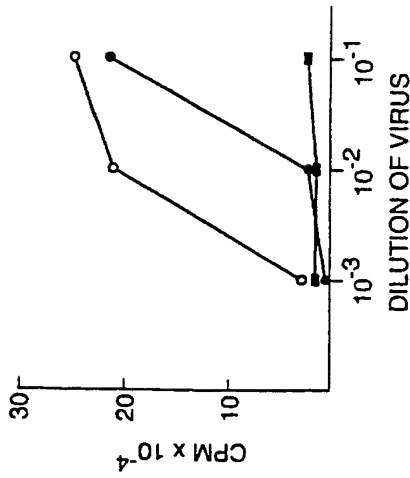


Fig. 5A

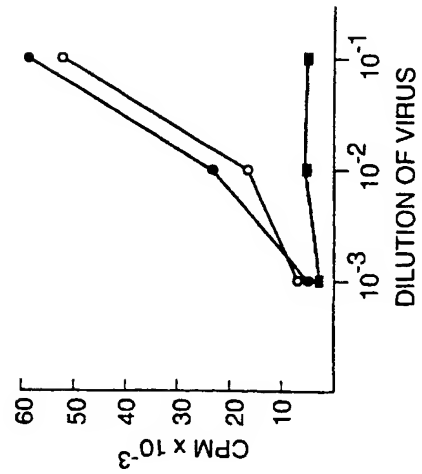


Fig. 5C

6/40

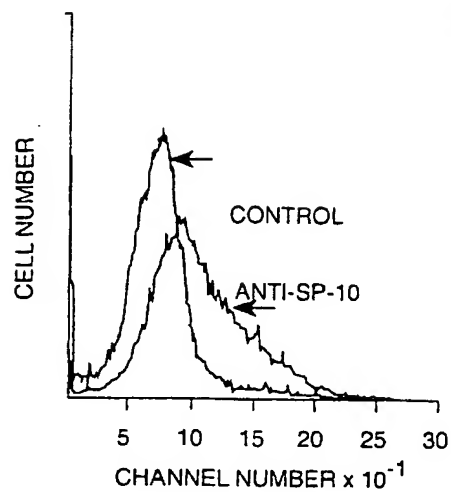


Fig. 6A

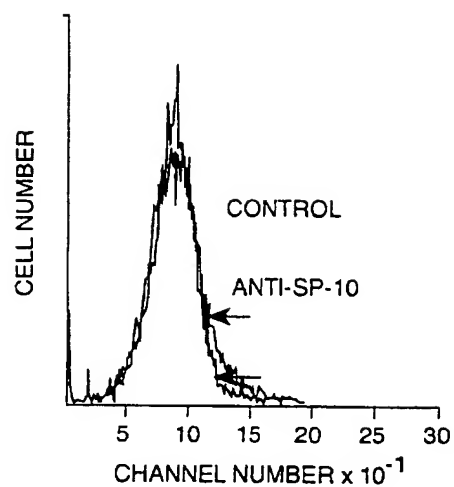


Fig. 6B

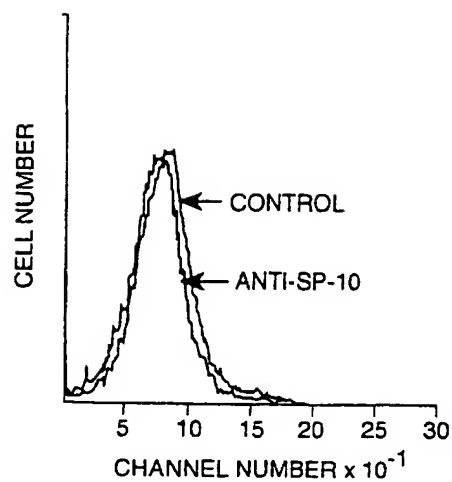
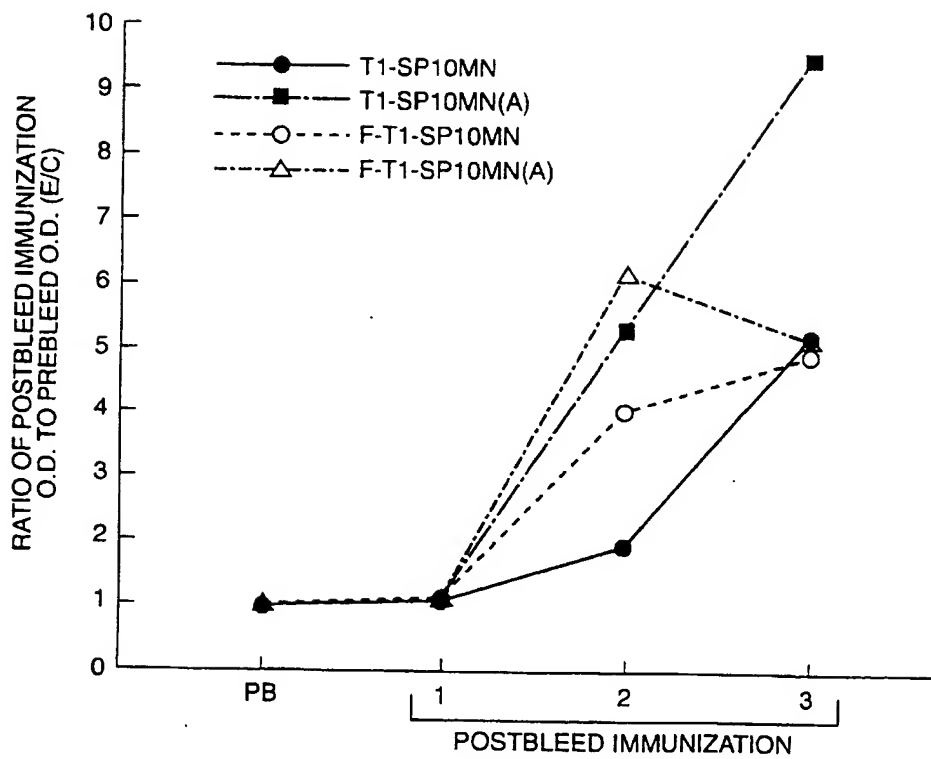


Fig. 6C

7/40

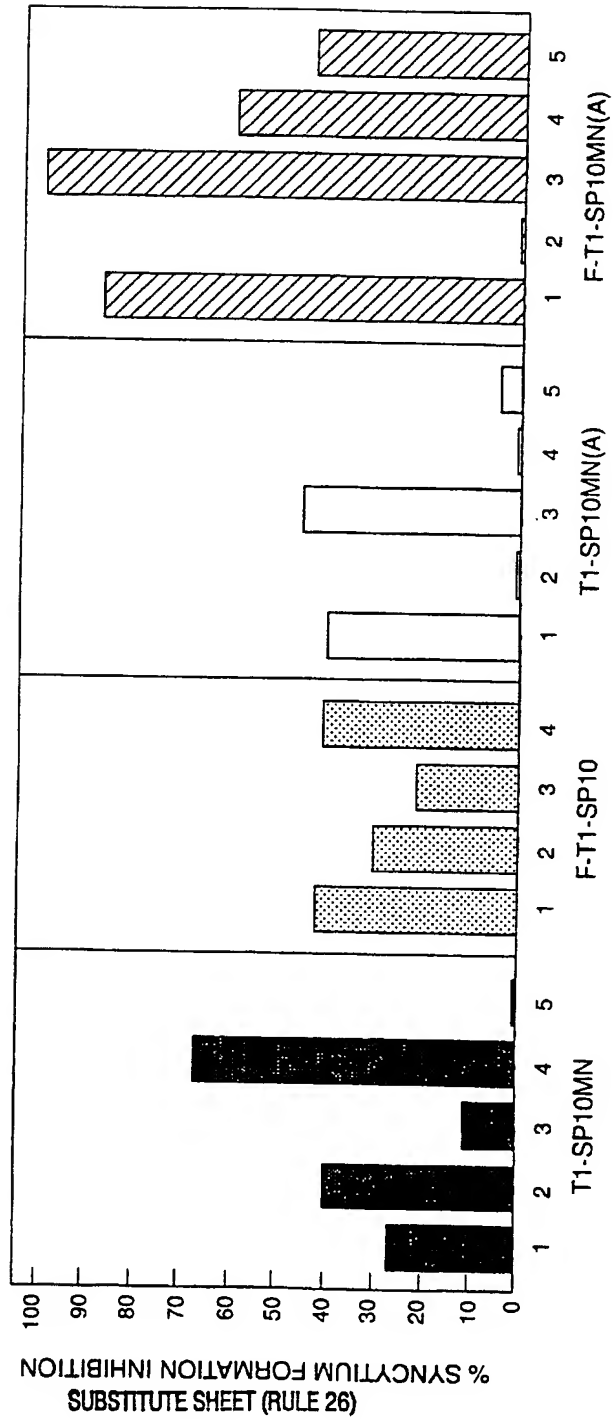
Fig. 7





8/40

Fig. 8



9/40

Fig. 9A

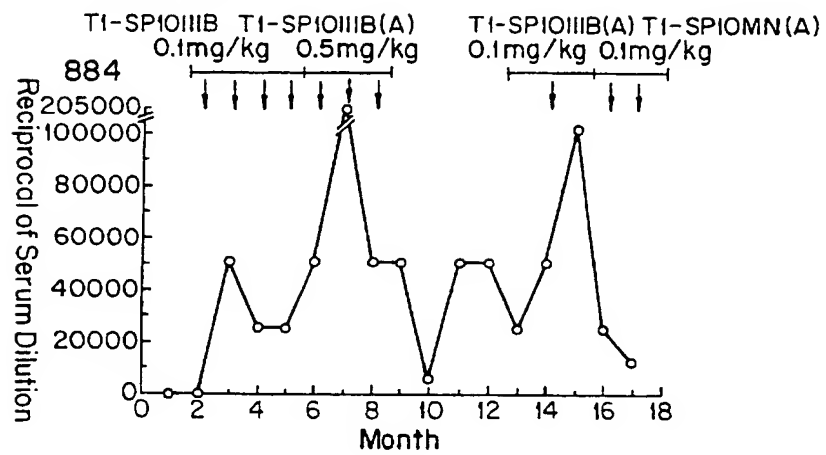
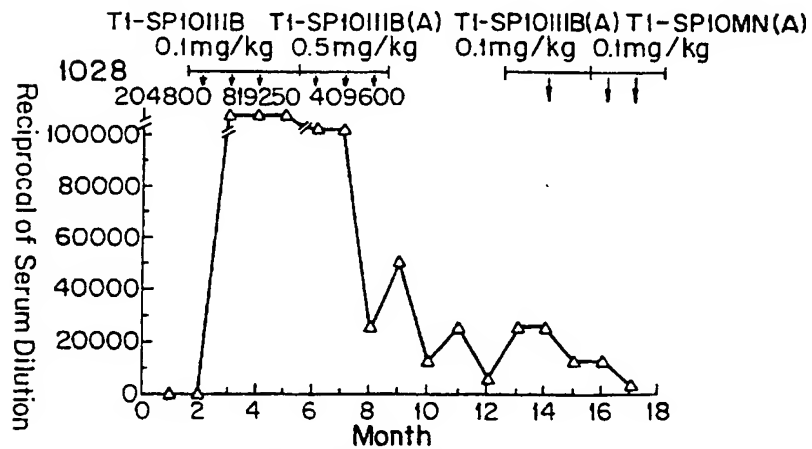


Fig. 9B



SUBSTITUTE SHEET (RULE 26)

10/40

Fig. 9C

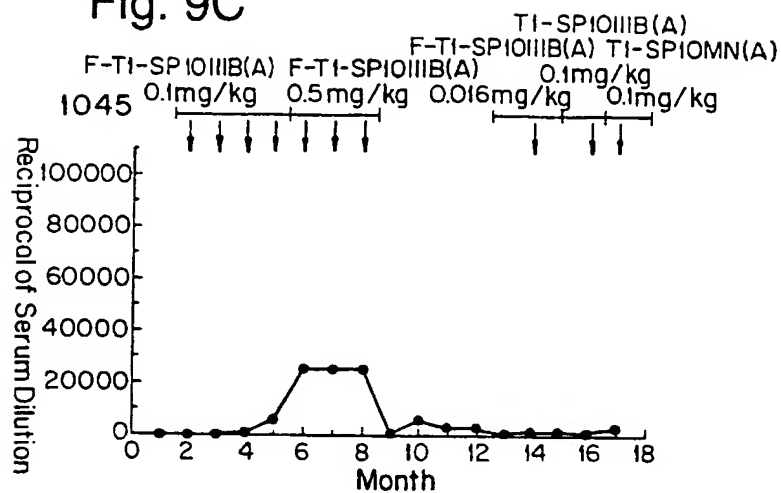
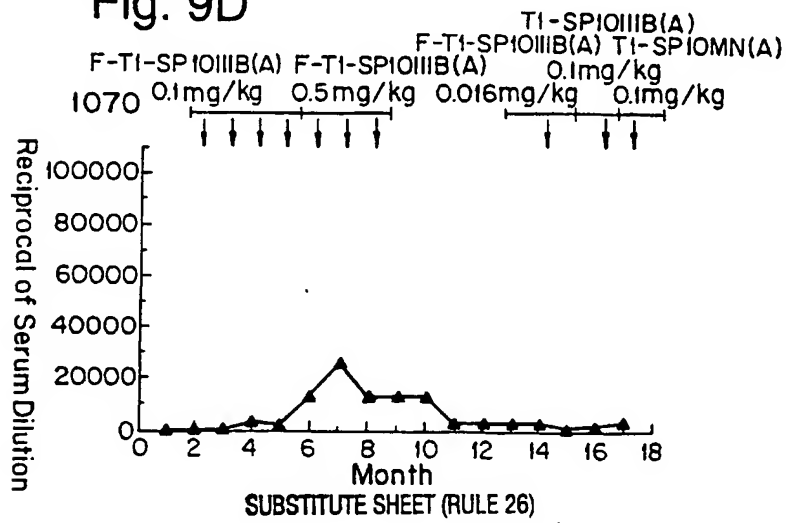


Fig. 9D



11/40

Fig. 10A

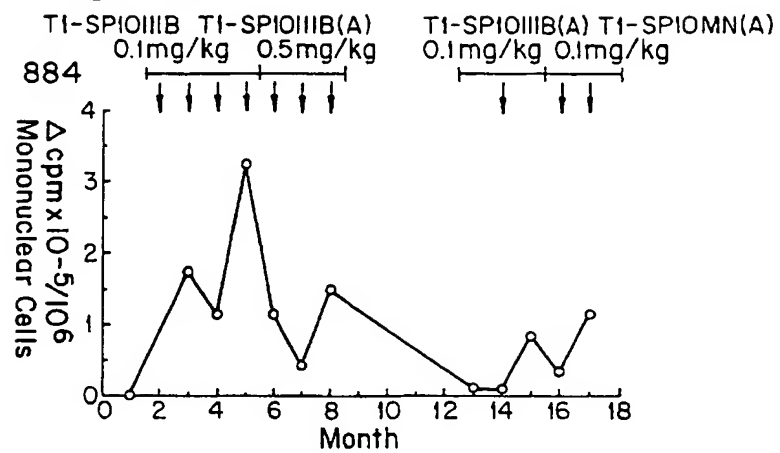
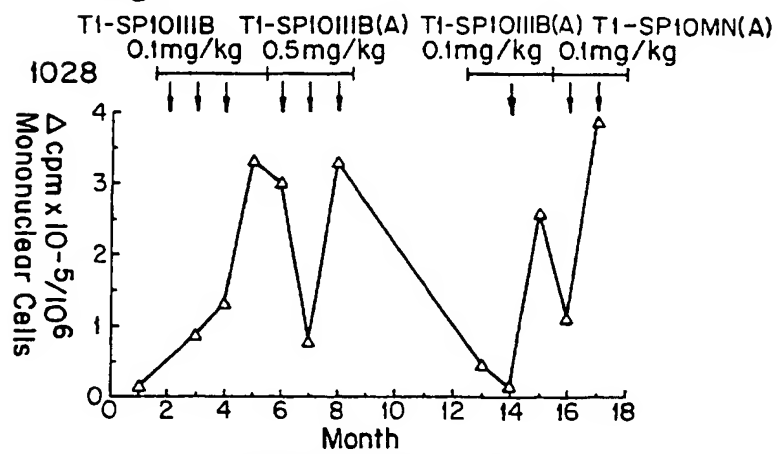


Fig. 10B



SUBSTITUTE SHEET (RULE 26)

12/40

Fig. 10C

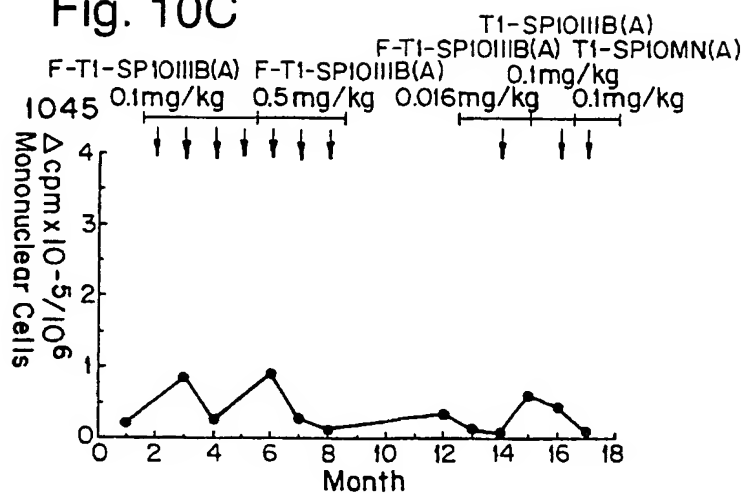
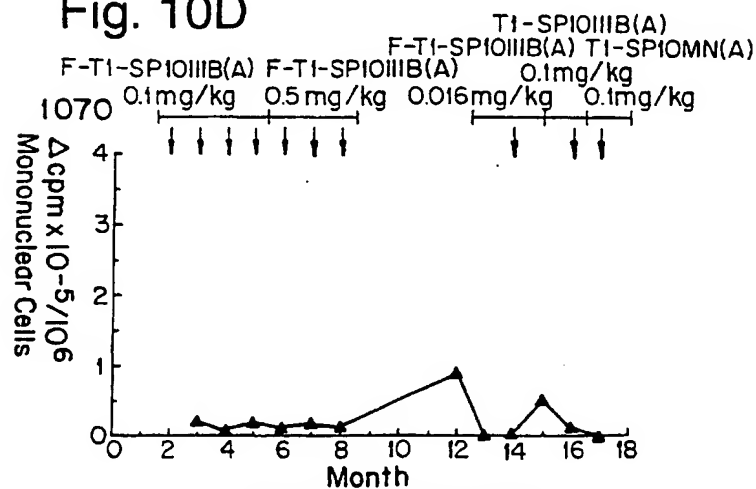


Fig. 10D



SUBSTITUTE SHEET (RULE 26)

13/40

Fig. 11A

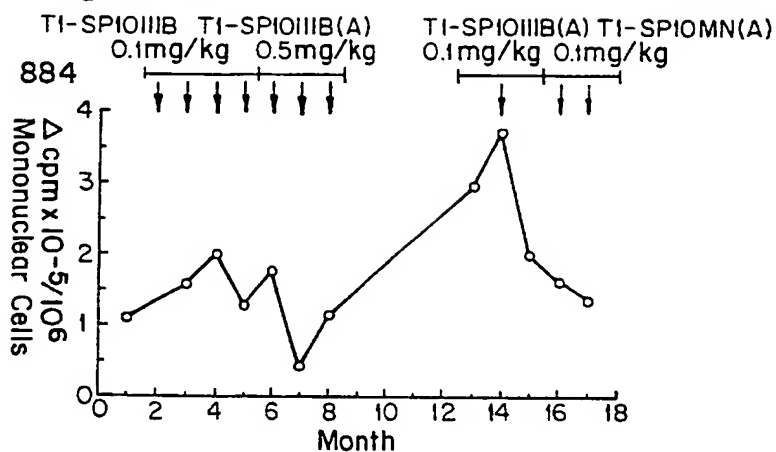
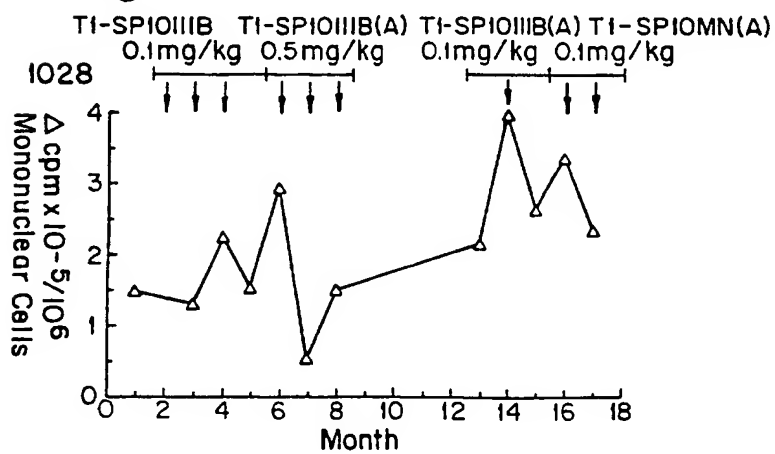


Fig. 11B



SUBSTITUTE SHEET (RULE 26)

14/40

Fig. 11C

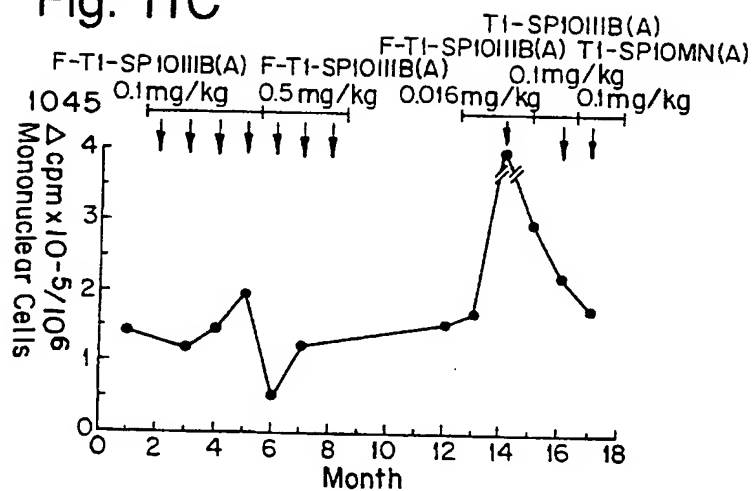
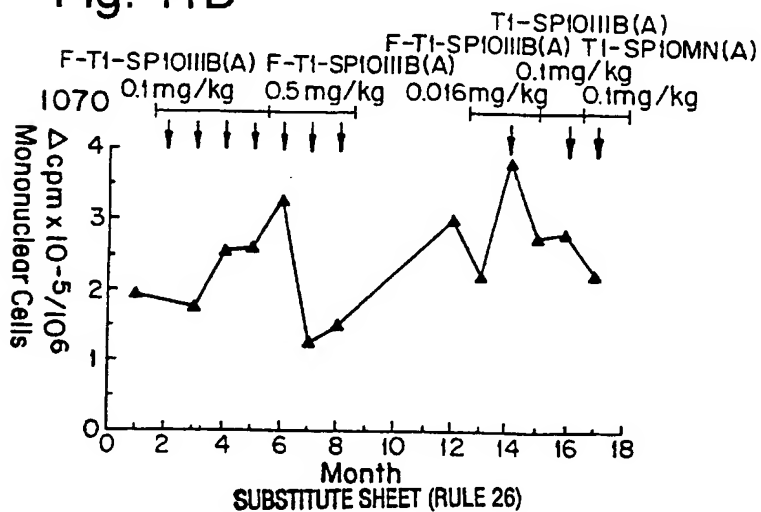


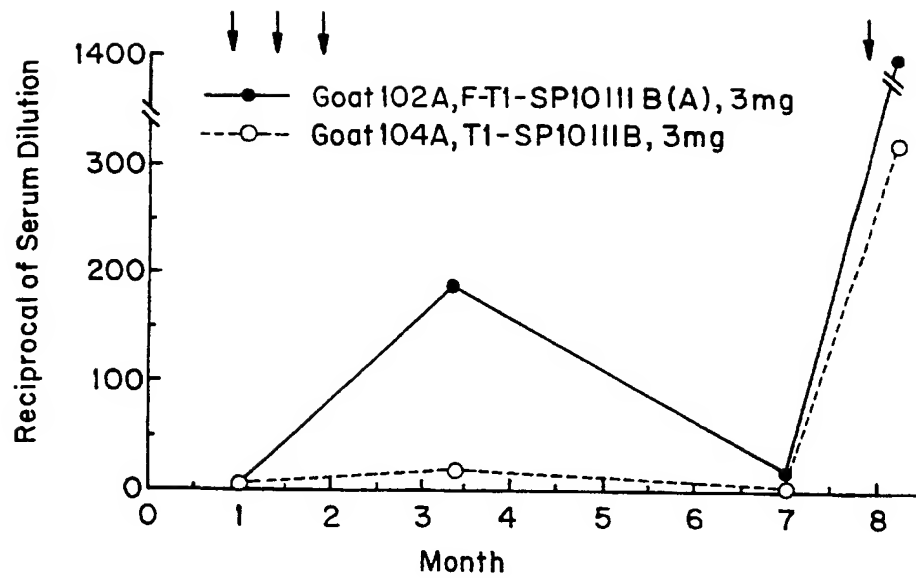
Fig. 11D



SUBSTITUTE SHEET (RULE 26)

15/40

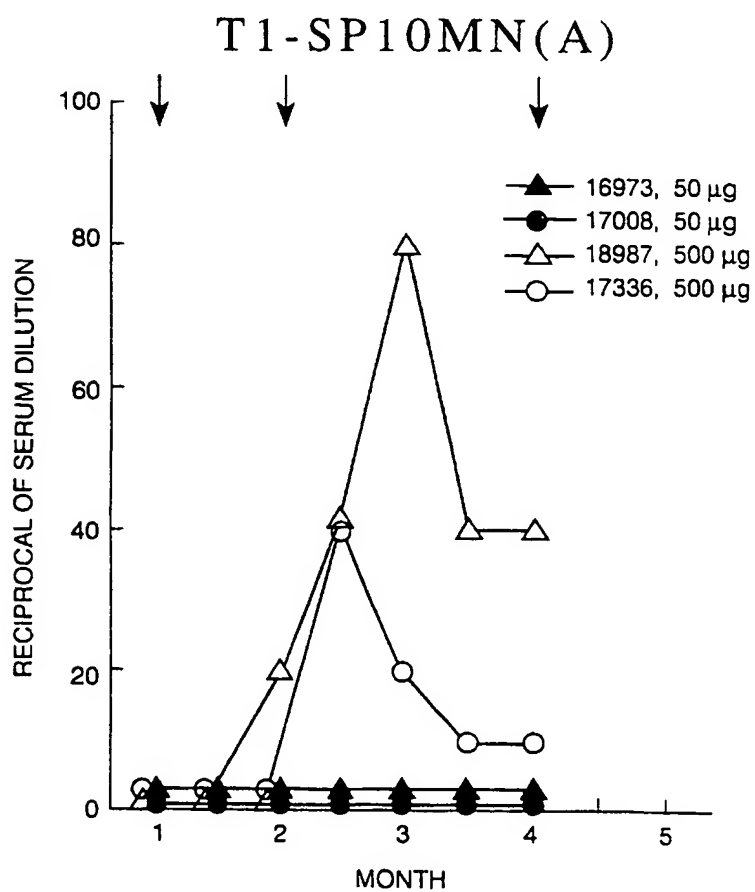
Fig. 12





16/40

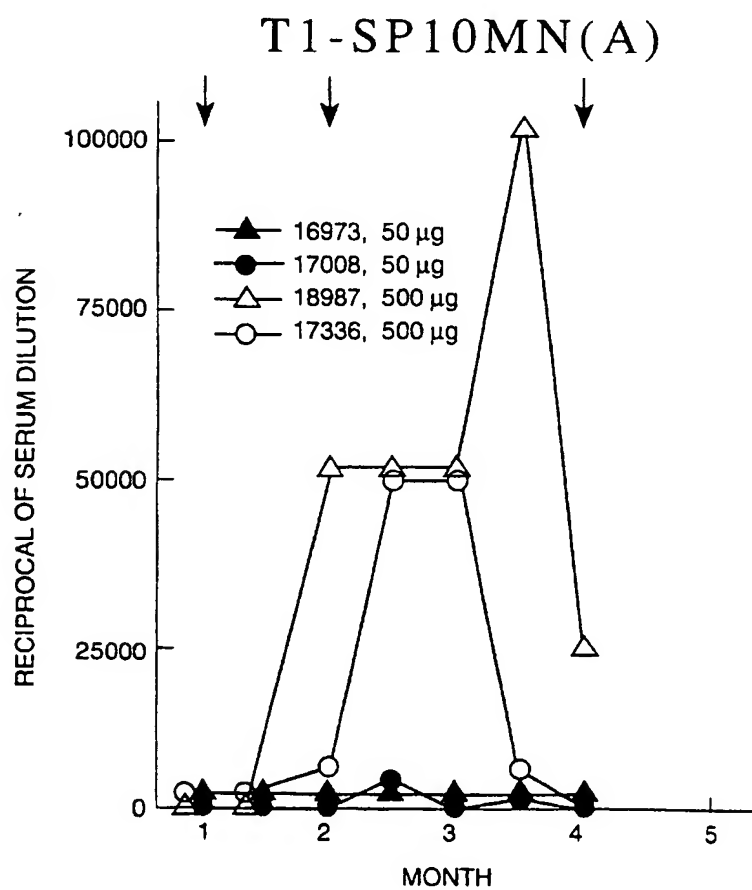
Fig. 13

ANTI-HIVMN NEUTRALIZING ANTIBODIES IN RHESUS MONKEYS  
IMMUNIZED WITH T1-SP10MN PEPTIDESDATA REPRESENT 90% NEUTRALIZATION TITERS  
IN SYNCYTIUM INHIBITION ASSAY.

SUBSTITUTE SHEET (RULE 26)

17/40

Fig. 14  
ANTIBODY TO IMMUNIZING PEPTIDE IN RHESUS MONKEYS  
IMMUNIZED WITH T1-SP10MN(A) PEPTIDE

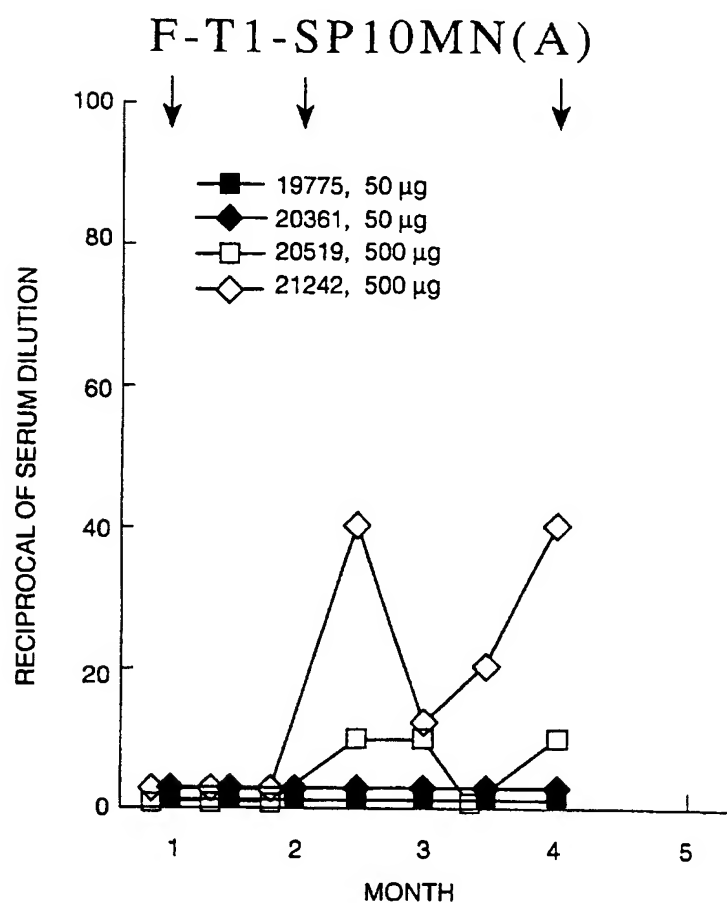


SUBSTITUTE SHEET (RULE 26)

18/40

Fig. 15

NEUTRALIZING ANTIBODY LEVELS IN SYNCYTIUM  
INHIBITION ASSAY IN SERUM OF RHESUS MONKEYS  
IMMUNIZED WITH F-T1-SP10MN(A) PEPTIDE

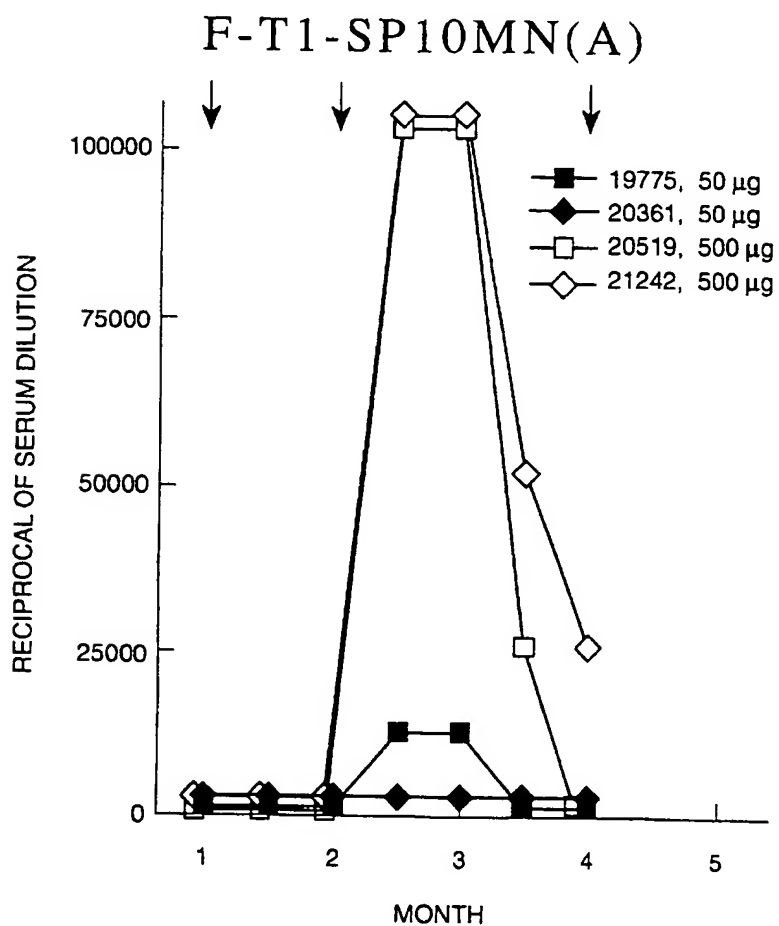


SUBSTITUTE SHEET (RULE 26)

19/40

Fig. 16

SERUM ANTIBODY TITERS TO IMMUNIZING PEPTIDE IN RHESUS  
MONKEYS IMMUNIZED WITH F-T1-SP10MN(A) PEPTIDE

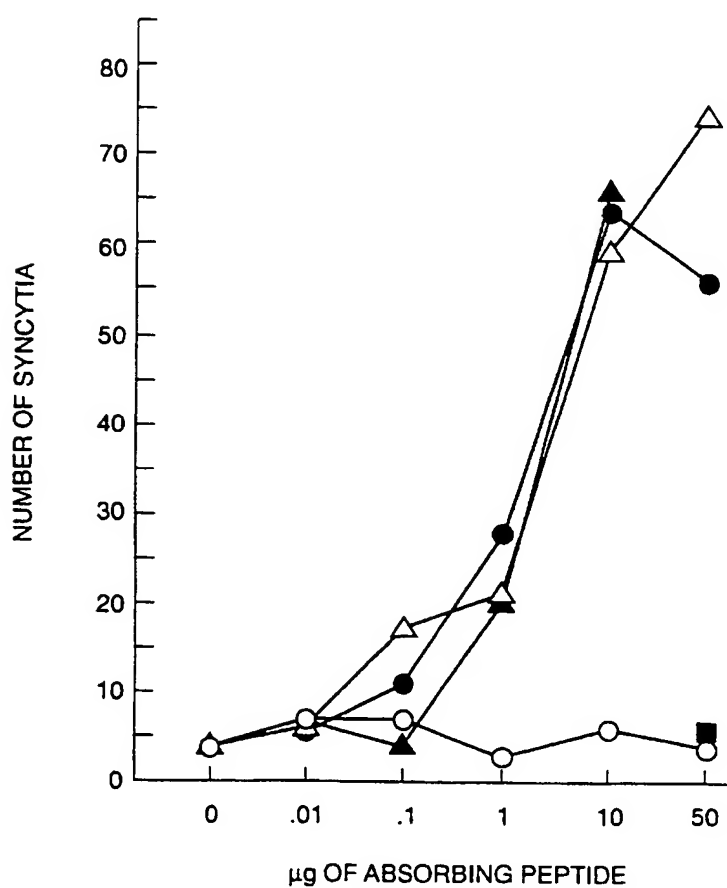


SUBSTITUTE SHEET (RULE 26)

20/40

Fig. 17

T1-SP10MN(A)	KQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRFYTTK
○ T1-Flu	KQIINMWQEVGKAMYA TYQRTRALVTG
■ DP31	ERYLKDQQLLGIWGC SGKLICG
● SP10MN(A)	CTRPNYNKRKRIHIGPGRFYTTK NIIG
△ DP4	CYNKRKRIHIGPGRFYTTK
▲ DP2	IGPGRFIGPGRFIGPGRF C



SUBSTITUTE SHEET (RULE 26)

21/40

Fig. 18B

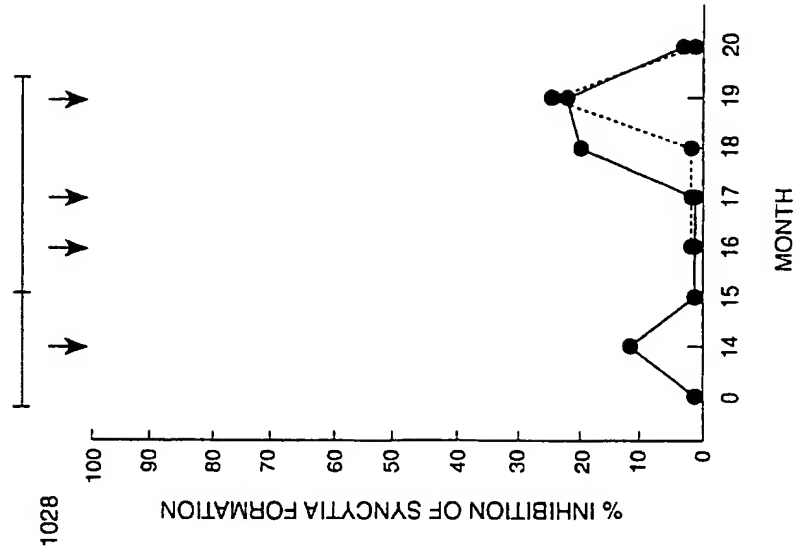
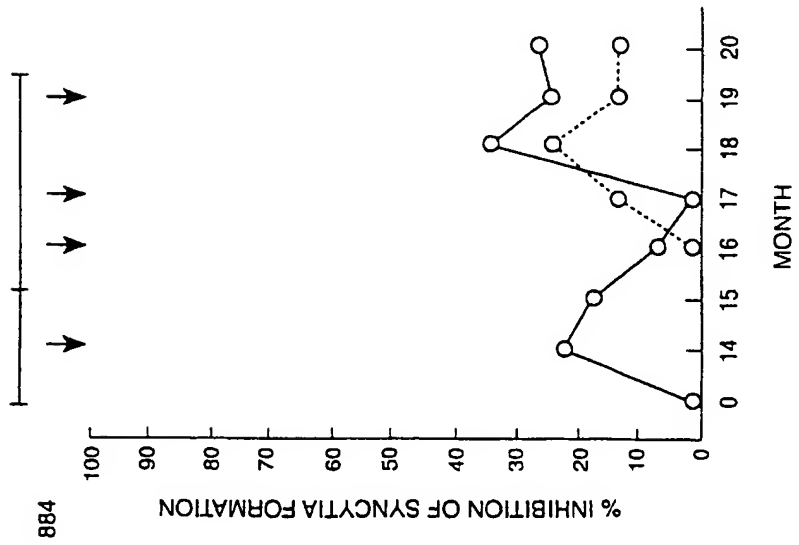


Fig. 18A



22/40

Fig. 18D

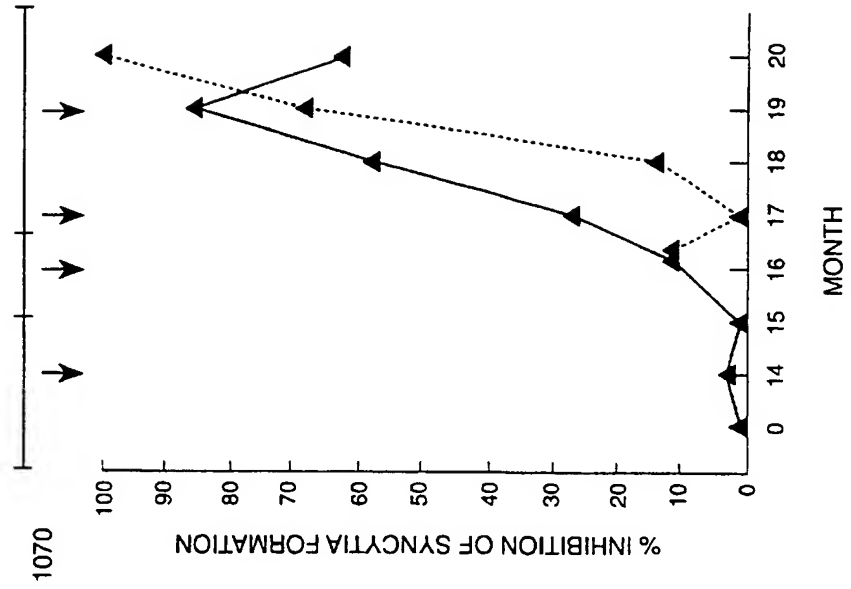
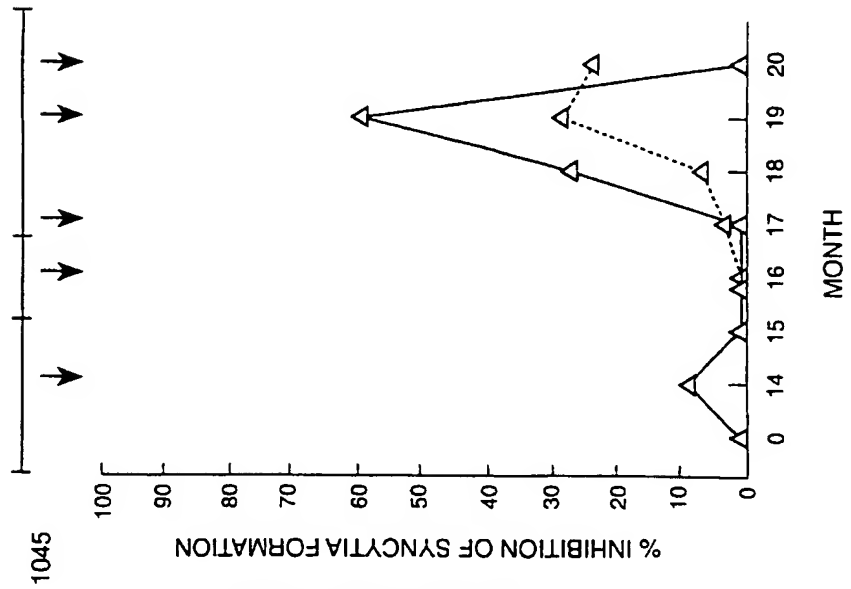


Fig. 18C



23/40

Fig. 19A

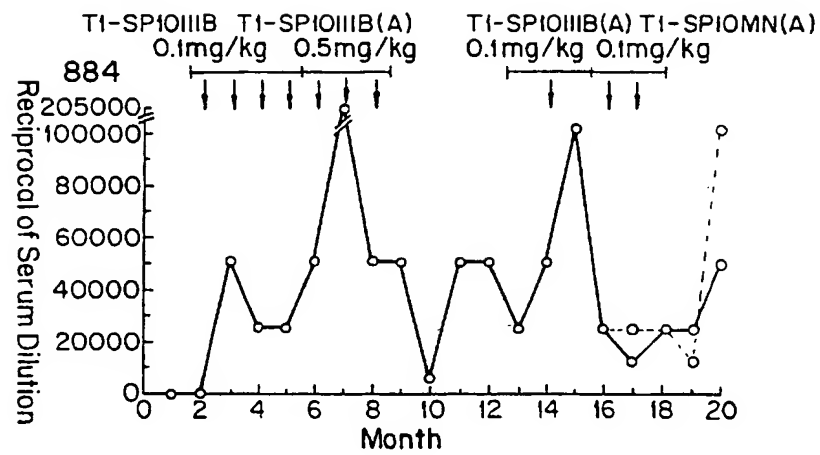
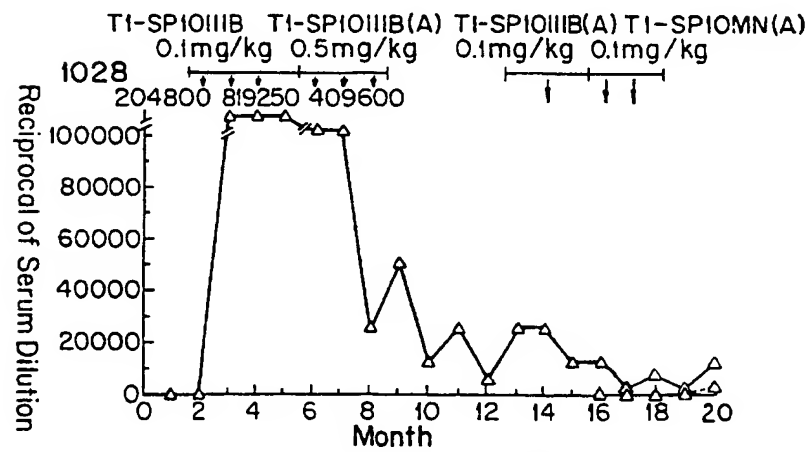


Fig. 19B



SUBSTITUTE SHEET (RULE 26)



24/40

Fig. 19C

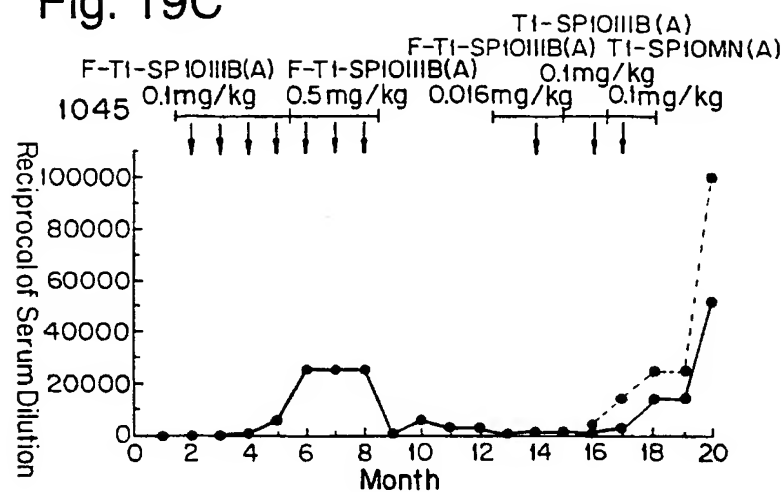
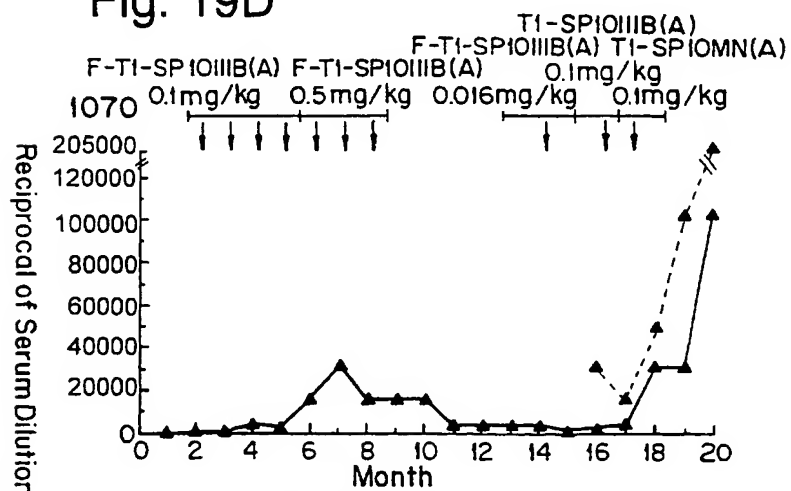


Fig. 19D

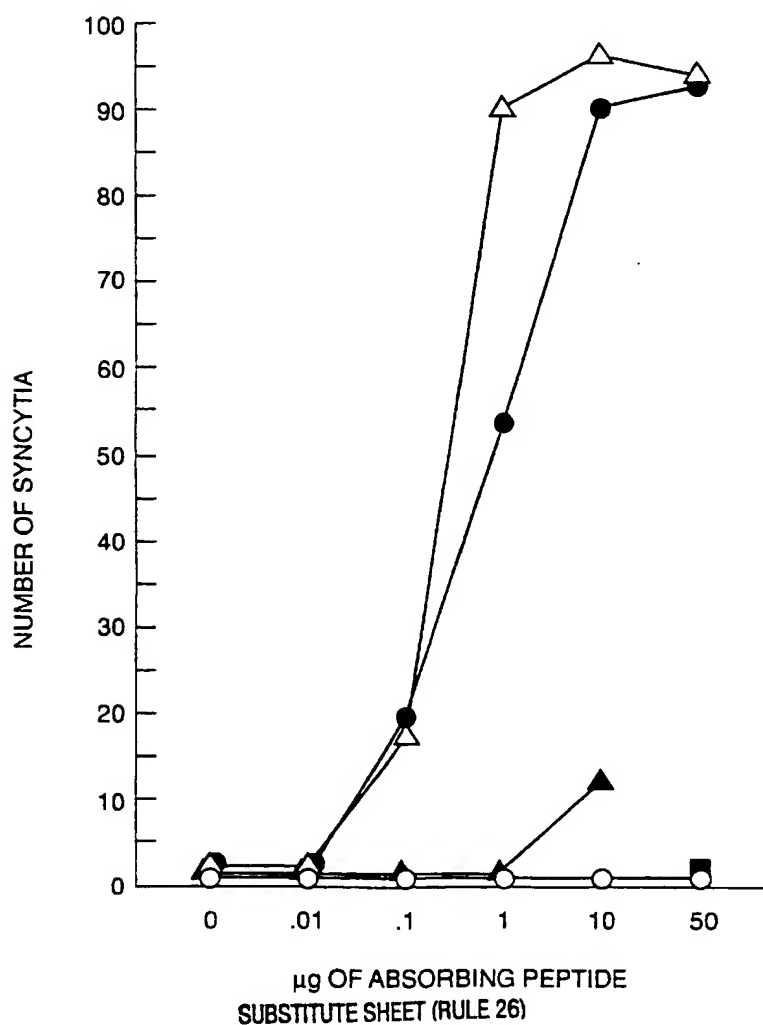


SUBSTITUTE SHEET (RULE 26)

25/40

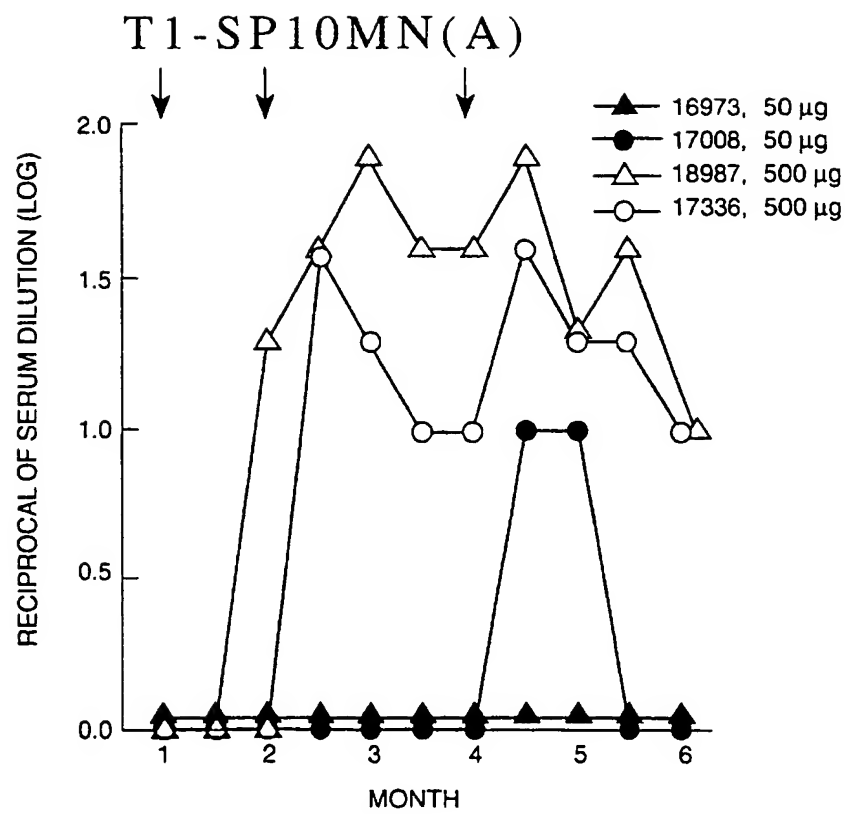
Fig. 20

T1-SP10MN(A)	KQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRAFYTTK
○ T1-Flu	KQIINMWQEVGKAMYA TYQRTRALVTG
■ DP31	ERYLKDQQLLGIWGC SGKLICG
● SP10MN(A)	CTRPNYNKRKRIHIGPGRAFYTTK
△ DP4	CYNKRKRIHIGPGRAFYTTK NIIG
▲ DP2	IGPGRAFIGPGRAFIGPGRAF C



26/40

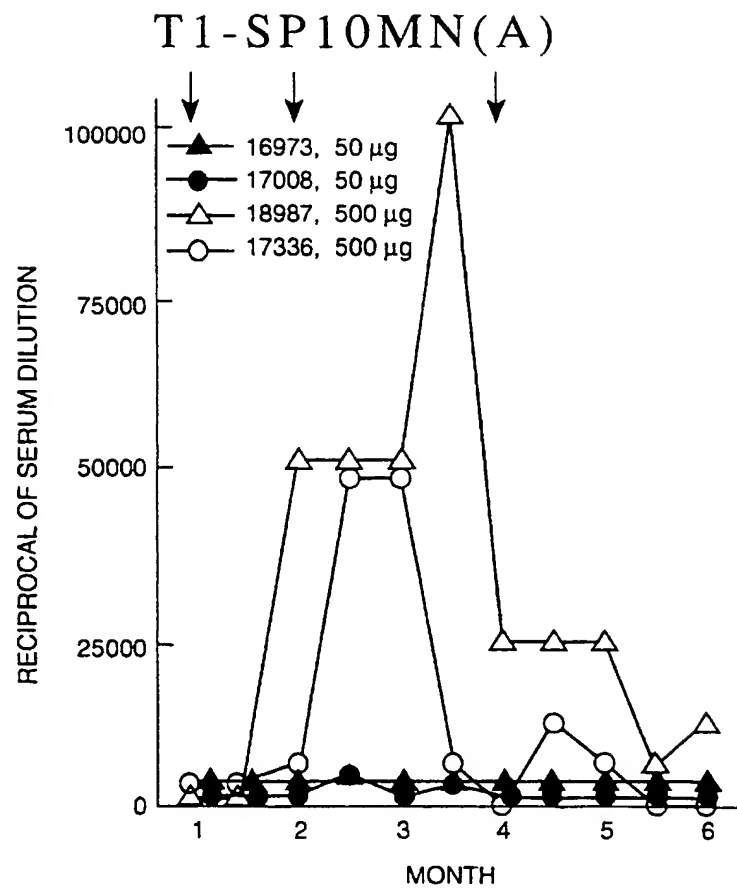
Fig. 21



SUBSTITUTE SHEET (RULE 26)

27/40

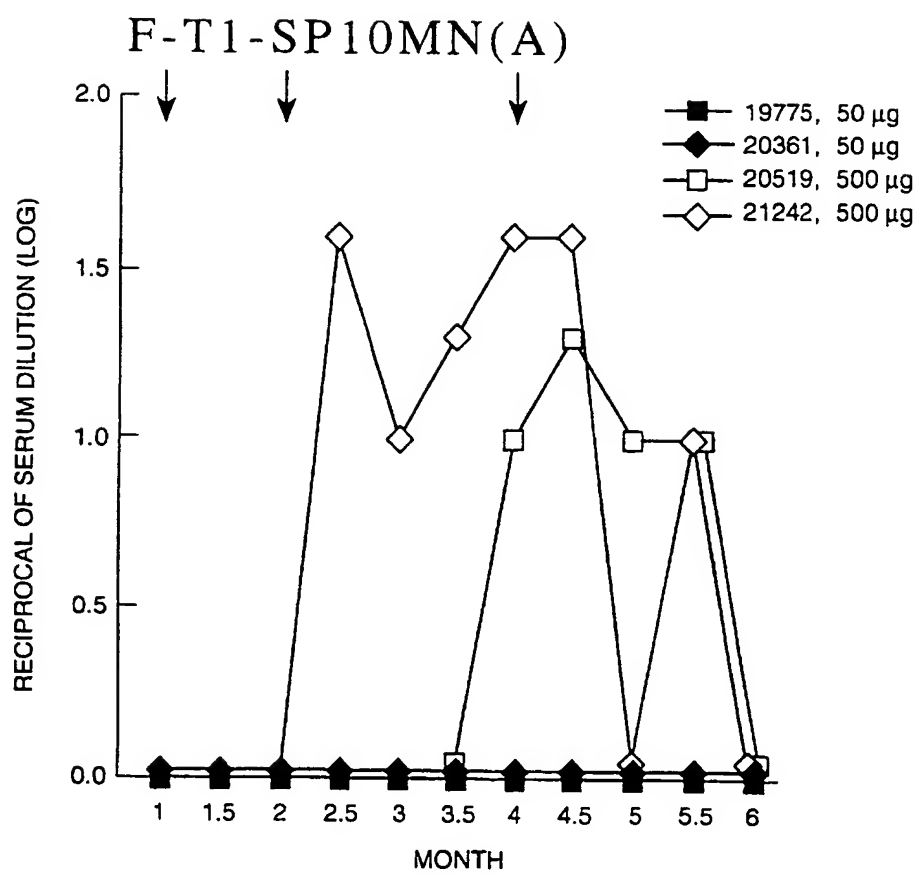
Fig. 22



SUBSTITUTE SHEET (RULE 26)

28/40

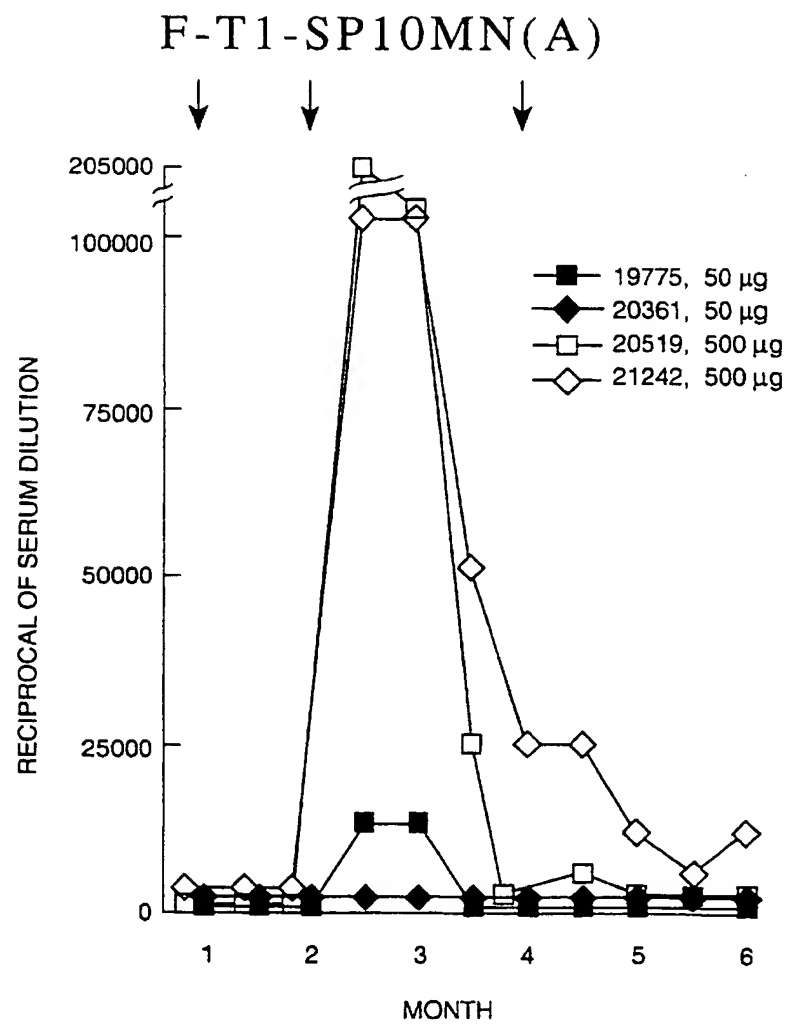
Fig. 23



SUBSTITUTE SHEET (RULE 26)

29/40

Fig. 24

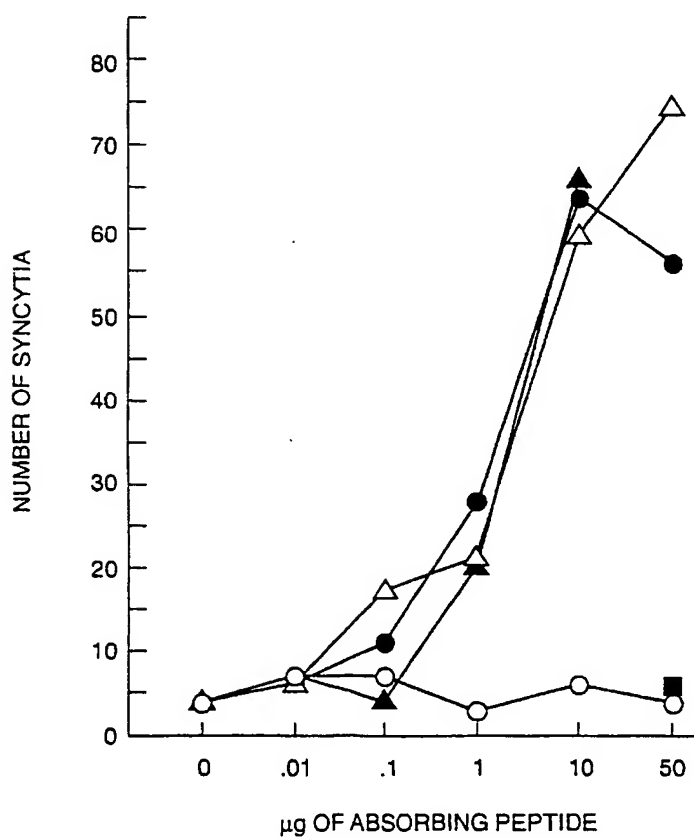


SUBSTITUTE SHEET (RULE 26)

30/40

Fig. 25

T1-SP10MN(A)	KQIINMWQEVGKAMYACTRPNYNKRKRIHIGPGRFYTTK
○ T1-Flu	KQIINMWQEVGKAMYA TYQRTRALVTG
■ DP31	ERYLKDQQLLGIWGCSGKLICG
● SP10MN(A)	CTRPNYNKRKRIHIGPGRFYTTK
△ DP4	CYNKRKRIHIGPGRFYTTK NIIG
▲ DP2	IGPGRFIGPGRFIGPGRF C



SUBSTITUTE SHEET (RULE 26)

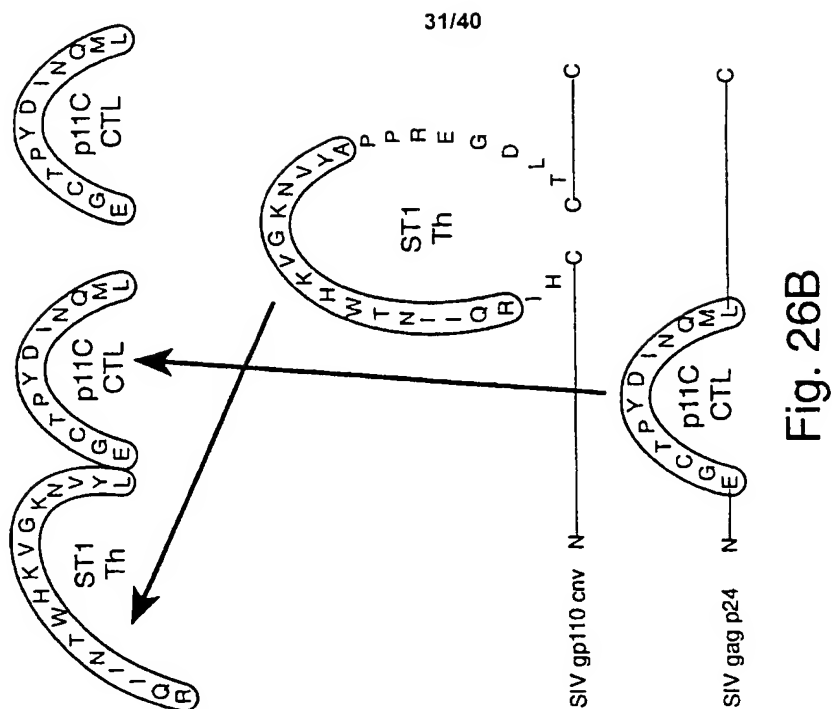
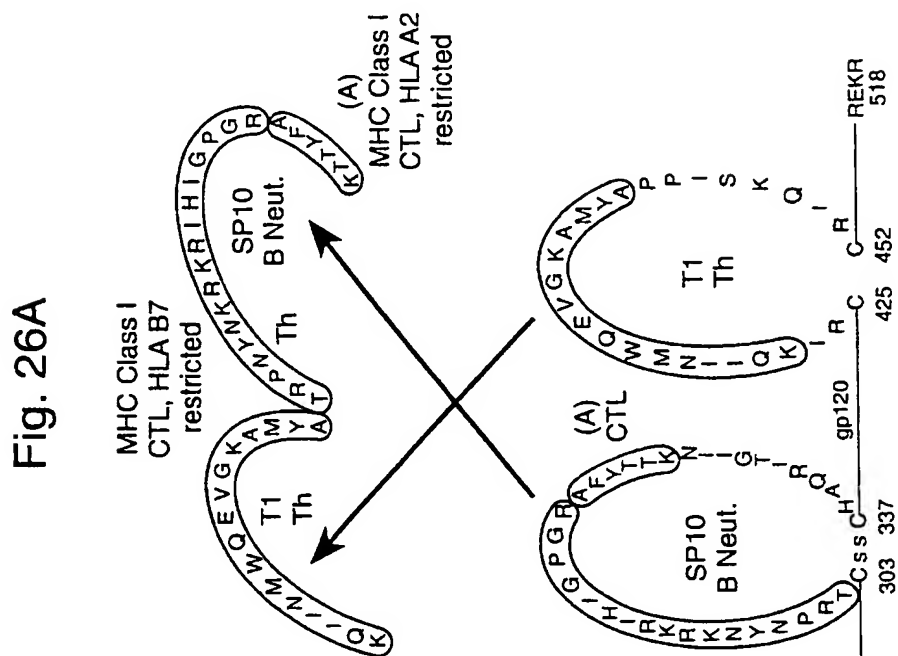


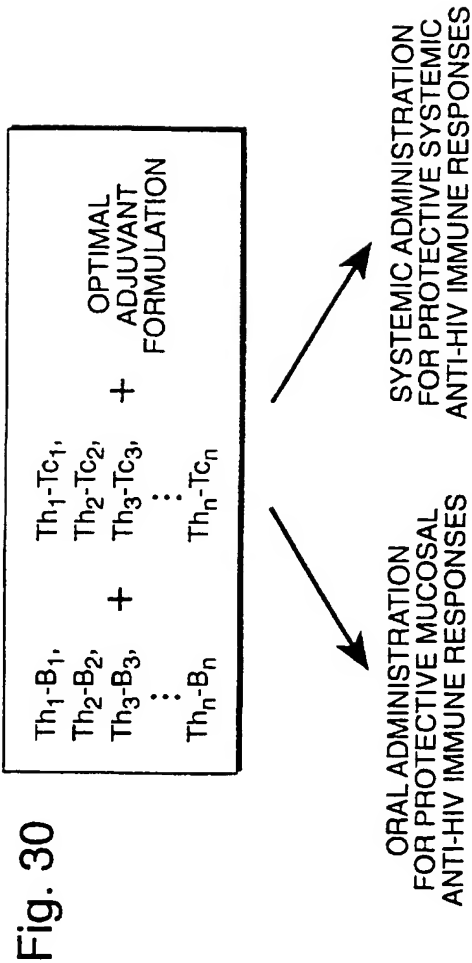
Fig. 26B





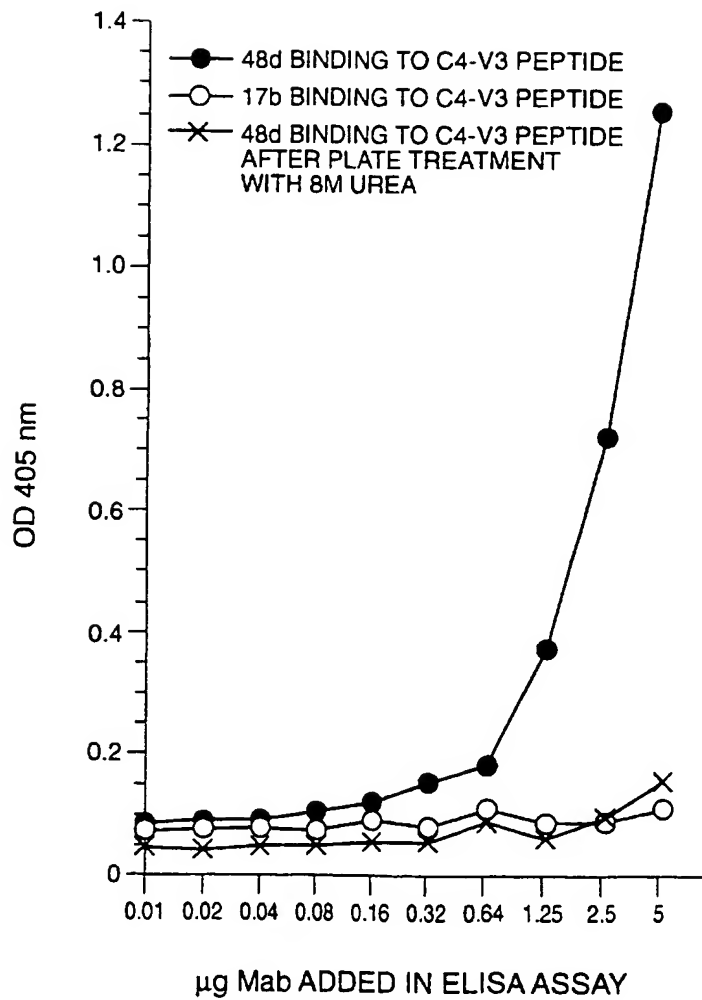
**Fig. 27**      **Sequence of T1-SP10(A) Th-B-Tc Peptides For Human Immunization**

T1	SP10	A	
KQIINMWQEVGKAMYATRPNYNKRKRIHIGPGRAFYTTK			T1-SP10MN(A)
KQIINMWQEVGKAMYATRPNNTNRKSITKGPGRVYATG			T1-SP10RF(A)
KQIINMWQEVGKAMYATRPGNNTNRKSIPIGPGRAFIATS			T1-SP10EV91(A)
KQIINMWQEVGKAMYATRPHNNTNRKSIHMGPGKAFYTTG			T1-SP10Can0A(A)



33/40

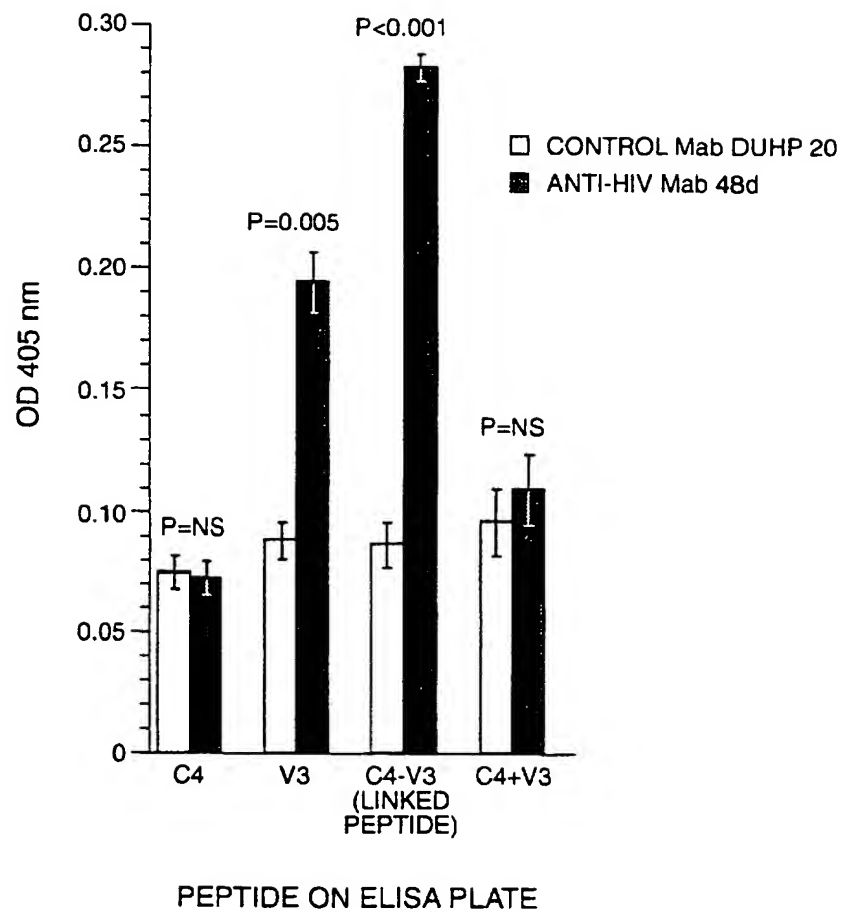
Fig. 28



SUBSTITUTE SHEET (RULE 26)

34/40

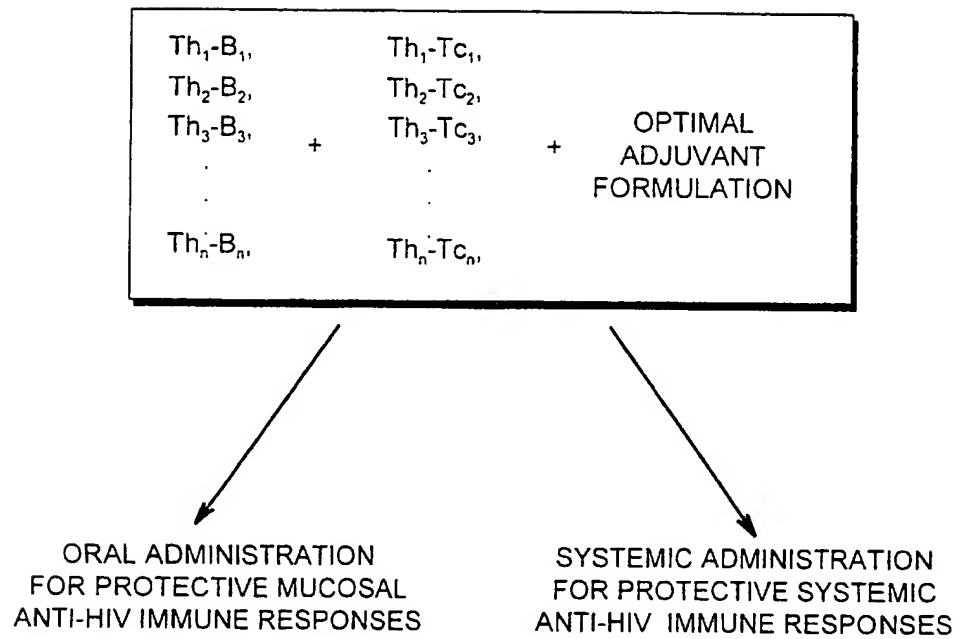
Fig. 29

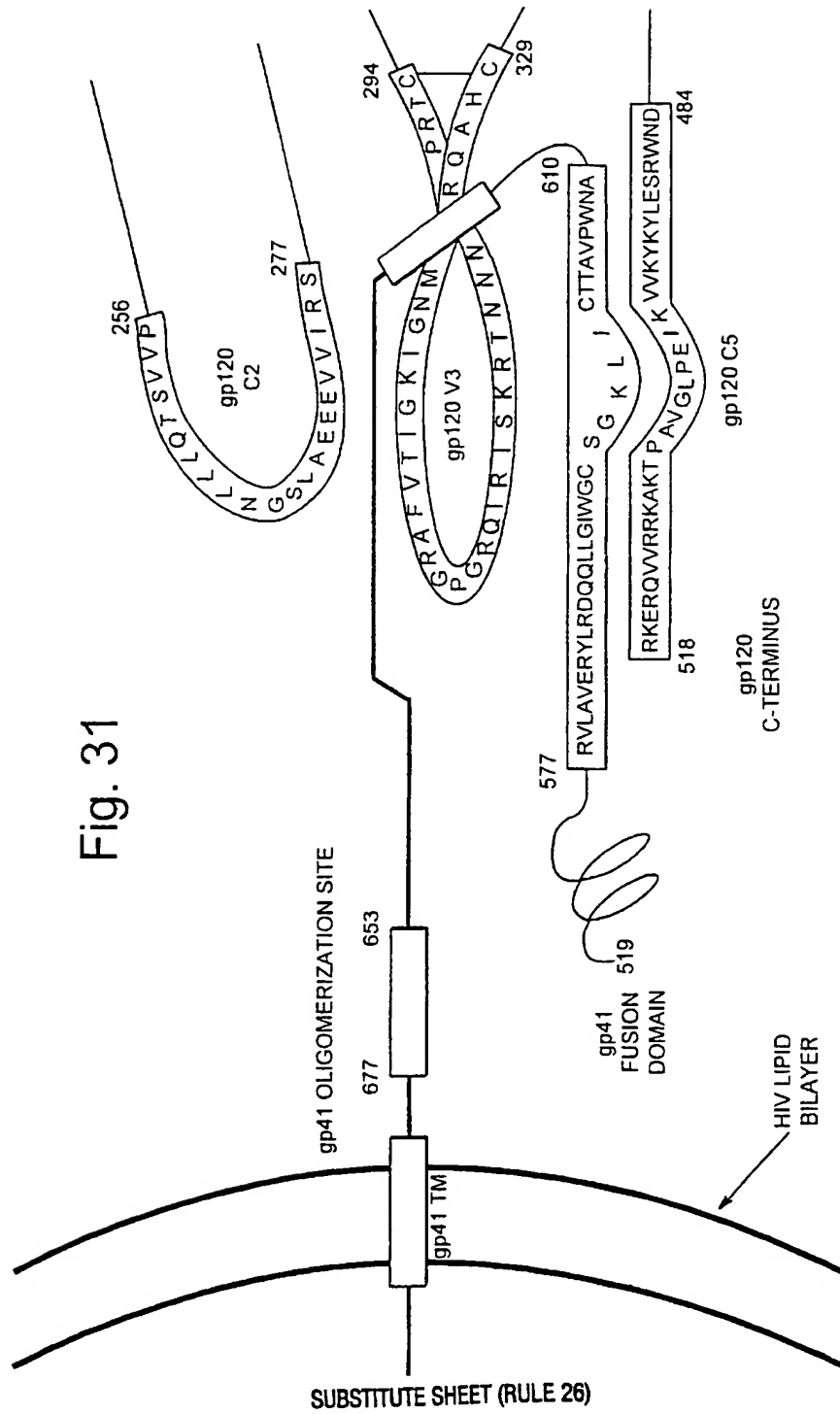


SUBSTITUTE SHEET (RULE 26)

35/40

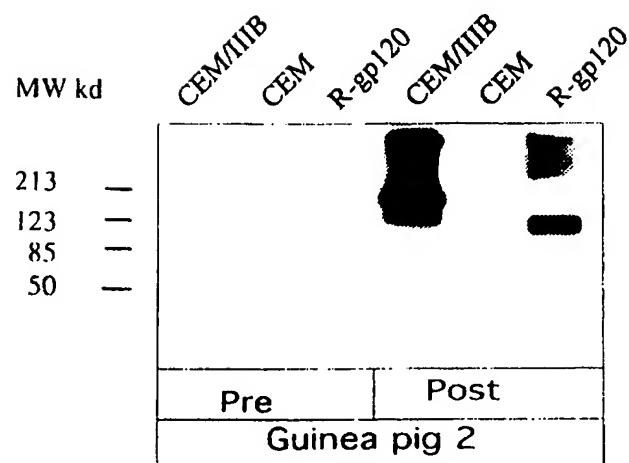
Fig. 30





37/40

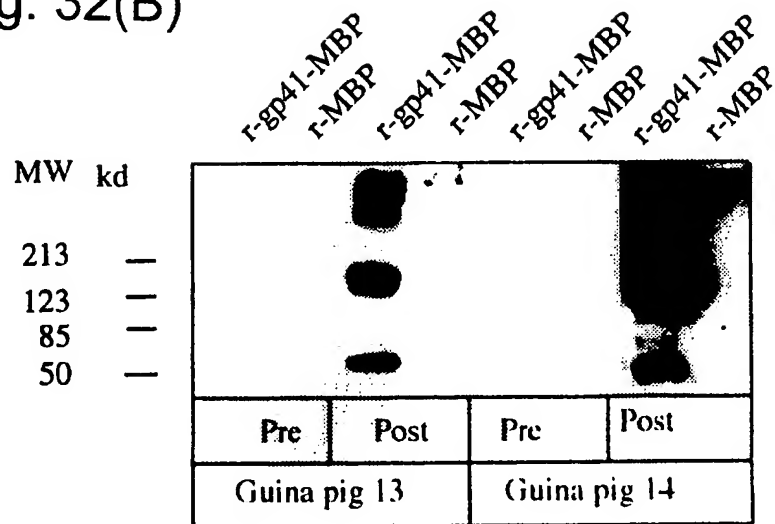
Fig. 32(A)

**GTHI-SP10MN(A)**

(YKRWII LGLNKIVRMYSTRPNYNKRKRIHIGPGRAFYT)

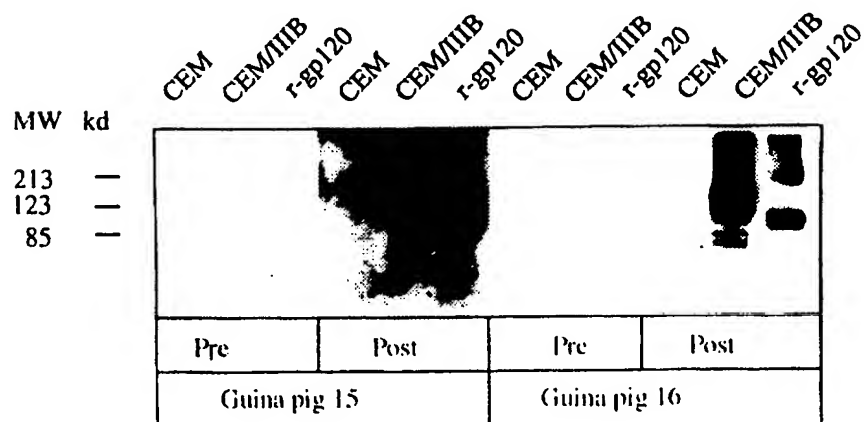
38/40

Fig. 32(B)



**SP400-BAL**

(RVLAVERYLRDQQLLGTWGC SGKLICTTAVPWNASWSNKS LNKI)



**SP410-BAL**

(PGGGIMRDNRSELYKYKVVKIEPLGVAPTAKRRVVQREKR)

Fig. 32(C)

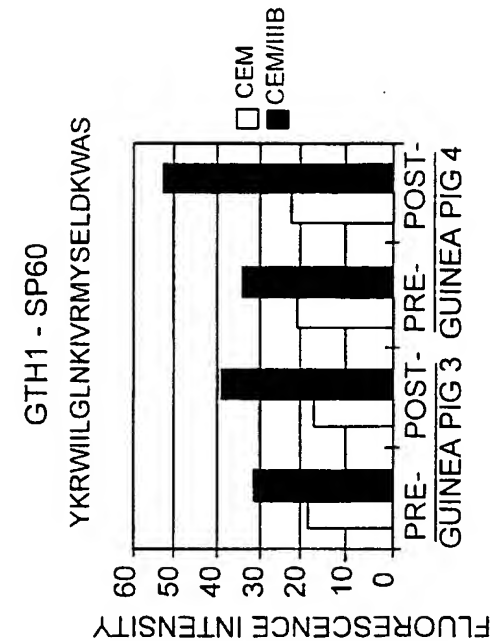


Fig. 33(B)

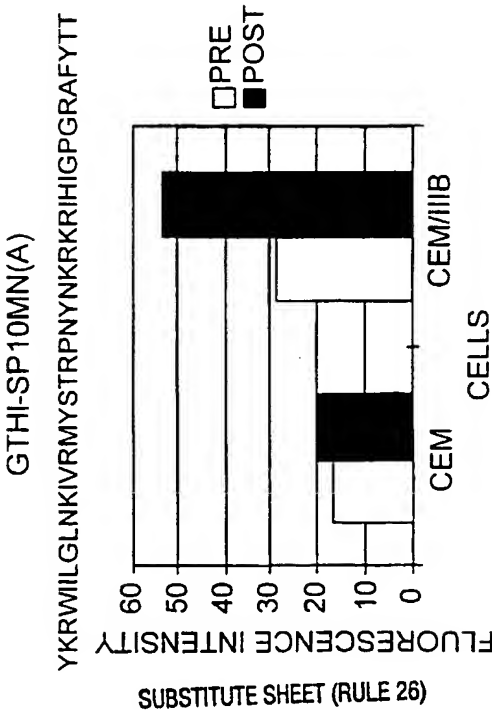


Fig. 33(A)



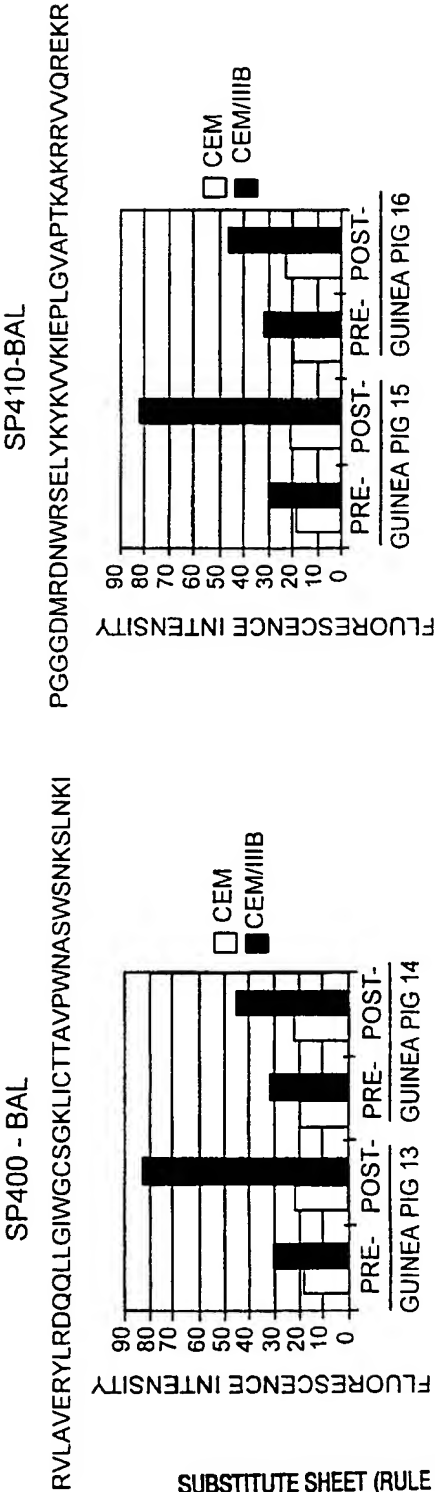


Fig. 33(C)

Fig. 33(D)

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/16911

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 39/21, 38/00, 38/04; C12Q 1/70; G01N 33/53, 33/567

US CL : 424/188.1, 204.1, 208.1; 435/5, 7.1

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/188.1, 204.1, 208.1; 435/5, 7.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, Dialog

search term: HIV, synthetic peptides, conjugate, V3 loop, neutralizing antibodies

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 5,013,548 (HAYNES ET AL.) 07 May 1991, columns 4-12.	1-13, 15-22, 25, 26
X --- Y	US, A, 5,019,387 (HAYNES ET AL.) 28 May 1991, columns 4-14.	1-22, 24-26 ----- 23
X	Proceedings of the National Academy of Sciences USA, Volume 85, issued March 1988, T. J. Palker et al, "Type-Specific Neutralization Of The Human Immunodeficiency Virus With Antibodies To <i>Env</i> -encoded Synthetic Peptides", pages 1932-1936, especially pages 1932-1934.	1-8, 10-13, 20-22, 24, 26



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*g* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

22 NOVEMBER 1996

Date of mailing of the international search report

30 JAN 1997

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

LYNETTE F. SMITH

Telephone No. (703) 308-0196

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☒ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**